



1 **Programmed cell death in diazotrophs and the fate of organic**
2 **matter in the Western Tropical South Pacific Ocean during**
3 **the OUTPACE cruise**

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27 **Abstract**

28 The fate of diazotroph (N_2 fixers) derived carbon (C) and nitrogen (N) and their contribution to vertical
29 export of C and N in the Western Tropical South Pacific Ocean was studied in OUTPACE (Oligotrophy
30 to UTRa-oligotrophy PACific Experiment). Our specific objective during OUTPACE was to determine
31 whether autocatalytic programmed cell death (PCD) is an important mechanism affecting diazotroph
32 mortality and a factor regulating the vertical flux of organic matter and thus the fate of the blooms. We
33 sampled at three long duration (LD) stations of 5 days each (LDA, LDB, and LDC) where drifting
34 sediment traps were deployed at 150, 325 and 500 m depth. LDA and LDB were characterized by high
35 chlorophyll a (Chl *a*) concentrations ($0.2\text{--}0.6 \mu\text{g L}^{-1}$) and dominated by dense biomass of *Trichodesmium*
36 as well as UCYN-B and diatom-diazotroph associations (*Rhizosolenia* with *Richelia*-detected by
37 microscopy and het-1 *nifH* copies). Station LDC was located at an ultra-oligotrophic area of the South
38 Pacific gyre with extremely low Chl *a* concentration ($\sim 0.02 \mu\text{g L}^{-1}$) with limited biomass of diazotrophs
39 predominantly the unicellular UCYN-B. Our measurements of biomass from LDA and LDB yielded
40 high activities of caspase-like and metacaspase proteases that are indicative of PCD in *Trichodesmium*
41 and other phytoplankton. Metacaspase activity, reported here for the first time from oceanic populations,
42 was highest at the surface of both LDA and LDB, where we also obtained high concentrations of
43 transparent exopolymeric particles (TEP). TEP was negatively correlated with dissolved inorganic
44 phosphorus and positively coupled to both the DOC and POC pools reflecting the typically high
45 production of TEP under nutrient stress and its role as a source of sticky carbon facilitating aggregation
46 and rapid vertical sinking. Evidence for bloom decline was observed at both LDA and LDB. However,
47 the physiological status and rates of decline of the blooms differed between the stations, influencing the
48 amount of accumulated diazotrophic organic matter and mass flux observed in the traps during our
49 experimental time frame. At LDA sediment traps contained the greatest export of particulate matter and
50 significant numbers of both intact and decaying *Trichodesmium*, UCYN-B, and het-1 compared to LDB
51 where the bloom decline began only 2 days prior to leaving the station and to LDC where no evidence
52 for bloom decline was seen. Substantiating previous findings from laboratory cultures linking PCD to
53 carbon export in *Trichodesmium*, our results from OUTPACE indicate that induction of PCD by nutrient
54 limitation in high biomass blooms such as *Trichodesmium* or diatom-diazotroph associations combined
55 with high TEP production facilitates cellular aggregation and bloom termination, and expedites vertical
56 flux to depth.

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65 1. Introduction

66 The efficiency of the biological pump, essential in the transfer and sequestration of carbon to the
67 deep ocean, depends on the balance between growth (production) and death. Moreover, the manner in
68 which marine organisms die may ultimately determine the flow of fixed organic matter within the
69 aquatic environment and whether organic matter is incorporated into higher trophic levels, recycled
70 within the microbial loop sustaining subsequent production, or sink out (and exported) to depth.

71 Important contributors to the biological pump are N₂ fixing (diazotrophic) prokaryotic organisms
72 whose ability to fix atmospheric N₂ confers an inherent advantage in the nitrogen-limited surface
73 waters of many regions. The oligotrophic waters of the Western Tropical South Pacific (WTSP) have
74 been characterized by some of the highest recorded rates of N₂ fixation (151-700 μmol N m⁻² d⁻¹)
75 (Garcia et al., 2007; Bonnet et al., 2005), and can reach up to 1200 μmol N m⁻² d⁻¹ (Bonnet et al.,
76 2017b). These rates of N₂ fixation are accompanied with diazotrophic communities comprised of
77 unicellular cyanobacteria lineages (UCYNA, B and C), diatom-diazotroph associations such as
78 *Richelia* associated with *Rhizosolenia*, and diverse heterotrophic bacteria such as alpha and γ-
79 protobacteria. The most conspicuous of all diazotrophs, and predominating in terms of biomass, is the
80 filamentous bloom-forming cyanobacteria *Trichodesmium* forming massive surface blooms that
81 supply ~ 60-80 Tg N yr⁻¹ of the 100-200 Tg N yr⁻¹ of the estimated marine N₂ fixation (Capone et al.,
82 1997; Carpenter et al., 2004) with a large fraction fixed in the South West tropical Pacific (Dupouy et
83 al., 2000; Dupouy et al., 2011; Tenorio et al., in review) that may, based- on NanoSIMS cell-specific
84 measurements, contribute up to ~ 80 % of bulk N₂ fixation rates in the WTSP (Bonnet et al., 2017a).

85 How *Trichodesmium* or other diazotrophic blooms form and develop has been intensely
86 investigated while little data is found regarding the fate of blooms. *Trichodesmium* blooms often
87 collapse within 3-5 days, with mortality rates paralleling bloom development rates (Rodier and Le
88 Borgne, 2008; Rodier and Le Borgne, 2010; Bergman et al., 2012). Cell mortality can occur due to
89 grazing (O'Neil, 1998), viral lysis (Hewson et al., 2004; Ohki, 1999), and/or programmed cell death
90 (PCD) an autocatalytic genetically controlled death (Berman-Frank et al., 2004). PCD is induced in
91 response to oxidative and nutrient stress, as has been documented in both laboratory and natural
92 populations of *Trichodesmium* (Berman-Frank et al., 2004; Berman-Frank et al., 2007) and in other
93 phytoplankton (Bidle, 2015). The cellular and morphological features of PCD in *Trichodesmium*,
94 include elevated gene expression and activity of metacaspases and caspase like-proteins important for
95 initiation and execution of PCD; increased production of transparent exopolymeric particles (TEP)
96 whose sticky matrix augments cell and particle aggregation; loss of buoyancy by gas-vesicle
97 degradation resulting in rapid sinking rates (Bar-Zeev et al., 2013; Berman-Frank et al., 2004).

98 Simulating PCD in laboratory cultures of *Trichodesmium* in 2 m water columns (Bar-Zeev et al.,
99 2013) led to a collapse of the *Trichodesmium* biomass and to greatly enhanced sinking of large



100 aggregates reaching rates of up to $\sim 200 \text{ m d}^{-1}$ that efficiently exported particulate organic carbon
101 (POC) and particulate organic nitrogen (PON) to the bottom of the water column. Although the
102 sinking rates and degree of export from this model system could not be extrapolated to the ocean, this
103 study mechanistically linked autocatalytic PCD and bloom collapse to quantitative C and N export
104 fluxes, suggesting that PCD may have an impact on the biological pump efficiency in the oceans (Bar-
105 Zeev et al., 2013).

106 We further examined this issue in the open ocean and investigated the cellular processes mediating
107 *Trichodesmium* mortality in a large surface bloom from the New Caledonian lagoon (Spungin et al.,
108 2016). Nutrient stress induced a PCD mediated crash of the *Trichodesmium* bloom. The filaments and
109 colonies were characterized by upregulated expression of metacaspase genes, downregulated
110 expression of gas-vesicle genes, enhanced TEP production, and aggregation of the biomass (Spungin
111 et al., 2016). However, due to experimental conditions we could not measure the subsequent export
112 and vertical flux of the dying biomass in the open ocean. Moreover, while the existence and role of
113 PCD and its mediation of biogeochemical cycling of organic matter has been investigated in
114 *Trichodesmium*, scarce information exists about PCD and other mortality pathways of other common
115 marine diazotrophs.

116 The OUTPACE (Oligotrophy to UlTra-oligotrophy PACific Experiment) cruise was conducted
117 from 18 February to 3 April 2015 along a west to east gradient from the oligotrophic area north of
118 New Caledonia to the ultraoligotrophic western South Pacific gyre (French Polynesia). The goal of the
119 OUTPACE experiment was to study the diazotrophic blooms and their fate within the oligotrophic
120 ocean in the Western Tropical South Pacific Ocean (Moutin et al., 2017). Our specific objective was to
121 determine whether PCD was an important mechanism affecting diazotroph mortality and a factor
122 regulating the fate of the blooms by mediation of vertical flux of organic matter. The strategy and
123 experimental approach of the OUTPACE transect enabled sampling at three long duration (LD)
124 stations of 5 days each (referred to as stations LDA, LDB, and LDC) and provided 5-day snapshots
125 into diazotroph physiology, dynamics, and mortality processes. We specifically probed for the
126 induction and operation of PCD and examined the relationship of PCD to the fate of organic matter
127 and vertical flux from diazotrophs by the deployment of 3 sediment traps at 150, 325 and 500 m
128 depths.

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134 **2. Methods**

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136 **2.1. Sampling site and sampling conditions**

137 Sampling was conducted on a transect during austral summer (18 Feb-5 Apr, 2015), on board of
138 the R/V L'Atalante (Moutin et al., 2017). Samples were collected from three long duration stations
139 (LD-A, LD-B and LD-C) where the ship remained for 5 days at each location and 15 short duration
140 (SD1-15) stations (approximately eight hours duration). The cruise transect was divided into two
141 geographic regions. The first region (Melanesian archipelago, MA) included SD1-12, LDA and LDB
142 stations (160° E-178° E and 170°-175° W). The second region (subtropical gyre, GY) included SD 13-
143 15 and LDC stations (160° W-169° W).

