



## **Biomarker evidence for the occurrence of anaerobic ammonium oxidation in the eastern Mediterranean Sea during Quaternary and Pliocene sapropel formation**

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Keywords: anaerobic ammonium oxidation, sapropel, nitrogen cycle, ladderane, bacteriohopanetetrol, BHT isomer



1 Abstract

2 The eastern Mediterranean Sea sedimentary record is characterised by intervals of  
3 organic rich sediment (sapropels), indicating periods of severe anoxia triggered by  
4 astronomical forcing. It has been hypothesized that nitrogen fixation was crucial in  
5 injecting the Mediterranean Sea with bioavailable nitrogen (N) during sapropel events.  
6 However, the evolution of the N biogeochemical cycle of sapropels is poorly  
7 understood. For example, the role of the complementary removal reaction, anaerobic  
8 ammonium oxidation (anammox), has not been investigated because the traditional  
9 lipid biomarkers for anammox, ladderane fatty acids, are not stable over long periods  
10 in the sedimentary record. The recent development of an alternative lipid biomarker  
11 for anammox (bacteriohopanetetrol stereoisomer; BHT isomer) allowed for the  
12 investigation of anammox during sapropel deposition in this marginal sea. We present  
13 here the first application of a lipid biomarker for N removal throughout the progression  
14 (e.g. formation, propagation, and termination) of basin-wide anoxic events. In this  
15 study, BHT isomer and ladderanes were analysed in sapropel records taken from  
16 three Eastern Mediterranean sediment cores, spanning the most recent (S1) to  
17 Pliocene sapropels. Ladderanes were rapidly degraded in sediments, as recently as  
18 the S5 sapropel (ca. 125 ka). BHT isomer, however, was present in all sapropel  
19 sediments, as far back as the Pliocene (2.97 Ma), and clearly showed the response of  
20 anammox bacteria to marine water column redox shifts in high-resolution records. Two  
21 different N removal scenarios were observed in Mediterranean sapropels. During S5,  
22 anammox experienced Black Sea-like water column conditions, with the peak of BHT  
23 isomer coinciding with the core of the sapropel. Under the alternative scenario  
24 observed in the Pliocene sapropel, the anammox biomarker peaked at onset and  
25 termination of said sapropel, which may indicate sulphide inhibition of anammox during  
26 the core of sapropel deposition. This study shows the use of BHT isomer as a  
27 biomarker for anammox in the marine sediment record and highlights its potential in  
28 reconstructing anammox during past anoxic events that are too old for ladderanes to  
29 be applied (e.g. the history of oxygen minimum zone expansion and oceanic anoxic  
30 events).



31 1. Introduction

32 The typical hemipelagic, carbonate-rich, organic carbon-poor sediment record of the  
33 eastern Mediterranean Sea is periodically interspersed with dark, organic-rich layers,  
34 known as sapropels. Sapropels typically have total organic carbon (TOC) content  
35 of >2%, a striking contrast to non-sapropel TOC-lean sediments in the area, with TOC  
36 contents of generally 0.2 – 0.6% (Cramp and O'Sullivan, 1999; Mobius et al., 2010).  
37 Evidence of Mediterranean sapropels can be found as far back 13.5 Ma in the  
38 sedimentary record. These features are the result of changes in astronomical forcing  
39 (Rossignol-Strick, 1983). Briefly, at maximum insolation, a wetter, localised  
40 monsoonal climate caused an increased discharge of freshwater into the Eastern  
41 Mediterranean mainly from the African continent. This brought terrestrial nutrients into  
42 the oligotrophic Eastern Basin, while at the same time forming a layer of lower salinity  
43 water at the surface of the Mediterranean, inhibiting ventilation of deeper waters (for  
44 recent review see Rohling et al., 2015). The consequence of these climate-induced  
45 changes were (1) an increase in primary productivity followed by remineralisation and  
46 increased oxygen consumption in the underlying waters, and (2) reduced resupply of  
47 oxygen to bottom waters leading to a ventilation crisis in the Mediterranean.  
48 Combined, this led to anoxia (Sinninghe Damsté and Hopmans, 2008; and euxinia  
49 during the most intense sapropel events, cf. Menzel et al., 2002), which is believed to  
50 have started first in the pore and bottom waters and progressively shoaled over  
51 hundreds of years until the Mediterranean was characterised by photic zone anoxia  
52 (euxinia). There is some dispute over whether high TOC values observed in sapropel  
53 sediments is primarily due to enhanced productivity, better preservation under anoxic  
54 conditions, or a combination of both.

55 The degree of oxygen depletion and presence of euxinic conditions for individual  
56 sapropels can vary according to the strength of astronomical forcing. A recent  
57 sapropel, S5 (121 – 128.5 ka), is the most well-developed Late Quaternary sapropel,  
58 characterised by high TOC content (ca. 7 – 8 %, max. 12%), low bioturbation, and  
59 evidence for photic zone euxinia (Marino et al., 2007; Rohling et al., 2006; Struck et al.,  
60 2001). In comparison, however, certain Pliocene sapropels have been shown to  
61 contain much more elevated TOC content, of up to 30% (Nijenhuis and de Lange,  
62 2000), suggesting that sapropels from these periods are more developed. Spatial  
63 variation also occurs during a sapropel formation, with TOC-rich horizons more



64 commonly forming in the east of the basin, but oxygen depletion not necessarily being  
65 stronger in the east (cf. Menzel et al., 2002).

66 The reorganisation of nutrient cycles, e.g. the phosphorus (P) cycle (Slomp et al.,  
67 2004), and the nitrogen (N) cycle (Calvert et al., 1992; Higgins et al., 2010) can impact  
68 the production and preservation of organic matter during the formation of  
69 Mediterranean sapropels. It has been shown that the anoxic water column during  
70 sapropel deposition caused enhanced regeneration of sedimentary P (Slomp et al.,  
71 2002). If sporadic vertical mixing then brought P to the photic zone, this would have  
72 further offset the Redfield ratio. The input of terrestrial N was likely insufficient to  
73 balance the enhanced sedimentary P remineralisation that occurred in the newly  
74 anoxic water column. This would have shifted phytoplankton communities towards  
75 diazotrophy (Higgins et al., 2010).

