





18 **Abstract**

19 In spite of the recent strong increase in the number of measurements of the partial pressure of  
20 CO<sub>2</sub> in the surface ocean (pCO<sub>2</sub>), the air-sea CO<sub>2</sub> balance of the continental shelf seas remains  
21 poorly quantified. This is a consequence of these regions remaining strongly under-sampled  
22 both in time and space, and of surface pCO<sub>2</sub> exhibiting much higher temporal and spatial  
23 variability in these regions compared to the open ocean. Here, we use a modified version of a  
24 two-step artificial neural network method (SOM-FFN, Landschützer et al., 2013) to  
25 interpolate the pCO<sub>2</sub> data along the continental margins with a spatial resolution of 0.25  
26 degrees and with monthly resolution from 1998 until 2014. The most important modifications  
27 compared to the original SOM-FFN method are (i) the much higher spatial resolution, and (ii)  
28 the inclusion of sea-ice as a predictor of pCO<sub>2</sub>. The validity of our interpolation, both in space  
29 and time, is assessed by comparing the SOM-FFN outputs with pCO<sub>2</sub> measurements extracted  
30 from the SOCATv3.0 and LDVEO2014 datasets. The new coastal pCO<sub>2</sub> product confirms a  
31 previously suggested general meridional trend of the annual mean pCO<sub>2</sub> in all the continental  
32 shelves with high values in the tropics and dropping to values beneath those of the atmosphere  
33 at higher latitudes. But significant differences in the seasonality across the ocean basins exist.  
34 The shelves of the western and northern Pacific, as well as the shelves in the temperate North  
35 Atlantic display particularly pronounced seasonal variations in pCO<sub>2</sub>, while the shelves in the  
36 southeastern Atlantic and in the South Pacific reveal a much smaller seasonality. Overall, the  
37 seasonality in shelf pCO<sub>2</sub> cannot solely be explained by temperature-induced changes in  
38 solubility, but are also the result of seasonal changes in circulation, mixing, and biological  
39 productivity. Finally, thanks to this product having been extended to cover open ocean areas



40 as well, it can be readily merged with existing global open ocean products to produce a true  
41 global perspective of the spatial and temporal variability of surface ocean pCO<sub>2</sub>.  
42



43 **1. Introduction**

44 The quantitative contribution of the coastal ocean to the global oceanic uptake of atmospheric  
45 CO<sub>2</sub> is still being debated (Borges et al., 2005; Chen and Borges, 2009; Cai, 2011;  
46 Wanninkhof et al., 2013; Gruber, 2015), but several recent studies have suggested that the flux  
47 density, or uptake per unit area, is greater over continental shelf seas than over the open ocean  
48 (Chen et al., 2013; Laruelle et al., 2014). Laruelle et al. (2014) used more than 3·10<sup>6</sup> pCO<sub>2</sub>  
49 measurements from the SOCATv2 database (Pfeil et al., 2014; Bakker et al., 2016) to  
50 demonstrate very strong disparities in air-seawater CO<sub>2</sub> exchange at the regional scale as well  
51 as pronounced seasonal variations, especially at temperate latitudes. Furthermore, it was  
52 suggested that despite the presence of a seasonally varying sea-ice cover, Arctic continental  
53 shelves are a regional hotspot of CO<sub>2</sub> uptake (Bates et al., 2006; Laruelle et al., 2014;  
54 Yasunaka et al., 2016). Yet, even with this much larger dataset compared to previous reports,  
55 large regions of the global coastal ocean remained either devoid of data or very poorly  
56 monitored in space and time, including the seasonal cycle. These data gaps do not only limit  
57 our ability to reduce uncertainties in flux estimates and to unravel whether they differ from  
58 the adjacent open ocean, but also hamper the identification and quantification of the many  
59 underlying processes controlling the source-sink nature of the coastal ocean (Bauer et al.,  
60 2013). Laruelle et al., (2014) attempted to overcome this limitation by combining various  
61 upscaling methods depending on data density in different regions, e.g., resorted to using  
62 annual means, wherever the seasonal coverage was deemed to be insufficient. But they could  
63 not overcome the limitation that the data alone are insufficient to assess whether there are any  
64 trends in coastal fluxes. This is a serious gap when considering that the influence of human  
65 activity on coastal system is increasing rapidly (Doney, 2010; Cai, 2011; Regnier et al., 2013;