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145 **2.2. Chlorophyll *a***

146 Samples for determination of (Chl *a*) concentrations were collected by filtering 550 ml sea water
147 on GF/F filters (Whatman, UK). Filters were frozen and stored in liquid nitrogen, Chl *a* was extracted
148 in Methanol and measured fluorometrically (Turner Designs Trilogy Optical kit) (Le Bouteiller et al.,
149 1992). Satellite derived surface Chl *a* concentrations at the LD stations were used from before and
150 after the cruise sampling at the LD stations. Satellite Chl *a* data are added as supplementary video files
151 (Supplementary videos S1, S2, S3).

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153 **2.3. Caspase and metacaspase activities**

154 Biomass was collected on 25 mm, 0.2 µm pore-size polycarbonate filters and resuspended in 0.6-1
155 ml Lauber buffer [50 mM HEPES (pH 7.3), 100 mM NaCl, 10 % sucrose, 0.1 % (3-cholamidopropyl)-
156 dimethylammonio-1-propanesulfonate, and 10 mM dithiothreitol] and sonicated on ice (four cycles of
157 30 seconds each) using an ultracell disruptor (Sonic Dismembrator, Fisher Scientific, Waltham, MA,
158 USA). Cell extracts were centrifuged (10,000 x g, 2 min, room temperature), and the supernatant was
159 collected for caspase and metacaspase activity measurements. Caspase specific activity (normalized to
160 total protein concentration) was determined by measuring the kinetics of cleavage for the fluorogenic
161 caspase substrate (Z-IETD-AFC) at a 50 mM final concentration (using Ex 400 nm, Em 505 nm;
162 Synergy4 BioTek, Winooski, VT, USA), as previously described in Bar-Zeev et al. (2013).
163 Metacaspase specific activity (normalized to total protein concentration) was determined by measuring
164 the kinetics of cleavage for the fluorogenic metacaspase substrate (Av-Val-Arg-Pro-Arg-AMC),
165 (Klemenčič et al., 2015; Tsiatsiani et al., 2011) at a 50 mM final concentration (using Ex 380 nm, Em
166 460 nm; Synergy4 BioTek, Winooski, VT, USA) (Klemenčič et al., 2015; Tsiatsiani et al., 2011).
167 Relative fluorescence units were converted to protein-normalized substrate cleavage rates using AFC
168 and AMC standards (Sigma) for caspase and metacaspase activities, respectively. Total protein
169 concentrations were determined by Pierce™ BCA protein assay kit (Thermo Scientific product
170 #23225).



171 **2.4. Phosphate analysis**

172 Seawater for PO_4^{3-} analysis were collected in 20 mL high-density polyethylene HCL-rinsed
173 bottles and poisoned with HgCl_2 to a final concentration of $20 \mu\text{g L}^{-1}$, stored at 4°C until analysis.
174 Phosphate (PO_4^{3-} , DIP) was determined by a standard colorimetric technique using a segmented flow
175 analyzer according to Aminot and K erouel (2007) on a SEAL Analytical AA3 HR system 20 (SEAL
176 Analytica, Serblabo Technologies, Entraigues Sur La Sorgue, France). Quantification limits for
177 phosphate were $0.05 \mu\text{mol L}^{-1}$.

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179 **2.5. Particulate organic carbon (POC) and nitrogen (PON)**

180 Samples were filtered through pre-combusted (4 h, 450°C) GF/F filters (Whatman GF/F, 25 mm),
181 dried overnight at 60°C and stored in a desiccator until further analysis. POC and PON were
182 determined using a CHN analyzer Perkin Elmer (Waltham, MA, USA) 2400 Series II CHNS/O
183 Elemental Analyzer after carbonate removal from the filters using overnight fuming with concentrated
184 HCl vapor.

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186 **2.6. Dissolved organic carbon (DOC) and Total organic carbon (TOC)**

187 Samples were collected from the Niskin bottles in combusted glass bottles and were immediately
188 filtered through 2 precombusted (24 h, 450°C) glass fiber filters (Whatman GF/F, 25 mm). Filtered
189 samples were collected into glass precombusted ampoules that were sealed immediately after
190 filtration. Samples were acidified with Orthophosphoric acid (H_3PO_4) and analyzed by high
191 temperature catalytic oxidation (HTCO) (Sugimura and Suzuki, 1988;Cauwet, 1994) on a Shimadzu
192 TOC-L analyzer. TOC was determined as POC+DOC.

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194 **2.7. Transparent exopolymeric particles (TEP)**

195 Water samples (100 mL) were gently ($< 150 \text{ mbar}$) filtered through a $0.45 \mu\text{m}$ polycarbonate filter
196 (GE Water & Process Technologies). Filters were then stained with a solution of 0.02 % Alcian blue
197 (AB) and 0.06 % acetic acid (pH of 2.5), and the excess dye was removed by a quick deionized water
198 rinse. Filters were then immersed in sulfuric acid (80 %) for 2 h, and the absorbance (787 nm) was
199 measured spectrophotometrically (CARY 100, Varian). AB was calibrated using a purified
200 polysaccharide gum xanthan (GX) (Passow and Alldredge, 1995). TEP concentrations ($\mu\text{g GX}$
201 equivalents L^{-1}) were measured according to Passow and Alldredge (1995). To estimate the role of
202 TEP in C cycling, the total amount of TEP-C was calculated using the TEP concentrations at each
203 depth, and the conversion of GX equivalents to carbon applying the revised factor of 0.63 based on
204 empirical experiments from both natural samples from different oceanic areas and phytoplankton
205 cultures (Engel, 2004).



206 **2.8. Diazotrophic abundance**

207 The full description of DNA extraction, primer design and qPCR analyses are described in detail in
208 this issue (Stenegren et al., 2017). Briefly, 2.5 L of water from 6-7 depths with surface irradiance light
209 intensity (100, 75, 54, 36, 10, 1, and 0.1 %) were sampled and filtered onto a 25 mm diameter Supor
210 filter (Pall Corporation, PallNorden, AB Lund Sweden) with a pore size 0.2 µm filters. Filters were
211 stored frozen in pre-sterilized bead beater tubes (Biospec Bartlesville Ok, USA) containing 30 mL of
212 0.1 mm and 0.5 mm glass bead mixture. DNA was extracted from the filters using a modified protocol
213 of the Qiagen DNAeasy plant kit (Moisander et al., 2008) and eluted in 70 µL. With the re-eluted DNA
214 extracts ready, samples were analyzed using the qPCR instrument StepOnePlus (Applied Biosystems)
215 and fast mode. Previously designed TaqMAN assays and oligonucleotides and standards were prepared
216 in advance and followed previously described methods for the following cyanobacterial diazotrophs:
217 *Trichodesmium*, UCYN-A1, UCYN-A2, UCYN-B, *Richelia* symbionts of diatoms (het-1, het-2, het-3)
218 (Stenegren et al., 2017; Church et al., 2005; Foster et al., 2007; Moisander et al., 2010; Thompson et al.,
219 2012).

220 **2.9. Microscopy**

221 Samples for microscopy were collected in parallel from the same depth profiles for nucleic acid as
222 described in Stenegren et al. (2017). Briefly, 2 profiles were collected on day 1 and 3 at each LD station
223 and immediately filtered onto a 47 mm diameter Poretics (Millipore, Merck Millipore, Solna,
224 Sweden) membrane filter with a pore size of 5 µm using a peristaltic pump. After filtration samples
225 were fixed with a 1 % paraformaldehyde (v/v) for 30 min. prior to storing at -20 °C. The filters were
226 later mounted onto an oversized slide, and examined under an Olympus BX60 microscope equipped
227 with blue (460-490 nm) and green (545-580 nm) excitation wavelengths. Three areas (0.94 mm²) per
228 filter were counted separately and values were averaged. When abundances were low, the entire filter
229 (area=1734 mm²) was observed and cells enumerated. Due to poor fluorescence, only *Trichodesmium*
230 colonies and free-filaments could be accurately enumerated by microscopy, and in addition the larger
231 cell diameter *Trichodesmium* (*Katagnemene pelagicum*) was counted separately as these were often
232 present albeit at lower densities. Other cyanobacterial diazotrophs (e.g. *Crocospaera watsonii*-like
233 cells, the *Richelia* symbionts of diatoms were present but with poor fluorescence and could only be
234 qualitatively noted.

235 **2.10. Particulate matter from sediment traps**

236 Particulate matter export was quantified with three PPS5 sediment traps (1 m² surface collection,
237 Technicap, France) deployed for 5 days at 150, 330 and 520 m at each LD station. Particle export was
238 recovered in polyethylene flasks screwed on a rotary disk which allowed flasks to be changed
239 automatically every 24-h to obtain a daily material recovery. The flasks were previously filled with a



240 buffered solution of formaldehyde (final conc. 2 %) and were stored at 4 °C until analysis to prevent
241 degradation of the collected material. The flask corresponding to the fifth day of sampling on the
242 rotary disk was not filled with formaldehyde to collect ‘fresh particulate matter’ for further diazotroph
243 quantification. Exported particulate matter was weighed and analyzed on EA-IRMS (Integra2, Sercon
244 Ltd) to quantify exported PC and PN.