76 It appears that under anoxic water column conditions in the Mediterranean, N might  
77 already have been a limiting nutrient. However, N can also be removed from the  
78 marine system via denitrification and anaerobic ammonium oxidation (anammox)  
79 (Ward, 2013). Anammox is the oxidation of ammonium using nitrite as the electron  
80 acceptor to produce N<sub>2</sub>, and is performed by anaerobic, sulfide-sensitive (Jensen et  
81 al., 2008), chemolithoautotrophic bacteria (Strous et al., 1999). Anammox has been  
82 observed in the water columns of modern oxygen minimum zones (Hamersley et al.,  
83 2007; Pitcher et al., 2011; Rush et al., 2012b), and euxinic basins (Jensen et al.,  
84 2008; Kuypers et al., 2003; Wakeham et al., 2012). The anammox process is also  
85 proposed to have been an important N cycling process during Cretaceous oceanic  
86 anoxic events (Kuypers et al., 2004), removing bio-available N for primary production  
87 and forcing a shift in the phytoplankton community to nitrogen-fixing organisms.  
88 However, whether anammox is a positive- or negative-feedback to anoxia during  
89 sapropel formation is poorly understood. For instance, is the removal of N from the  
90 system a way to quench primary productivity, the main source of the organic matter  
91 that is remineralised and consuming oxygen? Or, does anammox simply contribute to  
92 the continuous removal of N, much in the same way it does in modern euxinic basins  
93 like the Cariaco Basin and the Black Sea? Studying the occurrence of anammox  
94 during the propagation of sapropels might help clarify the role anammox plays in  
95 maintaining anoxic conditions.



96 The presence of anammox in water column and sediments is usually inferred from  
97 biomarker evidence of ladderane fatty acids. Ladderane lipids contain concatenated  
98 cyclobutane rings (Fig. 1) and are synthesised exclusively by anammox bacteria  
99 (Sinninghe Damsté et al., 2002). However, ladderanes are labile lipids and are known  
100 to be susceptible to diagenetic modification in the sediment record (Rush et al.,  
101 2012a; Jaeschke et al., 2008). An alternative biomarker for anammox bacteria in paleo-  
102 records has recently been proposed to be bacteriohopanetetrol isomer (BHT isomer;  
103 Fig. 1), a much less common stereoisomer of the ubiquitous BHT. Both BHT and BHT  
104 isomer are synthesised by marine anammox bacteria ('*Ca. Scalindua sp.*') in roughly  
105 equal amounts (Rush et al., 2014b). Notably, the synthesis of BHT isomer has also  
106 been seen in a few other non-anammox, non-marine bacteria (van Winden et al.,  
107 2012; Rosa-Putra et al., 2001; Peiseler and Rohmer, 1992), and, therefore, some care  
108 should be taken when applying this lipid as a biomarker for anammox. However,  
109 anammox is the only known marine source of BHT isomer, and BHT isomer has been  
110 shown to correlate with ladderanes (Rush et al., 2014b) and metagenomic evidence  
111 for anammox bacteria (Matys et al., 2017) in modern oxygen deficient marine settings.

112 Anammox bacteria use the carbon assimilation pathway acetyl co-enzyme A (Strous  
113 et al., 2006). This pathway has been shown to result in the production of severely  
114 depleted ladderane fatty acids, observed in both cultures and in the Black Sea water  
115 column ( $\delta^{13}\text{C} \sim -45\text{‰}$ ; Schouten et al., 2004). In cultures, a  $\text{C}_{30}$  hopene also had  
116 similar isotopically depleted values as the ladderane fatty acids. Isotopically depleted  
117 BHT isomer ( $\delta^{13}\text{C}$  value of  $-51\text{‰}$ ) was detected in a singular Pliocene sapropel sample  
118 in the Ionian Basin of the eastern Mediterranean (ODP Leg 160, Site 964) (Hemingway  
119 et al., 2018). In the same sample, BHT was 21‰ more enriched than BHT isomer.  
120 These results indicate that BHT isomer observed in a Mediterranean sapropel was  
121 derived from anammox bacteria.

122 Three Mediterranean sapropel records were analysed for ladderanes and/or BHT  
123 isomer. Here, for the first time, we report the presence of anammox in high resolution  
124 Mediterranean sapropel records. We assess the periodic formation of anoxia in the  
125 paleorecord of a constrained basin, and discuss its potential impact on N cycling.



126 2. Method

127 2.1. Sapropel cores

128 2.1.1. Recent S1 – S5 sapropels (Aegean Sea)

129 Core LC21 was collected at 1522 m water depth in the Aegean Sea (34°40'N, 26°35'E;  
130 Fig. 2) by the R/V Marion Dufresne in 1995. The split cores have been stored in the  
131 British Ocean Sediment Core Research Facility (BOSCORF) in Southampton, UK, and  
132 were subsampled in 2014 for BHT analyses. A total of 19 sediments were collected  
133 from sapropels S1, S3, S4, and S5, with a background sediment sample from outside  
134 each sapropel (taken from sections either before or after the sapropel event).  
135 Sediments were freeze-dried and stored at -20°C until extraction.

136 2.1.2. High-resolution S5 sapropel (Levantine Basin)

137 An S5 sapropel (core 64PE406-E1) was sampled in relatively high resolution (1-cm  
138 slices) from a piston core taken at a water depth of 1760 m in the Eastern Basin  
139 (Station 1; 33° 18 ' N, 33° 24' E; Fig. 2) aboard the R/V Pelagia in January 2016. The  
140 core was opened and slices were immediate transferred to geochemical bags and  
141 stored at -40°C until sediments were freeze-dried in preparation for biomarker lipid  
142 extraction and bulk TOC and isotopic analyses.

143 2.1.3. High-resolution Pliocene sapropel (Levantine Basin)

144 Site 967 of ODP Leg 160 was located at a water depth of 2560 m, south of Cyprus on  
145 the lower northern slope of Eratosthenes Seamount, in the Eastern Levantine Basin  
146 (34°04N, 32°33E; Fig. 2). 33 1-cm slices were selected from Hole B, Core 9, Section  
147 6. These were from 40 – 87 cm within the core section, corresponding to absolute  
148 depths of 79.70 – 80.16 meters below sea floor (mbsf). This sample set included  
149 sediments from above, within, and below the sapropel horizon S73, which was  
150 characterised by dark coloured sediment. According to ODP Leg 160 shipboard  
151 biostratigraphic studies, the sediment for this core is of Pliocene age, 2.97 Ma (Emeis  
152 and Party, 1996). Sediment was freeze-dried and prepared for lipid extraction and  
153 TOC measurements.

154 2.2. TOC content



155 Ca. 0.1 g of freeze-dried sediments from LC21 and ODP 967 were weighed  
156 individually into a porous crucible. HCl (1 mL, 4 mM) was added to remove any  
157 inorganic carbon from the sediment. After HCl was drained, samples were neutralised  
158 with deionised water, and were dried at 65 °C. TOC content of each sample was  
159 obtained by means of non-dispersive infrared spectrometry using a LECO CS230  
160 analyser. A standard (Chinese stream sediment, NCS DC 73307; LGC, Teddington,  
161 UK) was analysed after every 10 samples to check accuracy. TOC content of the  
162 64PE406-E1 sediments was determined by a Thermo Scientific Flash 2000 elemental  
163 analyser coupled to a Thermo Scientific Delta V isotope ration monitoring mass  
164 spectrometer (EA-irMS) via a Conflo IV.