66 Gruber, 2015).

67 In the open ocean, novel statistical methods relying on artificial neural networks (ANNs) have  
68 permitted the generation of a series of high-resolution continuous monthly maps for ocean  
69 surface CO<sub>2</sub> partial pressures (pCO<sub>2</sub>) (e.g., Landschützer et al., 2013; Sasse et al., 2013;  
70 Nakaoka et al., 2013; Zeng et al., 2014). Although differing in their details (see e.g.,  
71 Rödenbeck et al., 2015 for an overview), these products have typically a nominal spatial  
72 resolution of 1-degree and monthly temporal resolution. By filling in the spatial and temporal  
73 gaps, these products greatly facilitate the calculation of the air-sea CO<sub>2</sub> exchange, as they do  
74 not require separate assumptions about the surface ocean pCO<sub>2</sub> in areas lacking data. Such  
75 methods are also well suited to resolve spatial gradients, and they also permit to determine  
76 seasonal and inter-annual variations and trends in pCO<sub>2</sub> (e.g., Landschützer et al., 2014, 2015,  
77 2016; Zeng et al., 2014). Because of the small relative contribution of the coastal ocean to the  
78 total oceanic surface area and the relatively coarse spatial resolution of the ANN-based  
79 surface ocean pCO<sub>2</sub> products so far, they are not well suited to resolve the high  
80 spatio-temporal variations of the surface ocean pCO<sub>2</sub> fields along the shelves.

81 Reproducing the complex seasonal dynamics of the CO<sub>2</sub> exchange at the air-water interface in  
82 the coastal ocean is of particular importance considering that they often display large  
83 intra-annual variability (Signorini et al., 2013). For instance, in temperate climates, it is  
84 common for continental shelf waters to turn from CO<sub>2</sub> sinks for the atmosphere during spring  
85 to CO<sub>2</sub> sources during summer (Shadwick et al., 2010; Cai, 2011; Laruelle et al., 2014, 2015).

86 Shelf waters are also typically characterized by small-scale physical features such as coastal  
87 currents, river plumes and eddies inducing sharp biogeochemical fronts (Liu et al., 2010) that  
88 markedly influence the spatial patterns of the pCO<sub>2</sub> fields (e.g., Turi et al., 2014).



89 To resolve the high spatial and temporal variability in air-sea CO<sub>2</sub> exchange over the global  
90 shelf region, the two step artificial neural network method developed by Landschützer et al.  
91 (2013) is modified here for the specific conditions that prevail in these environments. Our  
92 calculations are performed at a much finer resolution of 0.25 degree and new environmental  
93 drivers such as sea ice cover are used at high latitude to account for the potentially significant  
94 role of sea-ice in the CO<sub>2</sub> exchange (Bates et al., 2006; Vancoppenolle et al., 2013; Parmentier  
95 et al., 2013; Moreau et al., 2016; Grimm et al., 2016). The definition of the coastal/open  
96 oceanic boundary significantly varies from one study to the other (Walsh, 1988; Laruelle et al.,  
97 2013), with a potentially large impact on the shelf CO<sub>2</sub> budget (Laruelle et al., 2010). Here,  
98 we use a very wide definition for this boundary (i.e., 300km width or 1000m depth) to secure  
99 spatial continuity between our new shelf pCO<sub>2</sub> product and those already existing for the open  
100 ocean (Landschützer et al., 2013, 2016; Rödenbeck et al., 2015). Our approach leads to the  
101 first continuous and monthly resolved pCO<sub>2</sub> climatology (1998-2014) across the global shelf  
102 region, permitting us to study the seasonal dynamics of these regions in relationship to that of  
103 the adjacent open ocean.

104

## 105 **2. Methods**

106 The method used in this study is a modified version of the SOM-FFN method developed by  
107 Landschützer et al. (2013) to calculate monthly-resolved pCO<sub>2</sub> maps of the Atlantic Ocean at  
108 a 1 degree resolution and later applied to the entire global open ocean (Landschützer et al.,  
109 2014). The reconstruction of a continuous pCO<sub>2</sub> field involves establishing numerical  
110 relationships between pCO<sub>2</sub> and a number of independent environmental predictors that are  
111 known to control its variability both in time and space. The first step of the method relies on



112 the use of a neural network clustering algorithm (Self Organizing Map, SOM) to define a  
113 discrete set of biogeochemical provinces characterized by similar relationships between the  
114 independent environmental variables and a climatological pCO<sub>2</sub> field. The second step  
115 consists in deriving non-linear and continuous relationships between pCO<sub>2</sub> and some or all of  
116 the aforementioned independent variables using a feed-forward network (FFN) method,  
117 within each biogeochemical province created by the SOM. The method is extensively  
118 described in Landschützer et al. (2013, 2015) but the specific modifications introduced in this  
119 study to better simulate the characteristics of the shelves, the choice of environmental drivers  
120 and their data sources as well as the definition of the geographic extent of this analysis are  
121 described in the following sections.