245 **2.11. Diazotroph abundance in the traps**

246 Triplicate aliquots of 2-4 mL from the flask dedicated for diazotroph quantification were filtered
247 onto 0.2 µm Supor filters, flash frozen in liquid nitrogen and stored in at -80 °C until analyses. Nucleic
248 acids were extracted from the filters as described in Moisander et al. (2008) with a 30 second
249 reduction in the agitation step in a Fast Prep cell disruptor (Thermo, Model FP120; Qbiogene, Inc.
250 Cedex, France) and an elution volume of 70 µl. Diazotroph abundance for *Trichodesmium* spp.,
251 UCYN-B, UCYN-A1, het-1, and het-2 were quantified by qPCR analyses on the *nifH* gene using
252 previously described oligonucleotides and assays (Foster et al., 2007; Church et al., 2005). The qPCR
253 was conducted in a StepOnePlus system (applied Biosystems, Life Technologies, Stockholm Sweden)
254 with the following parameters: 50 °C for 2 min, 95°C for 10 min, and 45 cycles of 95°C for 15s
255 followed by 60°C for 1 min. Gene copy numbers were calculated from the mean cycle threshold (Ct)
256 value of three replicates and the standard curve for the appropriate primer and probe set. For each
257 primer and probe set, duplicate standard curves were made from 10-fold dilution series ranging from
258 108 to 1 gene copies per reaction. The standard curves were made from linearized plasmids of the
259 target *nifH* or from synthesized gBlocks gene fragments (IDT technologies, Cralville, Iowa USA).
260 Regression analyses of the results (number of cycles=Ct) of the standard curves were analyzed in
261 Excel. 2 µl of 5 KDa filtered nuclease free water was used for the no template controls (NTCs). No
262 *nifH* copies were detected for any target in the NTC. In some samples only 1 or 2 of the 3 replicates
263 produced an amplification signal; these were noted as detectable but not quantifiable (dnq). A 4th
264 replicate was used to estimate the reaction efficiency for the *Trichodesmium* and UCYN-B targets as
265 previously described in (Short et al., 2004). Seven and two samples were below 95 % in reaction
266 efficiency for *Trichodesmium* and UCYN-B, respectively. The detection limit for the qPCR assays is
267 1-10 copies.

268 **2.12. Statistics**

269 A Pearson correlation coefficient test was applied to examine the association between two
270 variables after linear regressions or log transformation of the data. Statistical analyses were carried out
271 with XLSTAT, a Microsoft Office Excel based software.

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274 3. Results and discussion

275 3.1. Diazotrophic characteristics and abundance in the LD stations

276 The sampling strategy of the transect was planned so that changes in abundance and fate of
277 diazotrophs could be followed in “long duration” stations where measurements were taken from the
278 same water mass (and location) over 5 days and drifting sediment traps were deployed (Moutin et al.,
279 2017). Although rates for the different parameters were obtained for 5 days, this period is still a
280 “snapshot” in time with the processes measured influenced by preceding events and also continuing
281 after the ship departed. Specifically, production of photosynthetic biomass (as determined from
282 satellite-derived Chl *a*) and development of surface phytoplankton blooms, including cyanobacterial
283 diazotrophs, displayed specific characteristics for each of the long duration stations. We first examined
284 the satellite-derived surface Chl *a* concentrations by looking at changes around the long duration (LD)
285 stations before and after our 5 day sampling at each station [daily surface Chl *a* (mg m^{-3})]
286 (Supplementary videos S1, S2, S3).

287 At LDA, satellite data confirmed high concentrations of Chl *a* indicative of intense surface blooms
288 ($\sim 0.55 \mu\text{g L}^{-1}$) between 8.02.15 to 19.02.15 which began to gradually decline with over 60 % Chl *a*
289 reduction until day 1 at the station (Supplementary video S1, Fig. 1a). By the time we reached LDA on
290 25.02.15 (day 1) Chl *a* concentrations averaged $\sim 0.2 \mu\text{g L}^{-1}$ Chl *a* at the surface (Fig. 1a) and
291 remained steady for the next 5 days with Chl *a* values of $0.23 \mu\text{g L}^{-1}$ measured on day 5 (Fig 1a).
292 When looking for biomass at depth the DCM recorded at ~ 80 m depth was characterized by Chl *a*
293 concentrations increasing from 0.34 to $0.48 \mu\text{g L}^{-1}$ between day 3 and 5 respectively (Fig. 1d). While
294 the Chl *a* values of the surface biomass decreased for approximately one week prior to our sampling at
295 station, the Chl *a* concentrations measured at depth increased during the corresponding time.

296 In contrast to LDA, the satellite data from LDB confirmed the presence of a surface bloom/s for
297 over one month prior to our arrival at the station on 15.3.15 (day 1) (Supplementary video S2, Fig.
298 1b). This bloom was characterized by high surface Chl *a* concentrations ($\sim 0.6 \mu\text{g L}^{-1}$, Supplementary
299 video S2) and on day 1 at the station surface Chl *a* was $0.58 \mu\text{g L}^{-1}$ (Fig. 1b). Surface Chl *a* then
300 decreased over the next days at the station with a 50 % reduction of Chl *a* concentration from the sea
301 surface (5m) on day 5 ($0.35 \mu\text{g L}^{-1}$), (Fig. 1e). Thus, it appears that our 5 sampling days at LDB were
302 tracking a surface bloom that had only begun to decline after day 3 and continued to decrease (~ 0.1
303 $\mu\text{g L}^{-1}$) also after we have left (Fig.1b). On day 1 of sampling, the DCM at LDB was relatively
304 shallow, at 40 m with Chl *a* values of $0.5 \mu\text{g L}^{-1}$. By day 5 the DCM had deepened to 80 m (de Verneil
305 et al., 2017).

306 LDC was located in a region of extreme oligotrophy within the Cook Islands territorial waters
307 (GY waters). This station was characterized historically (~ 4 weeks before arrival) by extremely low
308 Chl *a* concentrations at the surface ($\sim 0.02 \mu\text{g L}^{-1}$, Supplementary video S3) that were an order of



309 magnitude lower than average Chl *a* measured at LDA and LDB. These values remained low with no
310 significant variability for the 5 days at station or later (Fig. 1f) (Supplementary video S3, Fig. 1c).
311 Similar to the results from LDA, the DCM at LDC was found near the bottom of the photic layer at ~
312 135 m, with Chl *a* concentrations about 10-fold higher than those measured at surface with ~ 0.2 µg
313 L⁻¹ (Fig. 1f).

314 Chl *a* is an indirect proxy of photosynthetic biomass and we thus needed to ascertain who the
315 dominant players (specifically targeting diazotrophic populations) were at each of the LD stations.
316 Moreover, At LDA and LDB diazotrophic composition and abundance as determined by qPCR
317 analysis were quite similar. At LDA *Trichodesmium* was the most abundant diazotroph, ranging
318 between 6x10⁴-1x10⁶ *nifH* copies L⁻¹ in the upper water column (0-70 m). UCYN-B (genetically
319 identical to *Crocospaera watsonii*) co-occurred with *Trichodesmium* between 35 and 70 m, and het1
320 specifically identifying the diatom-diazotroph association (DDA) between the diatom *Rhizosolenia*
321 and the heterocystous diazotroph *Richelia*, was observed only at the surface waters at 4 m. UCYN-B
322 and het-1 abundances were relatively lower than *Trichodesmium* abundances with 2x10² *nifH* copies
323 L⁻¹ and 3x10³ *nifH* copies L⁻¹ respectively (Stenegren et al., 2017). Microscopic observations from
324 LDA indicated that near the surface *Rhizosolenia* populations were already showing signs of decay
325 since the silicified cell-wall frustules were broken and free filaments of *Richelia* were observed (Fig.
326 2e-f) (Stenegren et al., 2017). DDAs are significant N₂ fixers in the oligotrophic oceans. Although
327 their abundance in the WTSP is usually low, they are common and highly abundant in the New
328 Caledonian lagoon significantly impacting C sequestration and rapid sinking (Turk-Kubo et al., 2015).

329 At LDB, *Trichodesmium* was also the most abundant diazotroph with *nifH* copies L⁻¹ ranging
330 between 1x10⁴-5x10⁵ within the top 60 m (Stenegren et al., 2017). Microscopical analyses confirmed
331 high abundance of free filaments of *Trichodesmium* at LDB, while colonies were rarely observed
332 (Stenegren et al., 2017). Observations of poor cell integrity were reported for most collected samples,
333 with filaments at various stages of degradation and colonies under possible stress (Fig. 2a-d). In
334 addition to *Trichodesmium*, UCYN-B was the second most abundant diazotroph ranging between
335 1x10² and 2x10³ *nifH* copies L⁻¹. Other unicellular diazotrophs of the UCYN groups (UCYN-A1 and
336 UCYN-A2) were the least detected diazotrophs (Stenegren et al., 2017). Of the three heterocystous
337 cyanobacterial symbiont lineages (het-1, het-2, het-3), het-1 was the most dominant (1x10¹-4x10³ *nifH*
338 copies L⁻¹), (Stenegren et al., 2017). Microscopic analyses from LDB demonstrated the co-occurrence
339 of degrading diatom cells, mainly belonging to *Rhizosolenia* (Stenegren et al., 2017) (Fig. 2e-f).

340 In contrast to LDA and LDB, at LDC, the highest *nifH* copy numbers (up to 6x10⁵ *nifH* copies L⁻¹ at
341 60 m depth were from the unicellular diazotrophs UCYN-B (Stenegren et al., 2017) *Trichodesmium*
342 was only detected at 60 m and with very low copy numbers of *nifH* (~7x10² *nifH* copies L⁻¹)
343 (Stenegren et al., 2017).