### 165 2.3. Bulk isotope measurements

166 Freeze dried 64PE406-E1 sediments were analyzed to determine both bulk  $\delta^{15}\text{N}$  and  
167 bulk  $\delta^{13}\text{C}$  values. For carbon isotope analysis, the sediment was first decalcified using  
168 a 2N HCL solution for approximately 18 h. The sediment was rinsed three times using  
169 double-distilled water and then freeze-dried again.  $^{15}\text{N}_{\text{TOC}}$  and  $^{13}\text{C}_{\text{TOC}}$  were measured  
170 using a Thermo Scientific EA-irMS (see above). The  $^{15}\text{N}_{\text{TOC}}$  and  $^{13}\text{C}_{\text{TOC}}$  are expressed  
171 relative to air and the Vienna Pee Dee Belemnite (VPDB) standard, respectively and  
172 the isotope analysis precision was 0.2 ‰. For nitrogen isotope analysis, acetanilide,  
173 urea, and casein with predetermined isotope values were used as reference material;  
174 for carbon analysis benzoic acid and acetanilide were used.

175

### 176 2.4. Lipid extractions

#### 177 2.4.1. Bligh and Dyer lipid extractions

178 Sediments from the LC21 (Aegean Sea; S1 – S5) and ODP 967 (Levantine Basin;  
179 Pliocene) were extracted at Newcastle University using a modified Bligh and Dyer  
180 extraction (BDE) method (Bligh and Dyer, 1959;Cooke et al., 2008). Briefly, freeze-  
181 dried material was extracted in a 10:5:4 (v:v:v) mixture of MeOH:chloroform:H<sub>2</sub>O in a  
182 Teflon tube, sonicated for 15 min at 40°C, and centrifuged for 10 min. After the  
183 supernatant was transferred to a second tube, the residue was re-extracted two more  
184 times. The chloroform in the supernatant was separated and collected from the  
185 aqueous phase by making H<sub>2</sub>O:MeOH ratio 1:1 (v:v). This procedure was repeated for  
186 the subsequent extractions. The collected BDE was dried by rotary evaporation in a



187 round-bottom flask. Lipid extraction on the high-resolution S5 sapropel (64PE406-E1;  
188 Levantine Basin) was performed at NIOZ, where the extraction protocol was similar,  
189 but instead used MeOH:Dichloromethane (DCM):phosphate-buffer in the solvent  
190 mixtures (see Rush et al., 2012a). All BDE were analysed for BHT isomer, where C<sub>16</sub>  
191 platelet activating factor (PAF) standard (1-O-hexadecyl-2-acetyl-sn-glycero-3-  
192 phosphocholine was added as an internal standard. Aliquots from the 64PE406-E1  
193 BDEs were taken for ladderane extractions.

#### 194 2.4.2. Ladderane fatty acid extractions

195 Sediment of LC21 were also ultrasonically extracted 3 times using a DCM/methanol  
196 mixture (2:1 v/v). Extracts of LC21 sediments were combined and dried using rotary  
197 evaporation yielding the total lipid extract (TLE), and residues were reserved for direct  
198 saponification. The LC21 TLEs, residues, and the aliquots of the 64PE406-E1 BDEs  
199 were saponified by refluxing with aqueous KOH (in 96% MeOH) for 1h. Fatty acids  
200 were obtained by acidifying the saponified samples to a pH of 3 with 1N HCl in MeOH  
201 and extracted using DCM. The fatty acids were converted to their corresponding fatty  
202 acid methyl esters (FAMES) by methylation with diazomethane. N<sub>2</sub> was not used to aid  
203 evaporation of solvents after derivatisation as this practice was found to significantly  
204 decrease the yield of volatile short-chain ladderane fatty acids (Rush et al., 2012a).  
205 Instead solvents were air dried. Polyunsaturated fatty acids (PUFAs) were removed  
206 by eluting the sample over a small AgNO<sub>3</sub> (5%) impregnated silica column with DCM.  
207 Fatty acid fractions were stored at 4 °C until analysis.

#### 208 2.5. Lipid analyses

##### 209 2.5.1. Analysis of derivatised BHT isomer (Newcastle University)

210 A known amount of internal standard (5 $\alpha$ -pregnane-3 $\beta$ ,20 $\beta$ -diol) was added to aliquots  
211 of LC21 and ODP 967 for BHT isomer analysis. Samples were acetylated in 0.5 mL of  
212 a 1:1 (v:v) mixture of pyridine and acetic anhydride at 50 °C for 1 h, then overnight at  
213 room temperature. Solvent was dried on a 50°C heating block under a stream of N<sub>2</sub>.  
214 Samples were dissolved in MeOH:propan-2-ol (3:2; v:v), and filtered on 0.2  $\mu$ m PTFE  
215 filters.

216 BHT isomer was analysed by high performance liquid chromatography coupled to  
217 positive ion atmospheric pressure chemical ionization mass spectrometry



218 (HPLC/APCI-MS), using a data-dependent (3 events) scan mode on a system  
219 equipped with an ion trap MS (Talbot et al., 2007; van Winden et al., 2012). Semi-  
220 quantification of BHT isomer was achieved at Newcastle University using a BHT  
221 standard gifted by M. Rohmer.

#### 222 2.5.2. Analysis of non-derivatised BHT isomer (NIOZ)

223 BHT isomer of the high resolution S5 sapropel (64PE406-E1) was measured on non-  
224 derivatised aliquots of BDEs using an ultra high performance liquid chromatography  
225 (UHPLC)-Q Exactive Orbitrap MS with electrospray ionisation (Thermo Fischer  
226 Scientific, Waltham, MA), using a method for analysis of intact polar lipids according  
227 to (Wormer et al., 2013). Briefly, separation was achieved on an Acquity BEH C18  
228 column (Waters, 2.1x150 mm, 1.7 $\mu$ m) maintained at 30°C, using (A)  
229 MeOH/H<sub>2</sub>O/formic acid/14.8 M NH<sub>3aq</sub> (85:15:0.12:0.04 [v/v/v/v]) and (B)  
230 IPA/MeOH/formic acid/14.8 M NH<sub>3aq</sub> (50:50:0.12:0.04 [v/v/v/v]) as eluent. The elution  
231 program was: 95% A for 3 min, a linear gradient to 40% A at 12 min, and then to 0%  
232 A at 50 min, which was maintained until 80 min. The flow rate was 0.2 mL min<sup>-1</sup>.  
233 Positive ion ESI settings were: capillary temperature, 300°C; sheath gas (N<sub>2</sub>) pressure,  
234 40 arbitrary units (AU); auxiliary gas (N<sub>2</sub>) pressure, 10 AU; spray voltage, 4.5 kV; probe  
235 heater temperature, 50°C; S-lens 70 V. Target lipids were analyzed with a mass range  
236 of *m/z* 350–2000 (resolution 70,000 ppm at *m/z* 200), followed by data-dependent  
237 tandem MS<sup>2</sup> with parameters as described by Besseling et al., (2018). The combined  
238 extracted ion currents (within 3 ppm) of the protonated, ammoniated, and sodiated  
239 adducts (*m/z* 547.47209 + 564.49864 + 569.45403, respectively) were used to  
240 integrate BHT isomer. The relative abundance of peak area does not necessarily  
241 reflect the actual relative abundance of the different compounds; however, this method  
242 allows for comparison between the samples analyzed in this study. BHT and BHT  
243 isomer were baseline separated, and the MS<sup>2</sup> spectra of BHT and its isomer (Fig. S1)  
244 were comparable to spectra of non-derivatised BHT published by Talbot et al. (2016b).  
245 MS performance was continuously monitored and matrix effects were assessed using  
246 the PAF standard. Peak areas were corrected accordingly. However, as no  
247 commercially available authentic standards were available for non-derivatised BHPs,  
248 semi-quantitative BHT isomer abundance is reported as the integrated peak area  
249 response (response unit, r.u.) for the Levantine S5 (64PE406-E1) record. Although  
250 quantification is not possible, this method does allow for comparison of BHT isomer