122

### 123 **2.1. Modifications of the SOM-FFN method**

124 The specific characteristics of the continental shelves motivated a number of modifications of  
125 the global ocean SOM-FFN method, including a 16 fold increase in spatial resolution from 1  
126 degree to 0.25 degree, the introduction of a second neuron layer in the FFN calculations, the  
127 addition of new environmental variables as biogeochemical predictors, and a shortening of the  
128 simulation period to the period 1998 through 2014. All these modifications are detailed here  
129 below.

130 The higher resolution of 0.25°×0.25° results in over 2 million grid cells that help in better  
131 tracking the global coastline and its complex geomorphological features (Crossland et al.,  
132 2005; Liu, 2010). It is also common along Eastern and Western boundary currents to find  
133 continental shelves as narrow as 10-20 km, an extension that is thus significantly smaller than  
134 a single cell at 1-degree resolution. Additionally, biogeochemical fronts associated to river



135 plumes, coastal currents and upwelling are characterized by spatial scales of the order of tens  
136 of kilometers or even smaller (Wijesekera et al., 2003). The chosen resolution is also identical  
137 to the gridded coastal pCO<sub>2</sub> product from the SOCAT initiative (Sabine et al, 2013, Bakker et  
138 al., 2014).

139 The definition of the geographic extent of the shelf region excludes estuaries and other  
140 inland water bodies, but uses a wide limit for the outer continental shelf that encapsulates all  
141 current definitions of the coastal ocean. This approach facilitates future integration with  
142 existing global ocean data products (e.g., Landschützer et al., 2016; Rödenbeck et al., 2015)  
143 and model outputs, which typically struggle to represent the shallowest parts of the ocean  
144 (Bourgeois et al., 2016). The outer limit used here is given by whichever point is the furthest  
145 from the coast: either 300km distance from the coastline (which roughly corresponds to the  
146 outer edge of territorial waters (Crossland et al., 2005)) or the 1000m isobaths (Laruelle et al.,  
147 2013). The resulting domain (Fig SI1) covers 77 million km<sup>2</sup>, more than twice the surface  
148 area generally attributed to the coastal ocean (Walsh et al., 1998; Liu et al., 2010; Laruelle et  
149 al., 2013).

150 The predictor variables for the SOM-FFN networks were chosen based on a set of trial  
151 and error experiments with the selection criteria being the quality of fit, i.e., the best  
152 reconstruction of the available observations. The first step of the SOM-FFN calculations, i.e.,  
153 the self-organizing map-based clustering (SOM) relies on the assignment of the surface ocean  
154 data to biogeochemical provinces sharing common spatio-temporal patterns of sea-surface  
155 temperature (SST), sea-surface salinity (SSS), bathymetry, rate of change in sea ice coverage  
156 and observed pCO<sub>2</sub>. The use of the rate of change in monthly sea ice concentration is a  
157 novelty compared to the set-up of Landschützer et al. (2013) and is calculated from the



158 gridded monthly sea ice concentration field of Cavalieri et al. (1996). It allows accounting for  
159 the complex processes occurring in melting and forming sea ice that are known to strongly  
160 influence the dynamics of the carbon within sea-ice covered areas (Parmentier et al., 2013).  
161 This first step is performed without any data normalization of the datasets. Based on a series  
162 of simulations using different numbers of biogeochemical provinces, we found that a  
163 clustering of the data into 10 biogeochemical provinces minimized the average deviation  
164 between simulated and observed  $p\text{CO}_2$  (see below).

165 During the second step of the calculation, i.e., the application of the feed-forward  
166 network method (FFN), SST, SSS, bathymetry, sea-ice concentration and chlorophyll a are  
167 used as predictors to establish the non-linear relationship between these predictors and the  
168 target  $p\text{CO}_2$  (for data sources, see below). Similar to the SOM in step one, the selected  
169 variables not only comprise proxies representing the solubility and biological pumps of the  
170 coastal ocean, but also yield the best fit to the data. These calculations are done iteratively on  
171 an incomplete dataset in order to perform an assessment on the remaining data after each  
172 iteration, until an optimal relationship is found. This step now includes a second artificial  
173 neuron layer that consists, for each iteration, of an additional procedure of optimization of the  
174 relationship fitting. This addition significantly increases the calculation time but prevents the  
175 SOM-FFN from generating negative values. Additionally, as performed in Landschützer et al.  
176 (2015), the output  $p\text{CO}_2$  data were smoothed using the spatial and temporal mean of each  
177 point's neighboring pixels both in time and space within the 3 pixel neighborhood domain.  
178 This operation is performed iteratively and does not significantly alter the results, but it  
179 ensures smoother transitions in the  $p\text{CO}_2$  field at the boundaries between the provinces. This  
180 smoothing method yielded good results for the open Southern Ocean where marked  $p\text{CO}_2$



181 fronts are also observed (Landschützer et al., 2015) and was deemed relevant here due to the  
182 potentially strong pCO<sub>2</sub> gradients characterizing the shelves.