344 Corresponding to the physiological status of the bloom, higher N₂ fixation rates (45.0 nmol N L⁻¹
345 d⁻¹) were measured in the surface waters (5m) of LDB in comparison with those measured at LDA and
346 LDC (19.3 nmol N L⁻¹ d⁻¹ in LDA and below the detection limit at LDC at 5m), (Caffin et al., 2017).

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348 **3.2. Diazotrophic bloom demise in the LD stations**

349 Of the 3 long duration stations we examined, LDA and LDB had a higher biomass of diazotrophs
350 during the 5 days of sampling and as shown (section 3.1). Furthermore, while our analyses examining
351 bloom dynamics show these stations experiencing different stages of decline from the satellite-derived
352 Chl *a* concentrations, both LDA and LDB were still characterized by high (and visible to the eye at
353 surface) biomass on the first sampling day at each station (day 1) as determined by qPCR and
354 microscopy (Stenegren et al., this issue). This is different from LDC where biomass was extremely
355 limited, and no clear evidence was obtained for any specific bloom or bloom demise. We therefore
356 show results mostly from LDA and LDB and focus specifically on the evidence for PCD and
357 diazotroph decline in areas with high biomass and surface blooms.

358 Although the mortality of phytoplankton at sea can be difficult to discern as it results from
359 several processes (grazing, viral lysis, PCD), not necessarily acting independently of one another, we
360 here focused on evidence for PCD and whether the influence of zooplankton grazing on the
361 diazotrophs and especially on *Trichodesmium* at LDA and LDB impacted bloom dynamics. At LDA
362 and LDB total zooplankton population was generally low. Total zooplankton population at LDA
363 ranged between 911-1900 individuals m⁻³ and in LDB between 1209-2188 individuals m⁻³ on day 1
364 and day 5 respectively. *Trichodesmium* is toxic and inedible to most zooplankton excluding three
365 species of harpacticoid zooplankton (O'Neil and Roman, 1994). During our sampling days at these
366 stations, *Macrosetella gracilis* a specific grazer of *Trichodesmium* comprised less than 1 % of the
367 total zooplankton community with another grazer *Miracia efferata* comprising less than 0.1 % of total
368 zooplankton community. *Oculosetella gracilis* was not found at these stations. The low number of
369 harpacticoid zooplankton specifically grazing on *Trichodesmium* found in the LDA and LDB station,
370 refutes the possibility that grazing caused the massive demise of the bloom. Moreover, the toxicity of
371 *Trichodesmium* to many grazers (Rodier and Le Borgne, 2008; Kerbrat et al., 2011) could critically
372 limit the amount of *Trichodesmium*-derived recycled matter within the upper mixed layer. Virus
373 abundance and activity were not enumerated in this study, so we cannot estimate their influence on
374 mortality.

375 Previous studies demonstrated that limited availability of Fe and P induce PCD in
376 *Trichodesmium*. At LDA and LDB, Fe concentrations were relatively high, possibly due to island
377 effects (de Verneil et al., 2017), that could have created favorable conditions for diazotrophs that
378 require high Fe for the energy expensive processes of N₂ fixation and photosynthesis and thus could
379 enhance the potential for increased growth rates and the formation of dense surface blooms.



380 Phosphorus availability, or lack of phosphorus, can also induce PCD (Berman-Frank et al.,
381 2004;Spungin et al., 2016). PO_4^{3-} concentrations at the surface (0-40m) of LDA and LDB stations
382 were extremely low around $0.05 \mu\text{mol L}^{-1}$ (de Verneil et al., 2017), possibly consumed by the high
383 biomass and high growth rates of the bloom causing nutrient stress and bloom mortality. PO_4^{3-}
384 concentrations observed at LDC were above the quantification limit with average values of $0.2 \mu\text{mol}$
385 L^{-1} in the 0-150 m depths (data not shown). These limited P concentrations may curtail the extent of
386 growth, induce PCD, and pose an upper limit on biomass formation.

387 Here we compared, for the first time in oceanic populations, two PCD indices, caspase and
388 metacaspase activities, to examine the presence/operation of PCD in the predominant phytoplankton
389 (and diazotroph) populations along the transect. We specifically show the results from LDA and LDB
390 where biomass and activities were detectable. Classic caspases are absent in phytoplankton, including
391 in cyanobacteria, and are unique to metazoans and several viruses (Minina et al., 2017). In diverse
392 phytoplankton the presence of a C14 caspase domain suffices to demonstrate caspase-like proteolytic
393 activity that occurs upon PCD induction when the caspase specific substrate IETD-AFC is added.
394 Cyanobacteria and many diazotrophs do contain genes that are similar to caspases, the metacaspases-
395 cysteine proteases that share structural properties with caspases, specifically a histidine-cysteine
396 catalytic dyad in the predicted active site (Tsiatsiani et al., 2011). While the specific role and functions
397 of these genes are unknown, preliminary investigations have indicated that when PCD is induced some
398 of these genes are upregulated (Bidle and Bender, 2008;Spungin et al., 2016). Of the abundant
399 diazotrophic populations at LDA and LDB 12 metacaspases have previously been identified in
400 *Trichodesmium* (Asplund-Samuelsson et al., 2012;Asplund-Samuelsson, 2015;Jiang et al.,
401 2010;Spungin et al., 2016). Phylogenetic analysis of a wide diversity of truncated metacaspase
402 proteins, containing the conserved and characteristic caspase super family (CASC; cl00042) domain
403 structure, revealed metacaspase genes in both *Richelia* (het-1) from the diatom-diazotroph association
404 and *Crocospaera watsonii* (a cultivated unicellular cyanobacterium) which is genetically identical to
405 the UCYN-B *nifH* sequences (Spungin et al., unpublished data).

406 We compared between metacaspase and caspase-like activities for the $> 0.2 \mu\text{m}$ fraction
407 sampled assuming that the greatest activity would be due to the principle organisms contributing to the
408 biomass – i.e the diazotrophic cyanobacteria. Caspase activity and metacaspase activity were
409 specifically measured during all LD stations (days 1,3,5) at 5 depths between 0-200 m. Caspase
410 activity at the surface waters (50 m) at LDA, as determined by the cleavage of IETD-AFC substrate,
411 was between 2.3 ± 0.1 - 2.8 ± 0.1 pM hydrolyzed mg protein⁻¹ on days 1 and 3 respectively (Fig. 3a). The
412 highest activity was measured on day 5 at 50 m with 5.1 ± 0.1 pM hydrolyzed mg protein⁻¹. Similar
413 trends were obtained at LDA for metacaspase activity as measured by the cleavage of the VRPR-AMC
414 substrate, containing an Arg residue at the P1 position, specific for metacaspase cleavage, (Tsiatsiani
415 et al., 2011;Klemenčič et al., 2015). High and similar metacaspase activities were measured on days 1



416 and 3 (50 m) with 32 ± 4 and 35 ± 0.2 pM hydrolyzed mg protein⁻¹ respectively (Fig. 3a). The highest
417 metacaspase activity was measured on day 5 at 50 m with 59 ± 1 pM hydrolyzed mg protein⁻¹ at 50 m
418 decreasing with depth (Fig. 3b).

419 Caspase activity at LDB, was similar at all sampling days, with highest activity at the surface,
420 ranging from 3 ± 0.1 to 4.5 ± 0.2 pM hydrolyzed mg protein⁻¹ min⁻¹ at 7 m depth and then decreasing
421 with depth (Fig. 3d). At day 3 caspase activity at LDB increased at the surface with 4.5 ± 0.2 pM
422 hydrolyzed mg protein⁻¹ min⁻¹ and then declined by day 5 back to 3 ± 0.1 pM hydrolyzed mg protein⁻¹
423 min⁻¹. The decrease in activity at the surface between day 3 and 5 was accompanied by an increase in
424 caspase activity measured in the DCM between day 3 and 5 (Fig. 3d). Caspase activity at the DCM at
425 day 3 (35 m) was 1 ± 0.4 pM hydrolyzed mg protein⁻¹ min⁻¹ and by day 5 increased to 3 ± 0.1 pM
426 hydrolyzed mg protein⁻¹ min⁻¹ at the 70 m depth of the DCM. Thus, at LDB, caspase activity increased
427 from day 1 to 5 and with depth, with higher activities that initially were recorded at surface and then at
428 depth coupled with the decline of the bloom (Fig. 3d). Similar trends were obtained at LDB for
429 metacaspase activity with the 11.1 ± 0.1 pM hydrolyzed mg protein⁻¹ min⁻¹ at the surface (7 m) on day 1. A
430 4-fold increase in activity was measured at the surface on day 3 with 40.1 ± 5 pM hydrolyzed mg
431 protein⁻¹ min⁻¹ (Fig. 3e). Similar high activities were measured also on day 5 (Fig. 3e). However, the
432 increase in activity was also pronounced at depth of ~ 70 m and not only at the surface. Metacaspase
433 activity at day 5 was the highest with 40.3 ± 0.5 and 44.6 ± 5 pM hydrolyzed mg protein⁻¹ min⁻¹ at 7 and
434 70 m respectively (Fig 3e). The relatively low metacaspase activity at day 1, corresponds with the
435 physiological stage of the bloom, which we believe was just prior to enhanced mortality and death.
436 Metacaspase activity increased corresponding with the pronounced decline in Chl *a* from day 1 to day
437 5 (Fig. 1b).