251 abundances between samples as response factors should be identical across the S5  
252 sample set.

### 253 2.5.3. Analysis of ladderane fatty acids

254 Methylated fatty acid fractions were dissolved in acetone, filtered through 0.45 µm, 4  
255 mm diameter PTFE filters, and analysed by high performance liquid chromatography  
256 coupled to positive ion atmospheric pressure chemical ionization tandem mass  
257 spectrometry (HPLC/APCI-MS/MS) in selective reaction monitoring mode to detected  
258 the four ladderane fatty acids and two short-chain ladderane fatty acids (Hopmans et  
259 al., 2006; modified by Rush et al., 2011). Ladderanes were quantified using external  
260 calibration curves of three standards of isolated methylated ladderane fatty acids (C<sub>14</sub>-  
261 [3]-ladderane fatty acid, C<sub>20</sub>-[3]-ladderane fatty acid, and C<sub>20</sub>-[5]-ladderane fatty acid)  
262 (Hopmans et al., 2006;Rush et al., 2011;Rattray et al., 2008).

263



## 264 3. Results and Discussion

265 To test the hypotheses that (1) anaerobic ammonium oxidation occurred in the water  
266 column during Mediterranean sapropel events, and (2) BHT isomer could be used as  
267 a biomarker for anammox during these events, a suite of Quaternary and Pliocene  
268 sapropels were examined.

## 269 3.1. Anammox lipids in S1 – S5 sapropels from the Aegean Sea

270 Sapropels spanning four of the most recent five events in the Aegean Sea were  
271 sampled from core LC21 from the Aegean Sea and analysed for anammox biomarkers  
272 (Fig. 3a). Ladderane fatty acids (i.e. C<sub>18</sub>-[3]-ladderane fatty acid, and C<sub>18</sub>-[5]-ladderane  
273 fatty acid, C<sub>20</sub>-[3]-ladderane fatty acid, and C<sub>20</sub>-[5]-ladderane fatty acid; Fig. 1), the  
274 traditional biomarkers for anammox bacteria (Jaeschke et al., 2009; Rush et al.,  
275 2012a; Sinninghe Damsté et al., 2002), were found in the most recent sapropel (290 –  
276 610 ng/g TOC; in S1, ~7 ka; Fig. 3a) in abundances comparable to those found in  
277 sediments of the Peru Margin and Arabian Sea (Rush et al., 2012a). Conversely,  
278 ladderanes were not detected in the sediment sampled directly below this sapropel  
279 layer (out S1, Fig. 3a), indicating anammox was an important process during S1  
280 deposition, but likely not before the onset of sapropel deposition. Ladderane  
281 concentration progressively decreased with increasing age of the deeper sapropels:  
282 80 – 170 ng/g TOC in S3 (~85 ka); not detected in S4 (~100 ka); and 0 – 90 ng/g TOC  
283 in S5 (~125 ka). It is worth noting that 2 of the 3 sediments from within S5 did not  
284 contain detectable ladderanes. This demonstrates the previously described sensitivity  
285 of ladderane lipids to diagenesis (Rush et al., 2012a; Jaeschke et al., 2008), and  
286 highlights their potential weakness as a biomarker proxy for past anammox bacteria  
287 in ancient sediments. Residues of TLEs were also saponified for ladderane analysis,  
288 as these have previously been shown to extend the detection of anammox in older  
289 sediments by releasing more matrix-bound ladderanes (Rush et al., 2012a). However,  
290 this did not show any difference in the presence of anammox (i.e. there was no  
291 detection of ladderanes in residues in which the original TLEs did not contain these  
292 biomarkers). The non-detection of ladderanes in most of the S5 samples is particularly  
293 surprising as this is the most intense of the Late Quaternary sapropels (Struck et al.,  
294 2001), having been described as analogous to the modern day Black Sea (Menzel et  
295 al., 2006). Since anammox is currently present and actively removing N in the



296 redoxcline of the Black Sea (Jensen et al., 2008; Kuypers et al., 2003), it was expected  
297 that anammox behaved similarly in the nitrogen cycle of the Eastern Mediterranean  
298 during deposition of the S5 sapropel. Given that the oldest detection of ladderanes  
299 comes from a slightly older record in the Arabian Sea (Jaeschke et al., 2009), it is  
300 unclear why ladderane detection in S5 is sporadic. Perhaps degradation is responsible  
301 for the rapid removal of ladderanes from the system during deposition, or the low  
302 resolution in the S5 record made these specific sediment depths not ideal targets for  
303 anammox activity.

304 Bacteriohopanetetrol isomer (BHT isomer; Fig. 1) has recently been proposed to be  
305 an alternative biomarker for anammox bacteria in paleo-records (Rush et al., 2014b).  
306 Our analysis of non-derivatised BHT isomer was based on the previously published  
307 method analysing intact polar lipids via reverse phase liquid chromatography (Wormer  
308 et al., 2013), and achieved better separation of BHT isomer from BHT compared to  
309 the acetylated LC-MS method (cf. Rush et al., 2014b; Fig. S1). The concentration of  
310 BHT isomer in the Aegean Sea sapropels showed a similar trend as ladderanes in the  
311 shallow sediment layers (Fig. 3b): the concentration was high in S1 (71 – 360 µg/g  
312 TOC), and low in the underlying sediment (12 µg/g TOC; out S1), in good agreement  
313 with the ladderane data. In contrast, however, BHT isomer was detected in all deeper  
314 sapropels at higher concentrations (64 – 180 µg/g TOC in S3; 67 – 90 µg/g TOC in  
315 S4; and 68 – 160 µg/g TOC in S5) than the ladderanes. Sediments from outside the  
316 sapropel had relatively low, but measurable BHT isomer concentration (8 – 17 µg/g  
317 TOC). As BHT isomer was detected in all sapropels, including the oldest S5  
318 sediments, it appears that the rapid removal of ladderanes from the system is due to  
319 degradation during deposition. These results clearly demonstrate the utility of BHT  
320 isomer as a biomarker for anammox in paleorecords compared to the more labile  
321 ladderane lipids. A hemipelagic, light, non-sapropel sediment sampled between S3  
322 and S4 contained neither ladderanes nor BHT isomer (Fig. 3), indicating a period  
323 where anammox was likely not active in the Mediterranean nitrogen cycle.  
324 Furthermore, the detection of BHT isomer in the non-sapropel sediments underlying  
325 S1 and S5 and overlying S3 shows that this lipid is a better biomarker than ladderanes  
326 for recording trace amounts of anammox throughout the history of the Mediterranean  
327 system, especially in sediment deposited under oxic (bottom) water conditions.