183 Another change from the most recent global ocean SOM-FFN application (Landschützer  
184 et al., 2016) is the different temporal extension of the simulation period, which covers the  
185 period from 1998 through 2014 only, instead of 1982 through 2011. This overall shortening  
186 was necessary because one of environmental driver, the chlorophyll data derived from  
187 SeaWiFS, only starts in September 1997 (NASA, 2016). Monthly chlorophyll data throughout  
188 the entire simulation period was preferred here over the use of a monthly climatology as done  
189 in Landschützer et al. (2016) to better capture inter-annual variability.

190

## 191 **2.2. Data Sources and processing**

192 All the datasets used in our calculations were converted from their original spatial resolutions  
193 to a regular 0.25 degree resolution grid. The temporal resolution of all datasets is monthly (i.e.,  
194 204 months over the entire period), except for the bathymetry that is assumed constant over  
195 the course of the simulations. SST and SSS maps were taken from the World Ocean Atlas  
196 (Antonov et al., 2010 for SST and Locarnini et al., 2010 for SSS). The bathymetry was  
197 extracted from the global ETOPO2 database (US Department of Commerce, 2006). The sea  
198 ice concentrations are recalculated from the global 25 km resolution monthly data product  
199 compiled by the NSIDC (National Snow and Ice Cover Data; Cavalieri et al., 1996). The  
200 chlorophyll surface concentrations were extracted from the monthly 9 km resolution SeaWiFS  
201 data product (NASA, 2016). Finally, the surface ocean pCO<sub>2</sub> were taken from the gridded  
202 SOCATv3 product (Sabine et al., 2013; Bakker et al., 2016) while those used from the  
203 validation are extracted from the LDEOv2014 database (Takahashi et al., 2016). This latter



204 database contains ~ 10.5 million pCO<sub>2</sub> measurements collected between 1957 and 2015.  
205 While a large overlap with the SOCAT database is inevitable, LDEOv2014 was compiled  
206 independently and is the only other global pCO<sub>2</sub> dataset (of comparable size and coverage to  
207 SOCAT) presently available. The data from SOCAT were converted from fCO<sub>2</sub> (fugacity of  
208 CO<sub>2</sub> in water) into pCO<sub>2</sub> using the formulation reported in Takahashi et al. (2012).

209

### 210 **2.3. Evaluation procedures**

211 We evaluated the coastal SOM-FFN product using the root mean squared error (RMSE)  
212 metric, calculated as the difference between estimated and observed pCO<sub>2</sub>. During the  
213 development stage, preliminary simulations were performed using only data from SOCAT  
214 v2.0 (Pfeil et al., 2013, Sabine et al. 2013) to train the FFN algorithm. Each simulation was  
215 carried out using different subsets of environmental predictors extracted from the complete set  
216 (SST, SSS, bathymetry, sea ice concentration and chlorophyll a). The results obtained were  
217 then compared to the more complete dataset of SOCAT v3.0, which contain 40% more shelf  
218 pCO<sub>2</sub> measurements from 1998 through 2014 (Bakker et al., 2016). This process allowed, for  
219 each province, to calculate the RMSE for several combinations of independent predictor  
220 variables for the pCO<sub>2</sub>. Next, the combinations of predictors displaying the lowest RMSE  
221 were kept for the final simulations, which then used all data from SOCAT v3.0. Thus, the  
222 pCO<sub>2</sub> calculations in each province potentially rely on a different set of predictors (Table 1).

223 The coastal SOM-FFN results are validated through a comparison with the LDEOv2014 data  
224 base (Takahashi et al., 2016). Additionally, a model-to-model comparison is also performed  
225 with the global ocean results of Landschützer et al. (2016) in the regions where the domains  
226 overlap. To perform this latter analysis, the coastal high resolution coastal pCO<sub>2</sub> product



227 generated here was aggregated to a regular monthly 1° resolution to match the grid used by  
228 Landschützer et al. (2016).

229 Finally, the ability of the coastal SOM-FFN to capture seasonal variations is assessed by  
230 comparing the cell-average simulated monthly pCO<sub>2</sub> to monthly means for cells extracted  
231 from the LDEOv2014 database. The cells retained for this analysis are all those for which the  
232 average for each month could be calculated from measurements performed on at least three  
233 different years.