438 Metacaspase activities were generally 10-fold higher than caspase activity rates obtained (Fig
439 3). Metacaspase and caspase activities are significantly and positively correlated at LDA and LDB
440 ($r=0.8$, $p<0.05$ and $r=0.8$ $p<0.001$ for LDA and LDB respectively) (Fig. 3c and 3f). Both findings (i.e.
441 higher metacaspase activity and tight correlation between metacaspase and caspases) were
442 demonstrated specifically in cultures and natural populations of *Trichodesmium* undergoing PCD
443 (Spungin et al., unpublished). *Trichodesmium* metacaspases are substrate specific, and activity is
444 enhanced as PCD progresses (Spungin et al. unpublished). We do not know what protein is
445 responsible for the caspase-specific activities and what drivers regulate it. Yet, the tight correlation
446 between both activities specifically for *Trichodesmium*, and here at LDA and LDB suggest that both
447 activities occur in the cell when PCD is induced. To date, we are not aware of any previous studies
448 examining metacaspase or caspase activity (or the existence of PCD) in diatom-diazotroph
449 associations such as *Rhizosolenia* and *Richelia*.

450



451 3.3. TEP dynamics and carbon pools

452 Transparent exopolymeric particles, that are formed both biotically and abiotically in the ocean,
453 link between the particulate and dissolved carbon fractions and act to augment the coagulation of
454 colloidal precursors from the dissolved organic matter and from biotic debris and to increase vertical
455 carbon flux (Passow, 2002; Verdugo and Santschi, 2010). TEP production also increases upon PCD
456 induction – specifically in large bloom forming organisms such as *Trichodesmium* (Berman-Frank et
457 al., 2007; Bar-Zeev et al., 2013).

458 At LDA, TEP concentrations at 50 m depth were highest at day 1 with measured concentrations
459 of $562 \pm 7 \mu\text{g GX L}^{-1}$ (Table. 1) that appear to correspond with the declining physiological status of the
460 cells that were sampled at that time (Fig. 2a-d). TEP concentrations during days 3 and 5 decreased to
461 less than $350 \mu\text{g GX L}^{-1}$, and it is possible that most of the TEP had been formed and sank prior to our
462 measurements in the LDA.

463 At LDB, TEP concentrations at day 1 and 3 were similar with $\sim 400 \mu\text{g GX L}^{-1}$ at the surface (7
464 m) while concentrations decreased about 2-fold with depth, averaging at 220 ± 56 and $253 \pm 32 \mu\text{g GX}$
465 L^{-1} (35-200 m) for day 1 and 3 respectively (Fig. 4a, Table 2). A significant ($> 150\%$) increase in TEP
466 concentrations was observed on day 5 compared to previous days, with TEP values of $597 \pm 69 \mu\text{g GX}$
467 L^{-1} at the surface (7m) (Fig 4b, Table 2). Although TEP concentrations were elevated at surface, the
468 difference in averaged TEP concentrations observed at the deeper depths (35-200 m) between day 3
469 ($157 \pm 28 \mu\text{g GX L}^{-1}$) and day 5 ($253 \pm 32 \text{GX L}^{-1}$) indicated that TEP from the surface was either
470 breaking down or sinking to depth (Fig. 4a, Table 2). Our measured TEP concentrations correspond
471 with values and trends reported from other marine environments (Engel, 2004; Bar-Zeev et al., 2009)
472 and specifically with TEP concentrations measured from the New Caledonian lagoon (Berman-Frank
473 et al., 2016).

474 TEP is produced by many phytoplankton including cyanobacteria under conditions uncoupling
475 growth from photosynthesis (i.e. nutrient but not carbon limitation) (Berman-Frank and Dubinsky,
476 1999; Passow, 2002; Berman-Frank et al., 2007). Decreasing availability of dissolved nutrients such as
477 nitrate and phosphate has been correlated with increase in TEP concentrations in both cultured
478 phytoplankton and natural marine systems (Bar-Zeev et al., 2013; Brussaard et al., 2005; Engel et al.,
479 2002; Urbani et al., 2005). TEP production in *Trichodesmium* is enhanced as a function of nutrient
480 stress (Berman-Frank et al., 2007) yet, *Crocospaera watsonii* (similar to UCYN-B) ($> 4 \mu\text{m}$ cell size)
481 also produces large amounts of extracellular polysaccharides (EPS) during exponential growth (Sohm
482 et al., 2011).

483 In the New Caledonian coral lagoon TEP concentrations were negatively correlated with
484 ambient concentrations of dissolved inorganic phosphorus (DIP) (Berman-Frank et al., 2016). Here, at
485 LDB a significant negative correlation of TEP with DIP was also observed (Fig. 4b, $p < 0.001$),



486 suggesting that lack of phosphorus set a limit to continued biomass increase and stimulated TEP
487 production in the nutrient-stressed cells. TEP production was also positively correlated with
488 metacaspase activity at all days (Fig. 4c, $p < 0.05$) further indicating that biomass undergoing PCD
489 produced more TEP. In the diatom *Rhizosolenia setigera* TEP concentrations increased during the
490 stationary- decline phase (Fukao et al., 2010) and could also affect buoyancy. PCD in *Trichodesmium*
491 leading to elevated production of TEP and aggregation has been previously shown in *Trichodesmium*
492 cultures (Berman-Frank et al., 2007; Bar-Zeev et al., 2013) and here in oceanic populations as the
493 bloom declined (Fig. 4c) (Spungin et al., 2016).

494 TEP concentrations at LDB were positively correlated to TOC, POC, and DOC (Fig. 4d-f)
495 confirming the integral part of TEP in the cycling of carbon at this station. Assuming a carbon content
496 of 63 % (w/w), (Engel, 2004) we estimate that TEP contributes to the organic carbon pool in the order
497 of $\sim 80\text{--}400 \mu\text{g C L}^{-1}$ (Table 1 and Table 2) with the percentage of TEP-C from TOC ranging between
498 0.08- 42 % and 11-32 % at LDA and LDB respectively (Table 1 and 2, taking into account spatial and
499 temporal differences). Thus, at LDB, surface TEP-C increased from 22 % at day 3 to 32 % of the TOC
500 content at day 5. Yet, for the same time period a 2-fold increase of TEP was measured at 200 m (11 %
501 to 21 %). These results reflect the bloom status at LDB. During bloom development; organic C and N
502 are incorporated to the cells and little biotic TEP production occurs while stationary growth (as long as
503 photosynthesis continues) stimulates TEP production (Berman-Frank and Dubinsky, 1999). When
504 mortality exceeds growth, the presence of large amounts of sticky TEP provide “hot spots” or
505 substrates for bacterial activity and facilitate aggregation of particles and enhanced sinking rates of
506 aggregates as previously observed for *Trichodesmium* (Bar-Zeev et al., 2013).

507 **3.4. Linking PCD-induced bloom demise to particulate C and N export**

508 Measurements of elevated rates metacaspase and caspase activities and changes in TEP
509 concentrations are not sufficient to link PCD and vertical export of organic matter as was previously
510 shown in laboratory cultures of *Trichodesmium* (Bar-Zeev et al., 2013). To see whether PCD-induced
511 mortality led to enhanced carbon flux at sea we now examined mass flux and specific evidence for
512 diazotrophic contributions from the drifting sediment traps (150, 330 and 520 m) at LDA and LDB
513 stations.

514 Mass flux was measured at LDA, increasing over time with maximum mass flux rates at the 150 m
515 trap with $123 \text{ dry weight (DW) m}^{-2} \text{ d}^{-1}$ on day 4. The highest mass flux was 40 and $27 \text{ DW m}^{-2} \text{ d}^{-1}$
516 from the deeper sediment traps (325 and 500 m traps respectively). Particulate C (PC) and particulate
517 nitrogen (PN) showed similar trends as the mass flux. At LDA, PC varied between $3.2\text{--}30 \text{ mg sample}^{-1}$
518 and PN ranged from $0.3\text{--}3.17 \text{ mg sample}^{-1}$ at the 150 m trap. At LDB PC varied from 1.6 to 6.1 mg
519 sample^{-1} and total particulate nitrogen ranged from 0.24 to $0.78 \text{ mg sample}^{-1}$. The total sediment flux
520 in the traps deployed at LDB ranged between 6.4 and $33.5 \text{ mg m}^{-2} \text{ d}^{-1}$, with an average of 18.9 mg m^{-2}



521 d^{-1} . Excluding the deepest trap at 500 m where the high flux occurred at day 2, in the other traps the
522 highest export flux rate occurred at the last day at the station (day 5).

523 Analyses of the community found in the sediment traps, determined by qPCR from the
524 accumulated matter on day 5 at the station, confirmed that *Trichodesmium*, UCYN-B and het-1 were
525 the most abundant diazotrophs in the sediment traps at LDA and LDB stations (Caffin et al., 2017),
526 correlating to the dominant diazotrophs found at the surface of the ocean (measured on day 1).
527 *Trichodesmium* and *Richelia-Rhizosolenia* association (het-1) were the major contributors to
528 diazotroph export at LDA and LDB and UCYN-B and het-1 were the major contributors at LDC
529 (Caffin et al., 2017). At LDA *Trichodesmium* was found in the deeper depth traps with 2.6×10^7 and
530 1.4×10^7 *nifH* copies L^{-1} at the 325 and 500 traps respectively. UCYN-B was detected in all traps with
531 the highest abundance in deeper depth traps with values of 4.2×10^6 and 2.8×10^6 *nifH* copies L^{-1} at the
532 325 and 500 m traps respectively. Het-1 was specifically found only in the 325 m trap with 2.0×10^7
533 *nifH* copies L^{-1} (Fig. 5a). In LDB traps, *Trichodesmium*, UCYN-B and het-1 were not detected at the
534 sediment trap at 150 m, rather in the deeper traps. At depth *Trichodesmium* counts were 9×10^5 at 325
535 m trap and 5×10^6 *nifH* copies L^{-1} for the 500 m trap (Fig. 5b). UCYN-B was 3.6×10^5 and 10×10^5 *nifH*
536 copies L^{-1} at 325 and 500 m traps respectively, and 6×10^6 and 1×10^7 *nifH* copies L^{-1} of het-1 (Fig. 5b).