328           3.2. High-resolution evidence shows anammox responds to marine redox shifts in  
329           S5 sapropel record

330 To further investigate the occurrence of anammox during sapropel deposition, we  
331 analysed in high resolution the well-developed S5 (TOC content up to 12%; Fig. 4)  
332 recovered from the Levantine Basin in the Eastern Mediterranean during a cruise of  
333 the R/V Pelagia in 2016 (64PE406-E1; Fig. 2). It was expected that ladderane fatty  
334 acids would be preserved in the high TOC sediments of S5. However, in line with the  
335 earlier results of ladderane analyses for S5 in the Aegean Sea record, the results from  
336 the Levantine Basin were inconclusive. Ladderanes were detected in all, except two,  
337 of the thirty sapropel samples, but were at the detection limit (i.e. peak area of 3x  
338 background), preventing interpretation of the ladderane profile in S5. The cause of low  
339 ladderane concentration even in sediments with high TOC may be due to an unknown  
340 degradation mechanism in Mediterranean sapropel sediments.

341 The BHT isomer does not appear to have been affected by degradation in the same  
342 way as ladderane lipids; it was above detection limit in all S5 sediments (Fig. 4b). The  
343 concentration of BHT isomer increased progressively by a factor of 10 from the onset  
344 of S5 until the core of the sapropel event (from average pre-sapropel value  $2.69 \text{ E}+11$   
345  $\text{r.u./g TOC}$  to  $2.28 \text{ E}+12 \text{ r.u./g TOC}$  at 33 – 34 cm core depth; Fig. 4) and then waned  
346 until the termination. This indicates that anammox was an important process during  
347 the formation of S5, actively removing nitrogen from the marine system. Photic zone  
348 euxinia has been observed in cores from the western part of the Eastern Basin during  
349 S5 by the identification of isorenieratene (Marino et al., 2007; Rohling et al., 2006).  
350 Isorenieratene is a biomarker lipid for photosynthetic, green sulfur bacteria  
351 (*Chlorobiaceae*) that require the unique conditions of light, albeit in relatively low  
352 abundance, and euxinic waters (Overmann et al., 1992). Although anammox bacteria  
353 are inhibited by the presence of free sulfide, they likely thrived at the chemocline during  
354 deposition of S5. This is the case, for instance, in the modern Black Sea: at 90 m water  
355 depth, where oxygen and sulfide concentrations are both low and nitrite and  
356 ammonium are readily available, the presence and activity of anammox has been  
357 confirmed via rate measurements and ladderane biomarker observations (Kuypers et  
358 al., 2003; Jensen et al., 2008).



359 There are two considerable peaks in BHT isomer that fall outside of the S5 trend (Fig.  
360 4b), occurring at the onset (2.43 E+12 r.u./g TOC; 46 – 47 cm core depth) and  
361 termination (1.12 E+12 r.u./g TOC; 16 – 17 cm core depth) of the sapropel. Sea-level  
362 rise and freshening of the Mediterranean is believed to have caused a stepwise  
363 removal of oxygen and subsequent slow build-up of anoxia ca. 3 kyr before the  
364 (massive) freshwater discharge from the African continent instigated the real onset of  
365 S5 (Schmiedl et al., 2003). The intense anammox peak pre-sapropel formation could  
366 be a response to this marine redox shift. Anammox would have thrived, consuming  
367 the residual low-levels of ammonium and nitrite in an anoxic Mediterranean water  
368 column. Then, once monsoonal discharge brought in the initial pulse of nutrients from  
369 the Nile, the slow-growing anammox bacterial population would have been rapidly  
370 outcompeted by heterotrophic denitrifiers consuming sinking organic carbon being  
371 produced in the overlying oxic waters. As S5 progressed and N supply became  
372 scarcer, anammox would have repopulated the niche of redoxcline N-remover at core  
373 sapropel conditions. The peak of BHT isomer observed at S5 termination (Fig. 4)  
374 shows that the conditions were again favourable for anammox to thrive. However, this  
375 may have occurred at the anoxic sediment-water interface, rather than in the water  
376 column, where low concentrations of nitrite and ammonium could have persisted from  
377 the degradation of organic matter settling on the seafloor after the re-oxidation of the  
378 water column.

379 Short-chain (SC) ladderane fatty acids (i.e. C<sub>14</sub>-[3]-ladderane fatty acid and C<sub>14</sub>-[5]-  
380 ladderane fatty acid; Fig. 1) are oxic biodegradation products of ladderane fatty acids  
381 (Rush et al., 2011), and are used to infer exposure of ladderane lipids to oxic  
382 conditions either pre- or post-deposition. SC ladderane fatty acids were only detected  
383 in three of the S5 sediments, specifically at sapropel onset (46 – 47 cm core depth)  
384 and termination (15 – 16 cm and 16 – 17 cm core depth). This implies that during  
385 sapropel maximum, anammox was thriving at the Mediterranean chemocline.  
386 Anammox detritus would then have sunk through an anoxic (euxinic) 'Black Sea' water  
387 column, unexposed to oxygen and the effects of  $\beta$ -oxidation that produces SC  
388 ladderane fatty acids (Rush et al., 2011). This has been seen in the modern Cariaco  
389 Basin, where ladderanes are observed, but SC ladderanes are absent (Rush et al.,  
390 2012a). The presence of SC ladderanes at the onset and termination, yet absence in  
391 the core S5 record, could also corroborate the concept of "split-anoxia" (as proposed



392 for S1 by Bianchi et al., 2006), which hypothesizes for the first 100 to 1000+ years of  
393 sapropel formation euxinia was present as a mid-depth “oxygen minimum zone”,  
394 rather than a continuation from the seafloor. During these periods where the water  
395 column was not fully euxinic, ladderanes would have been oxidised to SC ladderanes  
396 in the underlying waters, which would have contained a certain amount of available  
397 oxygen. Alternatively, as productivity waned, sedimentation rates would have  
398 decreased in the Levantine Basin. Lower sedimentation rates at the onset and  
399 termination of S5 would suggest a longer residence time of ladderanes in sediment  
400 that would periodically be exposed to (sub)oxic bottom water conditions. Oxic water  
401 in-flow of pore waters would have stimulated the  $\beta$ -oxidation responsible for SC  
402 ladderane formation (Rush et al., 2011). It is worth noting that in the low-resolution  
403 Aegean Sea sample set (LC21), all sample from S1 and S3 that contained ladderanes  
404 also contained a high concentration of SC-ladderane fatty acids, whereas the singular  
405 S5 sediment did not contain SC ladderanes. This would appear to indicate that the  
406 Aegean water column during S1 and S3 deposition was not fully euxinic, and that S5  
407 in the Aegean mirrored the euxinic Levantine Basin.