234

### 235 **3. Results and discussion**

#### 236 **3.1. Biogeochemical provinces**

237 Despite the fact that the SOM is not given any prior knowledge regarding space and time,  
238 the spatial distribution of the 10 biogeochemical provinces is mostly controlled by latitudinal  
239 gradients and distance from the coast (Figure 1; high-resolution monthly maps are also  
240 available in the supplementary information (SI)). Although the exact spatial extent of each  
241 province varies from one month to the other following the seasonal variations of the  
242 environmental forcing parameters, each province roughly corresponds to one type of  
243 climatological setting. Nevertheless, because of these spatial migrations, most cells belong to  
244 different provinces depending on the month (see figure 1 of SI). These seasonal migrations  
245 are mostly driven by changes in temperature, sea-ice cover and, to a lesser degree, salinity. P1  
246 (Province 1, etc.) and P2 are the two largest provinces, covering  $26.1 \cdot 10^6$  km<sup>2</sup> and  
247 representing warm tropical regions with bottoms at shallow to intermediate depths. During  
248 summer, the spatial coverage of P1 expands north- and southward as a consequence of  
249 warming. P3 and P4 represent tropical regions with deeper bottom depths. They display less



250 seasonal changes in their spatial distribution than P1 due to weaker seasonal temperature  
251 changes. P5 and P6 cover a combined  $14 \cdot 10^6$  km<sup>2</sup> and correspond to sub-polar and temperate  
252 regions, respectively. Their spatial distributions are subject to marked latitudinal migrations  
253 throughout the year as a result of the large amplitude changes in seasonal temperature  
254 observed in mid-latitude coastal waters (Laruelle et al., 2014). P7, P8, P9 and P10 together  
255 cover  $21.3 \cdot 10^6$  km<sup>2</sup>. These provinces are partly (seasonally) covered by sea-ice with an  
256 average ice cover of 41% and 65% for P7 and P10, respectively. P7 includes large fractions of  
257 the enclosed seas at higher northern latitudes such as the Baltic Sea and Hudson Bay while  
258 P10 (only  $2.9 \cdot 10^6$  km<sup>2</sup>) represents permanently deep and cold polar regions. P8 and P9  
259 represent most of the polar shelves (both the Arctic and Antarctic) and are covered in sea ice  
260 at levels of 47% and 56%, respectively. The regions experiencing most notable shifts in  
261 province allocation during the year include the northern polar regions as well as the temperate  
262 narrow shelves of the Atlantic and Pacific, particularly Western Europe and Eastern North  
263 America and Eastern Asia (see Fig. S11).

264

### 265 **3.2. Performance of the coastal SOM-FFN**

266 The mean climatological pCO<sub>2</sub> estimated by the coastal SOM-FFN for annually and  
267 seasonally averaged conditions are reported in Figure 2. Before briefly analysing the main  
268 spatial and temporal variability of the pCO<sub>2</sub> fields (section 3.3), we evaluate here the overall  
269 performance of our interpolation method globally and at the level of each province, including  
270 its ability to capture the seasonal cycle.

#### 271 **3.2.1. Comparison with training SOCAT v3.0 data**



272 Within each province, the  $p\text{CO}_2$  simulated by the coastal SOM-FFN are compared to the  
273 measurements extracted from SOCAT v3.0 (table 2). Globally, the average difference between  
274 observed and simulated  $p\text{CO}_2$  is almost null (overall bias = +0.1  $\mu\text{atm}$ ). The average RMSE  
275 over all provinces of 32.6  $\mu\text{atm}$  is comparable with those reported for other statistical  
276 reconstruction of coastal  $p\text{CO}_2$  fields although none of these studies were performed at global  
277 scale (Chen et al., 2016). This RMSE is about twice that achieved for the open ocean  
278 (Landschützer et al., 2014) reflecting the larger spatiotemporal variability in the coastal ocean,  
279 as well as more complex processes governing that variability. Considering these complexities,  
280 the achieved RMSE is quite good.

281 Significant variations in both bias and RMSE can be observed between provinces (table 2). P2  
282 and P3 have the best fit between simulated and observed  $p\text{CO}_2$  with absolute bias and RMSE  
283 lower than 2  $\mu\text{atm}$  and 20  $\mu\text{atm}$ , respectively. In 6 provinces which cover a cumulated surface  
284 area of  $52.6 \cdot 10^6 \text{ km}^2$  (P1, P2, P3, P4, P6 and P8) RMSE's do not exceed 30  $\mu\text{atm}$ . In P7  
285 however, bias and RMSE are maximum with values of 7.4  $\mu\text{atm}$  and 63.4  $\mu\text{atm}$ , respectively.  
286 Overall, the performance of the SOM-FFN deteriorates for provinces regularly covered by  
287 sea-ice ice (P7-10) and in which data coverage is relatively low.