537 While the average size of *Trichodesmium* and the association between *Rhizosolenia* and *Richelia*
538 is relatively large for microphytoplankton, the small unicellular UCYN-B ($< 4 \mu m$) were also found in
539 the sediment traps, including the deeper (500 m) traps. UCYN-B is often associated with larger
540 phytoplankton such as the diatom *Climacodium frauenfeldianum* (Bench et al., 2013) or in colonial
541 phenotypes ($> 10 \mu m$ fraction) as has been observed in the northern tropical Pacific (ALOHA) (Foster
542 et al., 2013). The only other detection of UCYN-B in sediment traps was during the VAHINE
543 mesocosm experiment in the New Caledonian lagoon where sediment traps were deployed at
544 shallower depths (15 m) (Bonnet et al., 2015) and in high abundance in a floating sediment trap
545 deployed at 75 m for 24 h in the North Pacific Subtropical Gyre (Sohm et al., 2011). Thus our data
546 substantiates earlier conclusions that UCYN, which form large aggregates (increasing actual size and
547 sinking velocities), can efficiently contribute to export in oligotrophic systems (Bonnet et al., 2015).
548 Increase in aggregate size could also occur with depth, possibly due to the high concentrations of TEP
549 produced at the surface layer, sinking in the water column, providing a nutrient source and enhancing
550 aggregation (Berman-Frank et al., 2016) which could also increase in size with depth due to TEP.
551 While this process was previously shown during a mesocosm experiment (Bonnet et al., 2015), it is
552 now shown to be applicable also in the open-ocean system.

553 Sinking rates of aggregates in the water depends on many factors such as fluid viscosity, particle
554 source material, morphology, density, and other variable particle characteristics. Sinking velocities of
555 diatoms embedded in aggregates are generally fast ($50\text{--}200 \text{ m d}^{-1}$) (Asper, 1987; Alldredge, 1998)
556 compared with those of individually sinking cells ($1\text{--}10 \text{ m d}^{-1}$) (Culver and Smith, 1989) allowing



557 aggregated particles to sink out of the photic zone to depth. Assuming a sinking rate of
558 *Trichodesmium*-based aggregates of 150-200 m d⁻¹ (Bar-Zeev et al., 2013), we would need to shift the
559 time frame by 1 day to see whether PCD measured from the surface waters is coupled with changes in
560 organic matter reflected in the 150 m sediment traps. Thus, at LDA, examining metacaspase activities
561 from the surface with mass flux and particulate matter obtained 24 h later yielded a significant positive
562 correlation between these two parameters (Fig. 5c).

563 LDA had the highest export fluxes and particulate matter found in its traps relative to LDB and
564 LDC. Diazotrophs contributed ~ 36 % to PC export to the 325 m trap at LDA, with *Trichodesmium*
565 comprising the bulk of diazotrophs (Caffin et al., 2017) In contrast, at LDB, we found lower flux rates
566 in the traps and lower organic material with *Trichodesmium* contributing the bulk of diazotroph
567 biomass at the 150 m trap. We believe that at LDB the decline phase began only halfway through our
568 sampling and thus the resulting export efficiency we obtained for the 5 days at station was relatively
569 low compared to the total amount of surface biomass. Moreover, considering export rates, and the
570 experimental time frame, most of the diazotrophic population may have been directly exported to the
571 traps only after we left the station (i.e. time frame > 5 days). This situation is different from the bloom
572 at LDA, where enhanced mortality, biomass deterioration, and bloom crash were initiated 1-2 weeks
573 before our arrival and sampling at the station. Thus, at LDA, elevated mass flux and higher
574 concentrations of organic matter were obtained from all three depths of the deployed traps.

575 4. Conclusion and implications

576 Our specific objective in this study was to examine whether diazotroph mortality mediated by
577 PCD can lead to higher fluxes of organic matter sinking to depth. The OUTPACE cruise provided this
578 opportunity in two out of three long-duration (5 day) stations where large surface blooms of
579 diazotrophs principally comprised of *Trichodesmium*, UCYN-B and diatom-diazotroph associations
580 *Rhizosolenia* and *Richelia* were encountered. Probing the biomass for characteristic indices of PCD
581 demonstrated high metacaspase activities, positively and significantly correlated to caspase-like
582 activities at both LDA and LDB, and reported here for the first time (metacaspase activity) in oceanic
583 populations of *Richelia* and *Trichodesmium*. We further show that TEP, facilitating aggregation of
584 biomass and enhancing sinking velocities, was high at both locations and changed with depth as
585 biomass declined. Moreover, we were able to specifically link for the first time in the open ocean
586 between blooms mediated by PCD and vertical fluxes through the deployment of sediment traps.

587 Yet, our results also delineate the natural variability of biological oceanic populations. The two
588 stations, LDA and LDB were characterized by biomass at physiologically different stages with the
589 biomass at LDA displaying more pronounced mortality that had begun prior to our arrival at station. In
590 contrast, satellite data indicated that at LDB, the surface *Trichodesmium* bloom was sustained for at
591 least a month prior to arrival and remained high for the first 3 days of our sampling before declining
592 by 40 % at day 5. As sediment trap material was examined during a short time frame of only 5 days at



593 each LD station, we assume that a proportion of the sinking diazotrophs and organic matter were not
594 yet collected in the traps and had either sunk before trap deployment or would sink after we left the
595 stations. Thus, these different historical conditions which influence physiological status at each
596 location also impacted the specific results we obtained and emphasized a-priori the importance of
597 comprehensive spatial and temporal sampling that would facilitate a more holistic understanding of
598 the dynamics and consequences of bloom formation and fate in the oceans.

599

600 **Author contributions**

601 IBF, DS, and SB conceived and designed the investigation linking PCD to vertical flux within the
602 OUTPACE project. NB, MS, AC, MPP, NL CD and RAF participated, collected and performed analyses
603 of samples, DS analysed samples and data. DS and IBF wrote the manuscript with contributions from
604 all co-authors.

605

606 **Acknowledgments**

607 This research is a contribution of the OUTPACE (Oligotrophy from Ultra-oligoTrophy PACific
608 Experiment) project (<https://outpace.mio.univ-amu.fr/>) funded by the Agence Nationale de la
609 Recherche (grant ANR-14-CE01-0007-01), the LEFE-CyBER program (CNRS-INSU), the Institut de
610 Recherche pour le Développement (IRD), the GOPS program (IRD) and the CNES (BC T23, ZBC
611 4500048836). The OUTPACE cruise (<http://dx.doi.org/10.17600/15000900>) was managed by the MIO
612 (OSU Institut Pytheas, AMU) from Marseilles (France). The authors thank the crew of the R/V
613 L'Atalante for outstanding shipboard operations. G. Rougier and M. Picheral are warmly thanked for
614 their efficient help in CTD rosette management and data processing, as well as C. Schmechtig for the
615 LEFE-CyBER database management. Aurelia Lozingot is acknowledged for the administrative work.
616 All data and metadata are available at the following web address: [http://www.obs-
617 vlfr.fr/proof/php/outpace/outpace.php](http://www.obs-vlfr.fr/proof/php/outpace/outpace.php). We thank Olivier Grosso (MIO) and Sandra Hélias (MIO) for
618 the phosphate data and François Catlotti (MIO) for the zooplankton data. The ocean color satellite
619 products were provided by CLS in the framework of the CNES-OUTPACE project (PI A.M. Doglioli)
620 and the video is courtesy of A. de Verneil. RAF acknowledges Stina Höglund and the Image Facility
621 of Stockholm University and the Wenner-Gren Institute for access and assistance in confocal
622 microscopy. The participation of NB, DS, and IBF in the OUTPACE experiment was supported
623 through a collaborative grant to IBF and SB from Israel Ministry of Science and Technology Israel
624 and the High Council for Science and Technology (HCST)-France 2012/3-9246, and United States-
625 Israel Binational Science Foundation (BSF) grant No. 2008048 to IBF. RAF was funded by the Knut
626 and Alice Wallenberg Stiftelse, and acknowledges the helpful assistance of Dr. Lotta Berntzon. This
627 work is in partial fulfillment of the requirements for a PhD thesis for D. Spungin at Bar- Ilan
628 University.

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820 **Figure legends**

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822 **Figure 1-** Temporal dynamics of surface chlorophyll-a concentrations in the long duration (LD)
823 stations **(a)** LDA **(b)** LDB and **(c)** LDC station. Chlorophyll a was measured over 5 days at each
824 station (marked in gray). Satellite data of daily surface chlorophyll a (mg m^{-3}) around the long duration
825 stations of OUTPACE was used to predict changes in photosynthetic biomass before and after our
826 measurements at the station (marked as dashed lines). Satellite data movies are added as
827 supplementary data (Supplementary videos S1, S2, S3). Chlorophyll a profiles in **(d)** LDA **(e)** LDB
828 and **(f)** LDC. Measurements of Chl *a* were taken on days 1 (black dot), 3 (white triangle) and 5 (grey
829 square) at the LDB station at 5 depths between surface and 200 m depths.

830

831 **Figure 2- (a-d)** Microscopic images of *Trichodesmium* from LDA and LDB. Observations of poor cell
832 integrity were reported for collected samples, with filaments at various stages of degradation and
833 colony under possible stress. **(e)** Confocal and **(d)** processed IMARIS images of *Rhizosolenia-Richel*
834 *ia* symbioses (het-1) at 6m (75 % surface incidence). Green fluorescence indicate the chloroplast of the
835 diatoms, and red fluorescence are the *Richelia* filaments; Microscopic observations indicate that near
836 the surface *Rhizosolenia* populations were already showing signs of decay since the silicified cell-wall
837 frustules were broken and free filaments of *Richelia* were observed. Images by Andrea Caputo.