408 Nitrogen isotope ratios ( $\delta^{15}\text{N}$ ) values of bulk nitrogen in S5 sediment show a strong  
409 shift towards low values within the sapropel (Fig. 4a), a feature seen in most sapropels  
410 (Calvert et al., 1992; Sachs and Repeta, 1999; Struck et al., 2001; Higgins et al.,  
411 2010; Mobius et al., 2010). This could potentially be explained by either enhanced  
412 diazotrophic  $\text{N}_2$ -fixation because N was limited in the system (Mobius et al., 2010), or  
413 the preferential uptake and burial of  $^{14}\text{N}$  when nitrate is present in excess and primary  
414 producers have the opportunity to fractionate maximally (Calvert et al., 1992). As a  
415 biomarker for N removal from the system was not available, previous work has only  
416 been able to approach this conundrum with evidence for N fixation processes. Using  
417 isotopic evidence of diazotrophic phytoplankton, Sachs and Repeta (1999) and  
418 Higgins et al. (2010) argue that Mediterranean surface water was nitrogen-limited  
419 during sapropel events. Here, for the first time, we present evidence of N loss in a  
420 Mediterranean sapropel using BHT isomer as an anammox biomarker. The fact that  
421 BHT isomer concentration increases towards the core of S5 may appear to suggest  
422 that N species were not limited, and rather that freshwater run-off could be resupplying  
423 these nutrients to microorganisms in the water column and enhancing the pool of N.  
424 However, anammox thrive at the redoxclines of modern oxygen minimum zones



425 (Pitcher et al., 2011;Rush et al., 2012b) and euxinic basins (Wakeham et al.,  
426 2012;Kuypers et al., 2003), where pulses of “fresh” N species do not necessarily  
427 reach. At the S5 ‘Black Sea type’ redoxcline, anammox did not need a riverine supply  
428 of N, but could have instead been sustained by the advection of N from deeper waters  
429 (Rohling et al., 2006) or by N remineralised from the sinking pool of (diazotrophic)  
430 organic matter from above. We can interpret BHT isomer results as N removal by  
431 anammox was at its highest flux during core S5 sapropel conditions, and that the  
432 anammox process appears to play an integral role in N cycling during sapropel events.

### 433 3.3. Anammox distribution varies between sapropel formations: evidence from a 434 Pliocene sapropel event

435 To confirm that anaerobic ammonium oxidation has occurred throughout the history of  
436 anoxia in the Mediterranean basin, not only in the most recent Quaternary sapropels,  
437 BHT isomer concentration was analysed across a high-resolution Pliocene sapropel  
438 (ODP Leg 160, Site 967; Fig. 2). The anammox biomarker is present throughout this  
439 older record (Fig. 5b). Yet here, BHT isomer displayed a distribution different to that  
440 of the S5 record. Sapropel S73 showed two distinct peaks in BHT isomer: at the onset  
441 (110 – 240 µg/ g TOC; 69 – 73 cm core depth) and at the termination (640 – 1100 µg/g  
442 TOC; 54 – 59 cm core depth) of the sapropel, much like the trend seen in the S5  
443 Levantine sapropel. However, BHT isomer concentration was low during the core  
444 Pliocene sapropel event (Fig. 5b), likely representing unfavourable conditions for  
445 anammox during this sapropel. Isorenieratene has been detected in the Pliocene  
446 record of Site 967, albeit in a different sapropel event (Menzel et al., 2002). It is  
447 possible that euxinia shoaled further into the photic zone during this Pliocene sapropel,  
448 forcing anammox at the chemocline to compete for N with phytoplankton. Anammox  
449 would have therefore only thrived during the build-up and termination periods when  
450 photic zone euxinia would have been deeper/less intense. There was a spike in BHT  
451 isomer concentration mid-sapropel that coincided with a decrease in TOC (65 – 67 cm  
452 core depth; Fig. 5a). Mid-sapropel breaks have been reported elsewhere, as  
453 repopulation events of benthic fauna (e.g. Rohling et al., 1993), and could be due to  
454 inflow of freshly ventilated deep-water. The concentration of BHT isomer was still high  
455 after sapropel deposition (~250 µg/g TOC; <40 cm core depth), relative to that pre-  
456 sapropel. This may indicate that the anammox process remained an important N  
457 process in the Mediterranean after bottom water anoxia waned.



458 Combined, the high-resolution results from the S5 and Pliocene sapropels indicate  
459 that the functioning of anammox is not always the same during periods of  
460 Mediterranean anoxia. This demonstrates that the response of the N cycle to anoxic  
461 conditions can vary drastically from one sapropel event to the next.

462

#### 463 4. Conclusion

464 BHT isomer, a lipid synthesised by marine anaerobic ammonium oxidising (anammox)  
465 bacteria, was detected at high concentration in all Mediterranean sapropel sediments.  
466 This study highlights the potential of BHT isomer as a biomarker for anammox during  
467 past periods of basin-wide anoxia. It is also apparent that the response of anammox  
468 to shifts in redox conditions during anoxia is not consistent between sapropel events.  
469 The anammox peak in S5 occurred during core sapropel conditions, whereas  
470 anammox responded in an opposite trend in the Pliocene sapropel record.

471 Investigating the variability of anammox in these sapropel events may enhance our  
472 understanding of N cycling during other periods of intense organic matter deposition  
473 in the past. Sapropel features have been found in the sediment records of different  
474 marginal seas (e.g. Japan Sea, Red Sea; cf. Emeis et al., 1996). The restricted  
475 paleogeography during Oceanic Anoxic Events is also thought to have contributed to  
476 the propagation of anoxia in the Cretaceous and Jurassic. BHT isomer can possibly  
477 be used to explore the role anammox may have played in these basin anoxic events.  
478 The residence time of BHT isomer in marine sediment records likely does not extend  
479 beyond the Early Cretaceous (van Dongen et al., 2006; Talbot et al., 2016a). However,  
480 BHT isomer can be applied to the Paleocene-Eocene Thermal Maximum (PETM; 55  
481 Ma). Thermally stable lipid products of anammox biomass (Rush et al., 2014a) could  
482 serve as alternative biomarkers for anammox in more mature sediments from the  
483 Cretaceous and Jurassic. Furthermore, investigating the compound-specific isotope  
484 values of BHT isomer in a marine sample set will strengthen the use of BHT isomer  
485 as a biomarker for anammox.