### 288 3.2.2. Comparison with LDEOv2014 data

289 The comparison of our results with the data from LDEOv2014 yields a very small bias of -2.4  
290  $\mu\text{atm}$  (calculated as the average difference between observed and SOM-FFN estimated  $p\text{CO}_2$ )  
291 for the entire shelf domain. However, the spread is relatively large with an average RMSE of  
292 42  $\mu\text{atm}$ . This average RMSE is 24% larger than the one obtained when comparing the  
293 SOM-FFN results with the SOCAT dataset, which has been used to train the model. A  
294 province-based analysis reveals strong differences in the calculated RMSEs, ranging from 20



295  $\mu\text{atm}$  to  $67 \mu\text{atm}$  (Table 2, LDEO). A review of various statistical models used to generate  
296 continuous global ocean  $\text{pCO}_2$  maps reports RMSE or uncertainties typically varying within  
297 the  $10\text{-}35 \mu\text{atm}$  range (Chen et al., 2016) with outliers as high as  $50 \mu\text{atm}$  in the Mississippi  
298 delta (Lohrenz and Cai, 2006). This report shows that open ocean estimates generally yields  
299 RMSE lower than  $17 \mu\text{atm}$ , in agreement with Landschützer et al. (2014), whereas coastal  
300 estimates are associated with much higher uncertainties. This is likely because these coastal  
301 regions have complex biogeochemical dynamics and high frequency variability that cannot be  
302 fully captured with the current generation of data interpolation techniques using the limited  
303 available predictor data.

304 In our simulations, provinces P1, P2 and P4 have negligible biases (with absolute values  $<0.5$   
305  $\mu\text{atm}$ , table 2) and  $\text{RMSE} < 30 \mu\text{atm}$ , which compares with the most robust  $\text{pCO}_2$  regional  
306 coastal estimates from the literature (Chen et al., 2016). Together, these 3 provinces account  
307 for 44% of our domain. P3 and P5 display slightly higher biases of  $-2.3$  and  $-5.2 \mu\text{atm}$ ,  
308 respectively and RMSE of 44 and  $67 \mu\text{atm}$ . Overall, these 5 provinces covering the tropical  
309 and temperate latitudinal bands account for  $>62\%$  of the shelf surface area and yield RMSE of  
310 less than  $45 \mu\text{atm}$  and absolute biases of less than  $4 \mu\text{atm}$ . Provinces in the sub-polar and  
311 polar regions (P6, P7, P8, P9 and P10) overall display larger deviations with respect to the  
312 LDEOv2014 dataset, but the absolute value of their biases never exceeds  $10 \mu\text{atm}$ . Except for  
313 P8, which displays a RMSE of  $35 \mu\text{atm}$ , all other provinces are characterized by RMSE  
314 falling in the  $45\text{-}70 \mu\text{atm}$  range. This suggests a significantly lower performance of the  
315 SOM-FFN in regions partly covered in sea-ice. This can be attributed to the limited number of  
316 available data points and their very heterogeneous distribution in time and space, as well as to  
317 the very limited range of variation of some of the controlling variable such as temperature and



318 salinity. The relatively good performance of the model in tropical region might be partly  
319 attributed to the relatively small seasonal variations in pCO<sub>2</sub> within these areas.

320 While the use of RMSE provides a valid quantitative assessment of the model  
321 performance, it does not provide insights regarding its ability to reproduce the seasonal pCO<sub>2</sub>  
322 cycle. To address this issue, Figure 3 displays observed mean monthly pCO<sub>2</sub> extracted from  
323 LDEOv2014 and calculated by the coastal SOM-FFN for the 53 locations where the  
324 LDEOv2014 database has the most data (>40 month). The error bars associated with the  
325 observations reflect the inter-annual variability. Overall, the coastal SOM-FFN captures the  
326 timing of the seasonal pCO<sub>2</sub> cycle in most locations well with pCO<sub>2</sub> minima and maxima  
327 occurring at the same time in our results and in the LDEOv2014 data.

328 The pCO<sub>2</sub> maximum generally taking place in early summer is the most accurately captured  
329 by the coastal SOM-FFN. In terms of amplitudes in the pCO<sub>2</sub> signal, the coastal SOM-FFN  
330 and the LDEOv2014 data reveal how different the seasonal pCO<sub>2</sub> cycle is from one region to  
331 the other, with very low amplitude (<40 μatm) in some sub-tropical areas, amplitudes > 100  
332 μatm at high Northern and Southern latitudes, and sometimes very sharp increases during  
333 summer like off the coast of Japan. In most regions, the SOM-FFN-based reconstructions are  
334 able to capture these variations and predict seasonal amplitudes comparable to that observed  
335 in the data. However, in cells for which the difference between observed and simulated  
336 seasonal pCO<sub>2</sub> amplitude is larger than 20%, the coastal SOM-FFN tends to systematically  
337 underestimate the amplitude of the seasonal pCO<sub>2</sub> cycle. This limitation of our model might  
338 result from the often short time scales associated with the continental influences in near-shore  
339 locations, which are not captured by the environmental predictors used in our calculation. It



340 may also be the results of very short-term events that are aliased in our monthly average  
341 calculations.