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839 **Figure 3- PCD indices from LDA and LDB (a)** Caspase activity from LDA (pM hydrolyzed mg
840 $\text{protein}^{-1} \text{min}^{-1}$) assessed by cleavage of the canonical fluorogenic substrate, z-IETD-AFC. **(b)**
841 Metacaspase activity from LDA ($\text{pM hydrolyzed mg protein}^{-1} \text{min}^{-1}$) assessed by cleavage of the
842 canonical fluorogenic substrate, VRPR-AMC. **(c)** Relationship between caspase activity and
843 metacaspase activity from LDA ($R^2=0.7$, $n=15$, $p<0.001$). **(d)** Caspase activity rats in LDB station (pM
844 $\text{hydrolyzed mg protein}^{-1} \text{min}^{-1}$), **(e)** Metacaspase activity in LDB station ($\text{pmol hydrolyzed mg protein}^{-1}$
845 min^{-1}), **(f)** Relationship between caspase activity and metacaspase activity in LDB station ($R^2=0.6$,
846 $n=15$, $p<0.001$). Caspase and metacaspase activates at LDA and LDB stations were measured on days:
847 1 (black dot), 3 (white triangle) and 5 (grey square) between surface and 200 m. Error bars represent \pm
848 1 standard deviation ($n=3$).

849

850 **Figure 4- (a)** Depth profiles of TEP concentrations ($\mu\text{g GX L}^{-1}$) at LDB station. Measurements were
851 taken on days 1, 3 and 5 at the station at surface-200 m depths. **(b)** The relationships between the
852 concentration of transparent exopolymeric particles (TEP), ($\mu\text{g GX L}^{-1}$) and dissolved inorganic
853 phosphorus DIP ($\mu\text{mol L}^{-1}$) for days 1, 3 and 5 at the LDB station ($R^2=0.5$, $n=15$, $p<0.001$).
854 Relationships between the concentration of transparent exopolymeric particles (TEP), ($\mu\text{g GX L}^{-1}$) and
855 **(c)** metacaspase activity ($\text{pmol hydrolyzed mg protein}^{-1} \text{min}^{-1}$) for days 1, 3 and 5 at the LDB ($R^2=0.4$,
856 $n=15$, $p<0.05$); **(d)** and with dissolved organic carbon (DOC), (μM) for days 1, 3 and 5 at the LDB



857 station ($R^2=0.58$, $n=15$, $p<0.001$) (e) and with particulate organic carbon (POC) (μM) for days 1, 3 and
858 5 at the LDB station ($R^2=0.85$, $n=8$, $p<0.001$ for day 1 and $R^2=0.97$, $n=5$ $p<0.01$ for day 3 and 5) (f)
859 and with total organic carbon (TOC) (μM) for days 1, 3 and 5 at the LDB station ($R^2=0.65$, $n=15$,
860 $p<0.0001$). Measurements were taken on days 1 (black dot), 3 (white triangle) and 5 (grey square) at
861 LDB at 5 depths between surface and 200 m depths. Error bars for TEP represent ± 1 standard
862 deviation ($n=3$).

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864 **Figure 5-** (a) Diazotrophic abundance (*nifH* copies L^{-1}) of *Trichodesmium* (dark grey bars); UCYN-B
865 (white bars); and het-1 (light grey bars) recovered in sediment traps at the long duration stations (A)
866 Diazotrophic abundance (*nifH* copies L^{-1}) observed in the traps at LDA station (b) Diazotrophic
867 abundance (*nifH* copies L^{-1}) observed in the traps at LDB station. Abundance was measured from the
868 accumulated material on day 5 at each station. Sediment traps were deployed at the LD station at 150
869 m, 325 m, and 500 m. Error bars represent ± 1 standard deviation ($n=3$). (c) Relationship between
870 metacaspase activity ($\text{pmol hydrolyzed mg protein}^{-1} \text{ min}^{-1}$) measured at the surface waters of LDA
871 station and mass flux rates ($\text{mg m}^{-2} \text{ h}^{-1}$) (green circle), particulate carbon (PC, mg sample^{-1}) (green
872 triangle) and particulate nitrogen (PN, mg sample^{-1}) (green square) measured in the sediment trap
873 deployed at 150 m. A 1-day shift between metacaspase activities at the surface showed a significant
874 positive correlation with mass flux and particulate matter obtained in the sediment trap at LDA station
875 at 150 m.

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890 **Table 1-** Temporal changes in the relative composition (w/w) and distribution of TEP, TEP-C and
 891 organic carbon and nitrogen fractions within the water column during days 1,3 and 5 in the LDA
 892 station at different depth ranging between surface (10 m) to 200 m.
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Day at LDA station	Depth (m)	TEP ($\mu\text{g GX L}^{-1}$)	TEP-C	%TEP-C	POC (μM)	TOC (μM)	POC/PON
1	200	296±135	186.5	27.2	3.04	57.2	5
	150	ND	ND	ND	3.18	61.1	13
	70	87±17	54.8	6.7	2.93	68.7	11
	50	562±7	354.3	41.9	2.47	70.5	13
	10	241±40	152.3	14.5	9.21	87.4	8
3	200	191±13	120.9	18.6	1.29	54.2	27
	150	144±54	91.2	12.9	2.22	59.0	22
	80	263	166.1	20.5	4.62	67.5	15
	10	126±2	79.6	8.3	3.60	79.7	12
5	200	200	126	21.3	2.84	54.2	236
	150	220	138.6	18.0	2.72	58.2	7
	80	146	92.2	12.1	4.91	63.3	8
	50	348±60	219.5	26.8	3.33	68.3	6
	10	ND	ND	ND	5.80	83.7	7

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Table 2- Temporal changes in the relative composition (w/w) and distribution of TEP, TEP-C and
 organic carbon and nitrogen fractions within the water column during days 1,3 and 5 in the LDB
 station at different depth ranging between surface (7 m) to 200 m.

Day at LDB station	Depth (m)	TEP ($\mu\text{g GX L}^{-1}$)	TEP-C	%TEP-C	POC (μM)	TOC (μM)	POC/PON
1	7	408±36	257.1	23.4	8.95	91.5	6.0
	35	279±86	175.9	17.0	5.86	86.0	9.1
	100	214±67	134.7	16.8	ND	66.7	ND
	150	145±34	91.5	12.3	3.79	61.9	11.2
	200	244±113	153.7	20.3	7.61	63.2	9.8
3	7	402±12	253.1	22.5	8.88	93.9	6.9
	35	193±48	121.8	12.6	3.07	80.3	8.2
	100	163±33	102.4	12.6	ND	67.8	ND
	150	145±34	91.6	12.0	1.91	63.8	7.4
	200	127±79	80.2	11.3	1.71	59.3	5.7
5	7	565±87	355.8	32.5	5.32	91.3	5.9
	70	294±53	185.2	20.1	2.21	76.7	6.1
	100	264±160	166.2	19.6	2.25	70.6	8.0
	150	224±51	140.8	15.9	1.53	73.9	5.1
	200	231±45	145.8	21.1	1.11	57.6	5.5

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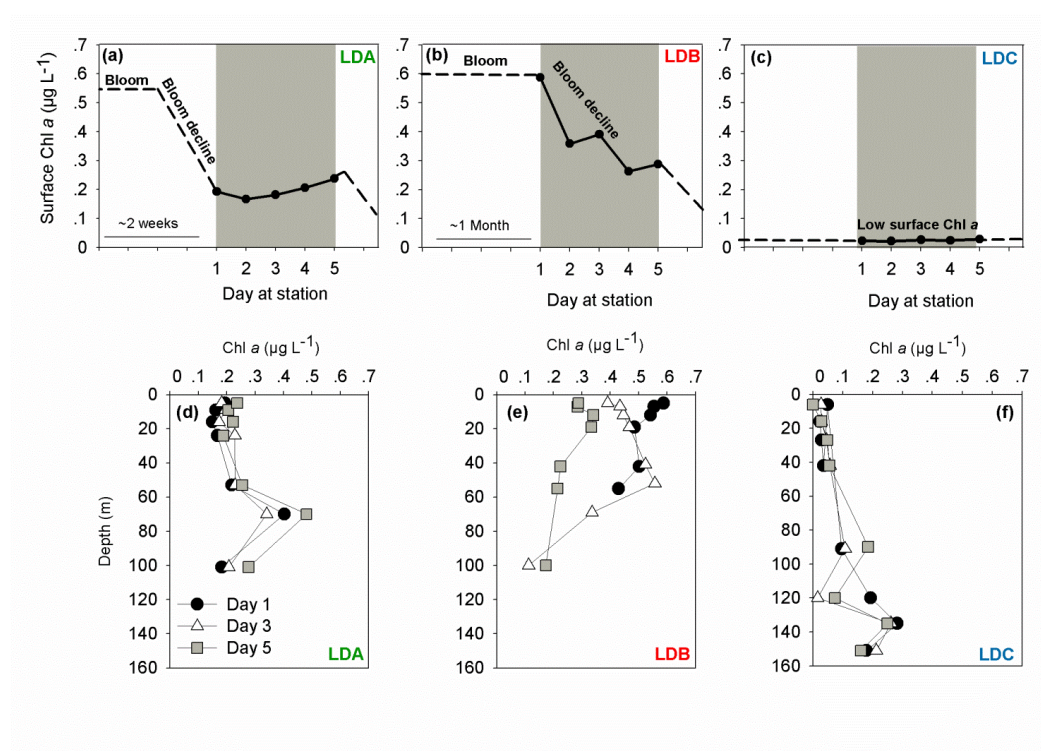
Abbreviations: TEP, transparent exopolymeric particle; TEP-C, TEP carbon; POC, particulate organic C; TOC, total organic C; ND- no data.