486

487



488 5. Acknowledgement

489 Guy Rothwell is thanked for his help collecting LC21 samples from the BOSCORF  
490 repository. We thank the Captain and crew of the R/V Pelagia for the collection of the  
491 sapropel S5 (cruise 64PE406). Pieter Dirksen subsampled the S5 record. Denise van  
492 der Slikke-Dorhout and Çağlar Yıldız are acknowledged for extracting the S5 record.  
493 We are grateful to the Ocean Drilling Program (ODP) for the samples used in this study  
494 as well as to the ODP Core Repository (Bremen, Germany) where Luke Handley and  
495 Thomas Wagner were involved in the collection of ODP Leg 160 sapropel sequences.  
496 Eelco Rohling is thanked for initial discussion about sapropel sampling. Michel  
497 Rohmer is thanked for gifting the original BHT standard to Helen Talbot at Newcastle.  
498 This work was supported by the Natural Environment Research Council (NERC)  
499 project ANAMMARKS (NE/N011112/1) awarded to DR. This work was also supported  
500 by funding from the Netherlands Earth System Science Center (NESSC) through a  
501 gravitation grant (NWO 024.002.001) from the Dutch Ministry for Education, Culture  
502 and Science to JSSD. NERC (Grant number NE/E017088/1) and the European  
503 Research Council (ERC) (Starting Grant No. 258734 awarded to HMT for project  
504 AMOPROX) are gratefully acknowledged for partially funding this research.



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666 Figure Captions

667 Figure 1. Structures of anammox biomarker lipids used in this study.  
668 Bacteriohopanetetrol (BHT); bacteriohopanetetrol stereoisomer (BHT isomer),  
669 unknown stereochemistry; ladderane fatty acids with 3 or 5 cyclobutane moieties and  
670 18 or 20 carbon atoms; short-chain ladderane fatty acids with 3 or 5 cyclobutane  
671 moieties and 14 carbon atoms.

672 Figure 2. Map of the eastern Mediterranean showing the locations of sapropel cores  
673 used in this study. LC21: low-resolution S1, S2, S3, and S5 sapropels from the Aegean  
674 Sea; 64PE406: high-resolution S5 sapropel from the Levantine Basin; ODP 967: high-  
675 resolution Pliocene sapropel from the Levantine Basin. Map created with  
676 SimpleMappr: Shorthouse, David P. 2010. SimpleMappr, an online tool to produce  
677 publication-quality point maps.

678 Figure 3. Box plots of (a) ladderane fatty acid concentration and (b) BHT isomer  
679 concentration in four recent sapropels (S1 - S5; 7 - 125 ka) from the Aegean Sea (R/V  
680 Marion Dufresne LC21). Lines are the mean markers and circles denote data points.

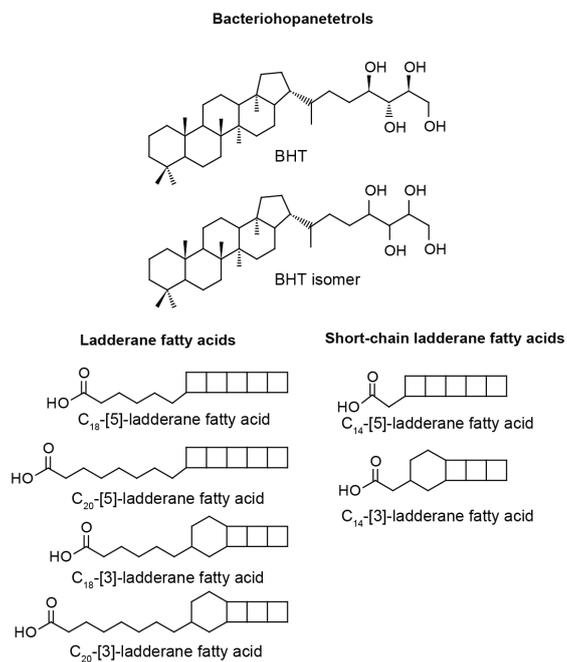
681 Figure 4. (a) Total organic carbon (TOC) content, isotope values of bulk nitrogen ( $\delta^{15}\text{N}$ )  
682 and carbon ( $\delta^{13}\text{C}$ ), and (b) BHT isomer concentration through a high resolution S5  
683 sapropel record from site 64PE406 (R/V Pelagia) in the Levantine Basin. Core photo  
684 provided by R. Hennekam.

685 Figure 5. Total organic carbon (TOC) content (a) and BHT isomer concentration (b)  
686 through a Pliocene sapropel (2.97 Ma) from the Levantine Basin (ODP Leg 160 Site  
687 967). Core photo provided by L. Handley.