### 342 **3.2.3. Comparison with global SOM-FFN**

343 The comparison of our coastal SOM-FFN results with those of Landschützer et al. (2016) for  
344 the overlapping grid cells (Table 2) reveals significant differences between both interpolated  
345 data products with a RMSE between 20 and 37  $\mu\text{atm}$  for most provinces except P7 and P9 (53  
346 and 55  $\mu\text{atm}$ , respectively). These RMSE values are comparable, but slightly lower than those  
347 obtained for the comparison with the LDEO\_v2014 database. The differences (coastal  
348 SOM-FFN minus global SOM-FFN), however, are larger than those observed between our  
349 results and the LDEO\_v2014 database and highlight the current knowledge gap regarding the  
350 mean state and variability of the transition zone. They range from -17.6 to 8.6  $\mu\text{atm}$  from one  
351 province to the other but only amount to -0.6  $\mu\text{atm}$  when considering the cells from all  
352 provinces.

353 The overlapping cells used for the comparison with Landschützer et al. (2016) are mostly  
354 located over 100km away from the coastline and therefore the open ocean as well as our new  
355 shelf ocean data set are constrained by fairly different data because all the ‘shelf’ cells from  
356 the open ocean data product have a  $\text{pCO}_2$  calculated by a model calibrated mostly for  
357 conditions representative of the open ocean. Our results indicate that the very nearshore  
358 processes controlling the  $\text{CO}_2$  dynamics likely are the most difficult to reproduce with a  
359 global SOM-FFN.

## 360 **3.3. Spatial and temporal variability of the coastal $\text{pCO}_2$**

### 361 **3.3.1 Spatial variability**



362 Figure 2a presents the annual average  $p\text{CO}_2$  estimated by the coastal SOM-FFN, representing  
363 the mean over 1998 through 2014 period (monthly climatological maps are shown in Fig. SI  
364 2). High annual mean values of  $p\text{CO}_2$ , close to or above atmospheric levels, are estimated  
365 around the equator up to the tropics. This is consistent with previous studies that identified  
366 tropical and equatorial coastal regions as weak  $\text{CO}_2$  sources for the atmosphere (Borges et al.,  
367 2005; Cai, 2011; Laruelle et al., 2010; 2014). A hotspot of very high  $p\text{CO}_2$  emerges from our  
368 analysis in the Indian Ocean, extending past the tropic of Cancer into the eastern  
369 Mediterranean Sea as well as the Red Sea and the Persian Gulf. These regions are poorly  
370 monitored and it remains difficult to assess if  $p\text{CO}_2$  values in excess of  $450 \mu\text{atm}$  are realistic  
371 or not, but the limited body of available literature suggests that very high  $p\text{CO}_2$  are indeed  
372 observed in these regions (Ali, 2008; Omer, 2010). The very high temperature and salinity  
373 conditions observed in the Red Sea, in particular, reduce the  $\text{CO}_2$  solubility and induce very  
374 high  $p\text{CO}_2$  conditions. However, these predicted  $p\text{CO}_2$  lie outside of the range used for the  
375 calibration of the SOM-FFN (typically  $200\text{--}450 \mu\text{atm}$ ) and should thus be considered with  
376 caution.

377 In both hemispheres,  $p\text{CO}_2$  in the  $320$  to  $360 \mu\text{atm}$  range are generally reconstructed at  
378 temperate latitudes, i.e., up to  $50^\circ\text{N}$  and  $50^\circ\text{S}$ , respectively. The northern high latitudes  
379 generally have very low  $p\text{CO}_2$  values, down to  $300 \mu\text{atm}$  and below, a result that is consistent  
380 with the Arctic shelves contributing a large proportion (up to 60%) of the global coastal  
381 carbon sink (Bates and Mathis, 2009; Cai, 2011; Laruelle et al., 2014). Several hotspots of  
382  $p\text{CO}_2$  with values as high as  $450 \mu\text{atm}$  can be observed nevertheless north of  $70^\circ\text{N}$ , most  
383 notably along the eastern coast of Siberia in winter (see Fig. SI 3), which displays a large zone  
384 characterized by  $p\text{CO}_2 > 400 \mu\text{atm}$  centred around the mouth of the Kolyma River. Such high