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906 **Figures**

907 **Figure 1**



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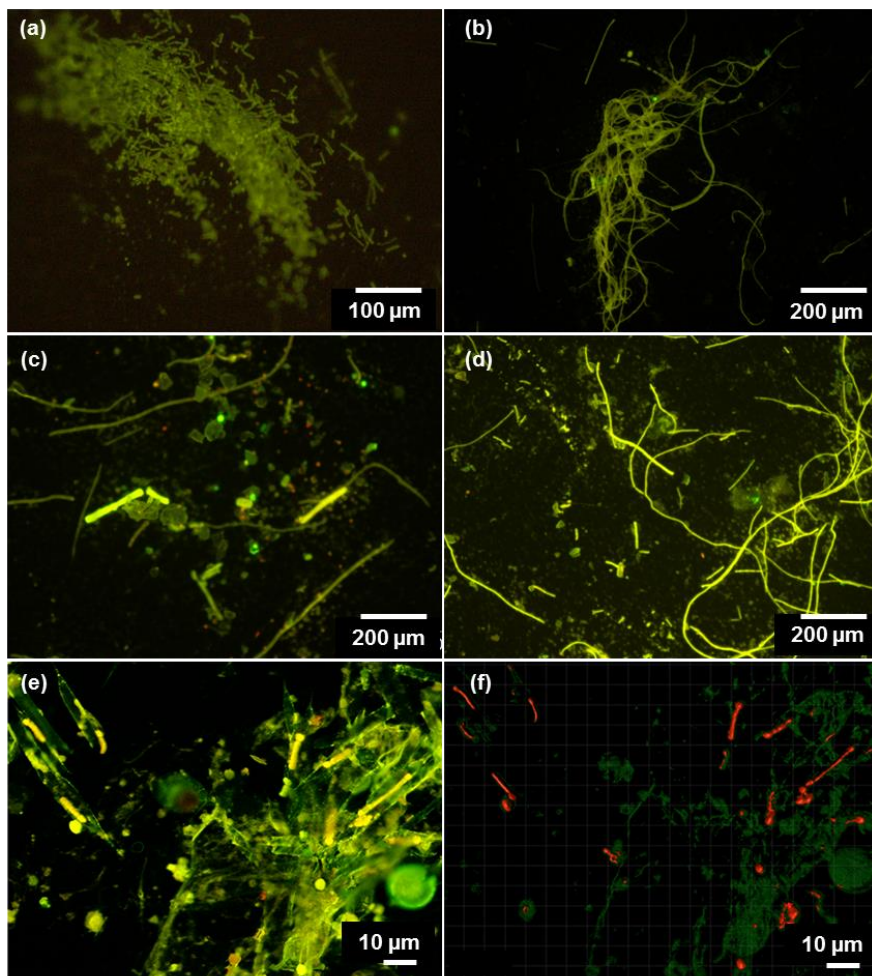
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915 **Figure 2**



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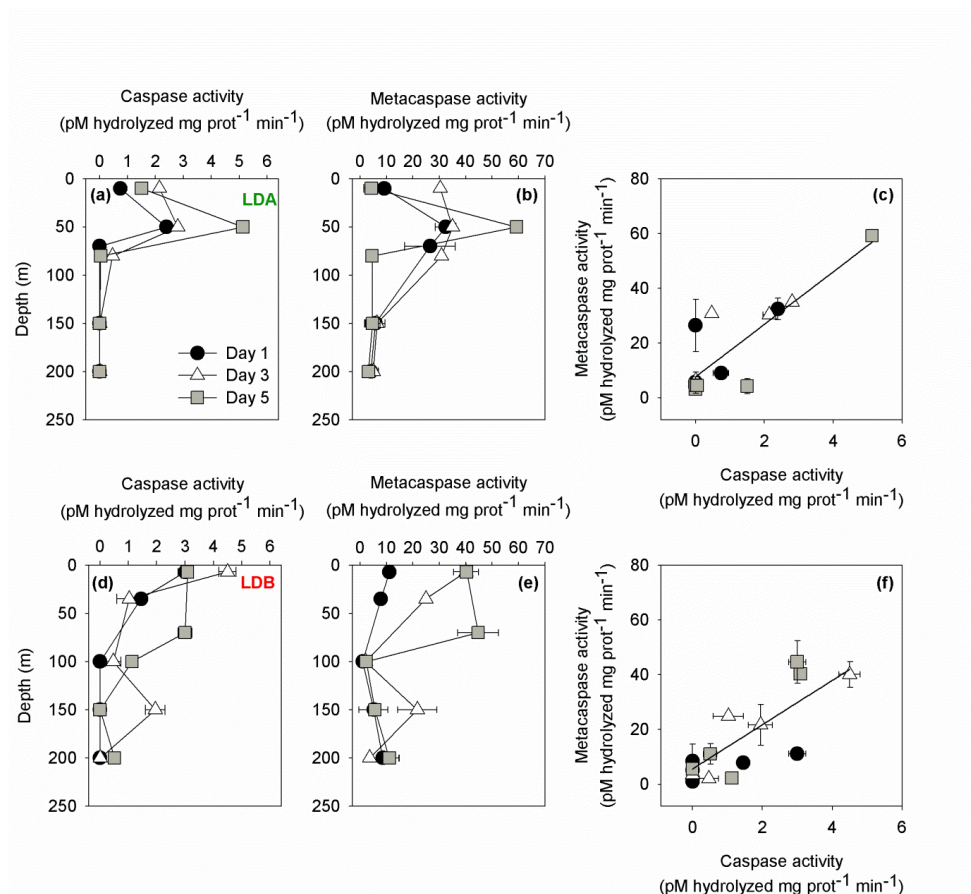
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921 **Figure 3**



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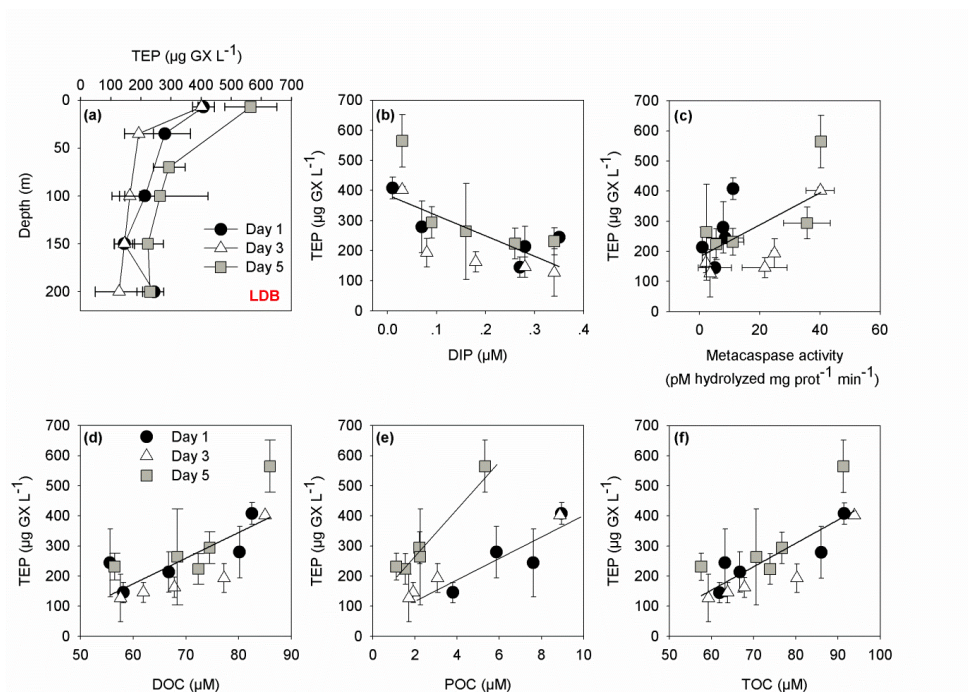
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929 **Figure 4**



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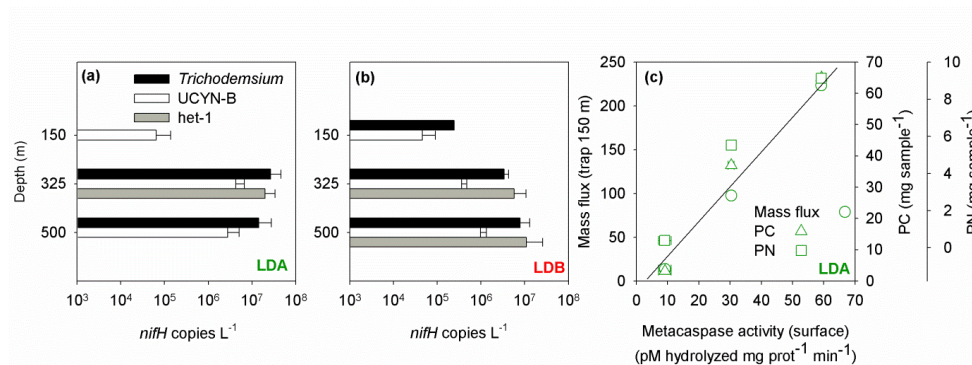
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936 **Figure 5**



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