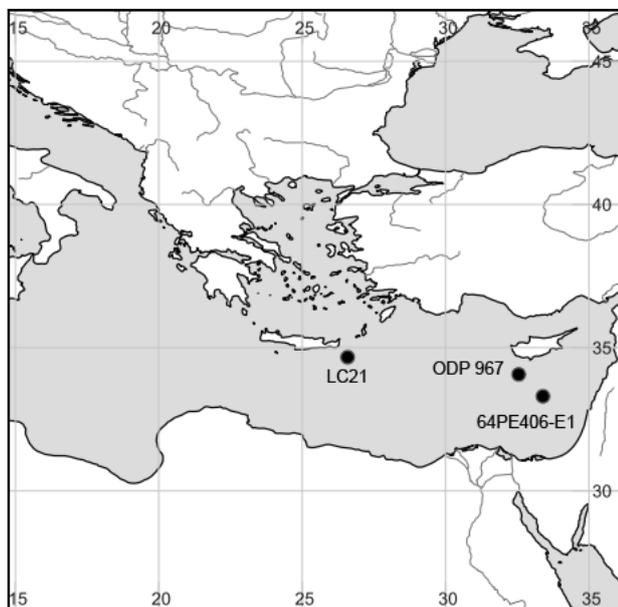
695 Figures

696 Figure 1



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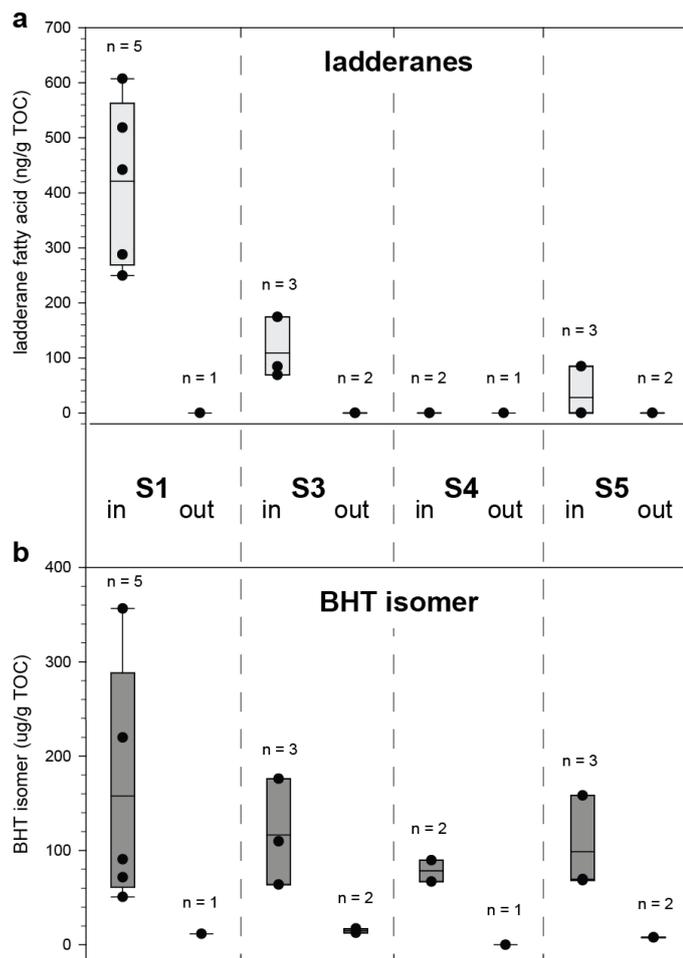
698 Figure 2



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700 Figure 3



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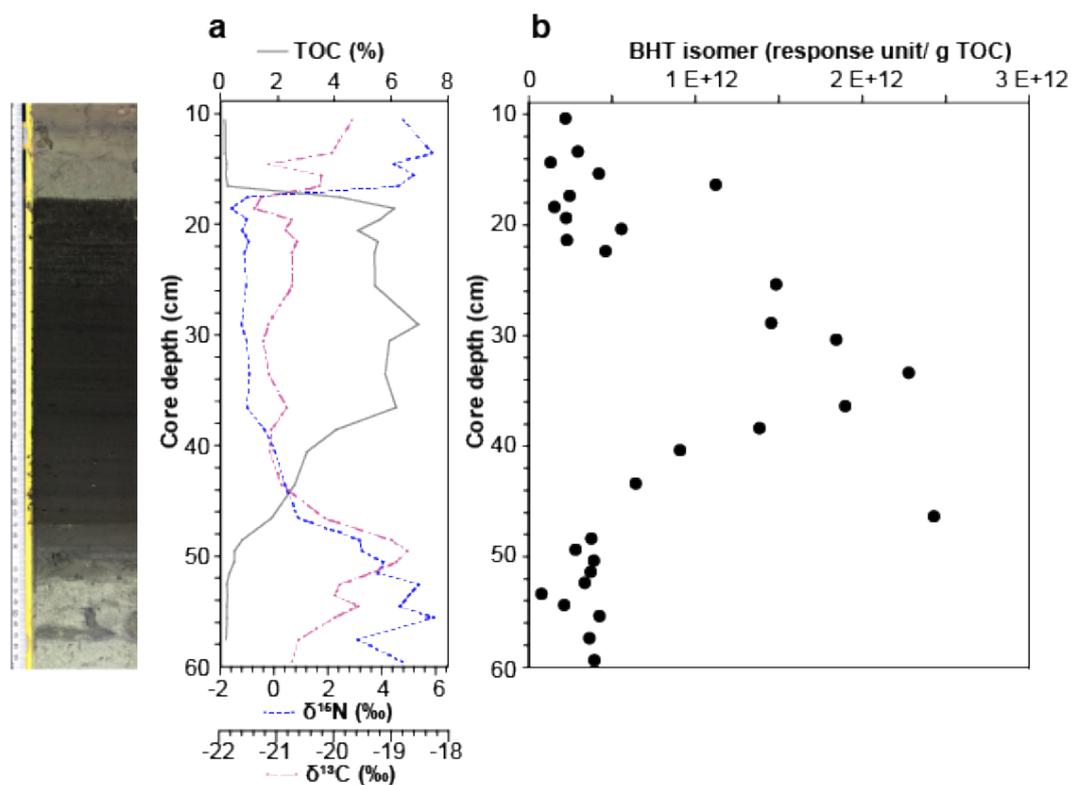
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709 Figure 4



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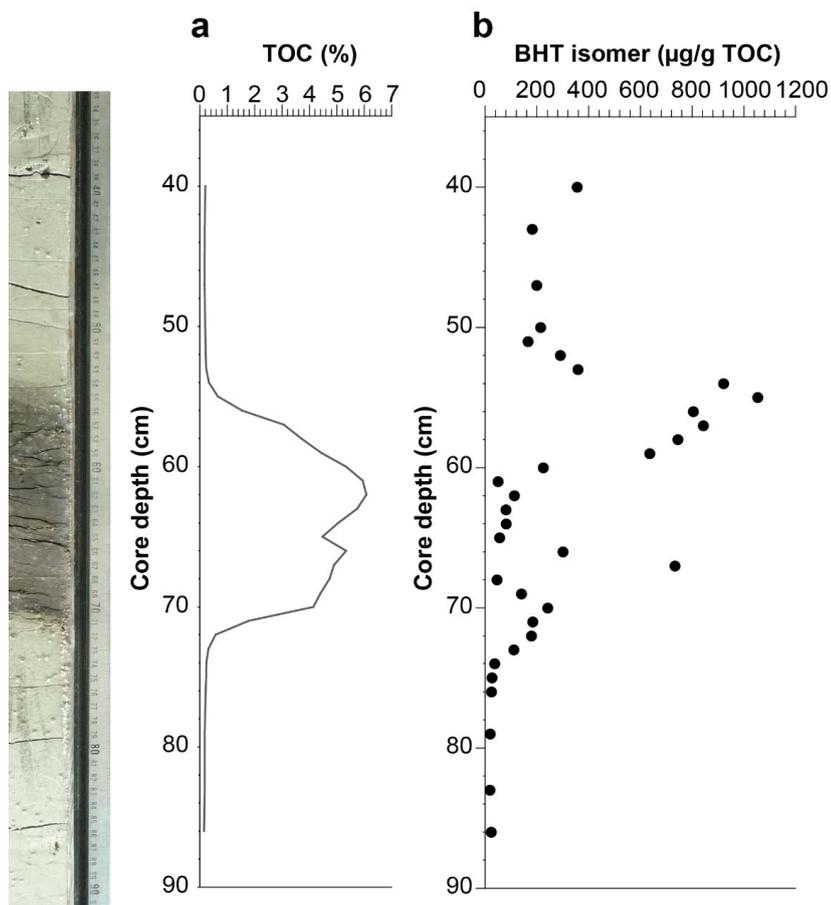
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714 Figure 5



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