385 pCO<sub>2</sub> values have been punctually observed in Arctic coastal waters (Anderson et al., 2009)  
386 and could result from the discharge of highly oversaturated riverine waters. But, overall,  
387 pCO<sub>2</sub> measurements over Siberian shelves are particularly rare. Thus, our results should be  
388 considered with caution in this region because of the scarcity of data to train and validate the  
389 coastal SOM-FFN. It should also be noted that the vast majority of this high pCO<sub>2</sub> region is  
390 covered by sea ice (Fig. 2b&c) and, although the model estimates pCO<sub>2</sub> values over the entire  
391 domain, only ice-free (or partially ice-free) cells will contribute to the CO<sub>2</sub> exchange across  
392 the air-sea interface (Bates and Mathis, 2009; Laruelle et al., 2014).

### 393 **3.3.2. Temporal variability**

394 The reconstructed pCO<sub>2</sub> field is also subject to large seasonal variations (see figures SI 2&3).  
395 To explore these variations further, Figure 4 reports seasonal-mean latitudinal profiles of  
396 pCO<sub>2</sub> for continental shelves neighbouring the Eastern Pacific, Atlantic, Indian and Western  
397 Pacific, respectively. The analysis excludes continental shelves at latitudes higher than 65  
398 degrees because a large fraction of these shelves are seasonally covered by sea ice. The  
399 latitudinal pCO<sub>2</sub> profiles reveal that, in most regions, highest and lowest pCO<sub>2</sub> values are  
400 observed during the warmest and coldest months, respectively. This trend is particularly  
401 pronounced at temperate latitudes where the seasonal pCO<sub>2</sub> amplitude can reach 60µatm and  
402 is exemplified by regions such as the western Mediterranean Sea or the eastern coast of  
403 America, which become supersaturated in CO<sub>2</sub> compared to the atmosphere during the  
404 summer months. There are, however, a few other regions, where the lowest pCO<sub>2</sub> is found in  
405 the summer, such as the Baltic Sea (Thomas and Schneider, 1999). Around the equator, the  
406 magnitude of the seasonal variations in pCO<sub>2</sub> is limited and does not exceed 30 µatm.



407 Although the general latitudinal trend of the annual mean  $p\text{CO}_2$  is similar in all the continental  
408 shelves, significant differences in the seasonality can be observed across the largest ocean  
409 basins. In particular, most of the East Pacific shelves display limited seasonal change in  $p\text{CO}_2$   
410 (typically below  $40 \mu\text{atm}$ ) while the West Pacific shelves have seasonal  $p\text{CO}_2$  amplitudes that  
411 can exceed  $60 \mu\text{atm}$  in temperate regions and  $100 \mu\text{atm}$  at high latitudes (above  $55^\circ \text{N}$ ). Along  
412 the Atlantic shelves, the seasonal signal is more pronounced in the north compared to the  
413 south, in agreement with Laruelle et al. (2014). Overall, the North Pacific (north of  $55^\circ \text{N}$ )  
414 displays the most pronounced seasonal change in  $p\text{CO}_2$  with a difference of  $80 \mu\text{atm}$  between  
415 summer and winter. In the Indian Ocean, the seasonal dynamics of  $p\text{CO}_2$  is partly regulated by  
416 seasonal upwelling induced by the Monsoon (Liu et al., 2010). In this basin above the equator,  
417 April, May and June are the month displaying the highest  $p\text{CO}_2$  and the seasonal variations do  
418 not exceed  $40 \mu\text{atm}$ . In contrast, the seasonal cycle is quite pronounced in the Indian Ocean  
419 south of the equator ( $\sim 60 \mu\text{atm}$ ).

420 Latitudinal profiles of SST (Fig 4, bottom) are similar in all coastal oceans and display  
421 minimal seasonal variations around the equator and amplitudes as large as  $20^\circ \text{C}$  at temperate  
422 latitudes. The comparison between  $p\text{CO}_2$  and SST profiles allows us to assess the contribution  
423 of temperature-induced changes in  $\text{CO}_2$  solubility to the seasonal  $p\text{CO}_2$  variability in the  
424 continental shelf waters. However, other factors such as seasonal upwelling and biological  
425 activity also strongly influence coastal  $p\text{CO}_2$  and contribute to the complexity of the seasonal  
426  $p\text{CO}_2$  profiles. To quantify the effect of temperature on seasonal variations of  $p\text{CO}_2$ , the latter  
427 is normalized to the mean temperature at different latitudes in each oceanic basin (Fig. 5)  
428 using the formula proposed by Takahashi et al. (1993):

$$429 \quad np\text{CO}_2 = p\text{CO}_{2,obs} \times \exp(0.0423 \times (T_{mean} - T_{obs})) \quad (1)$$



430 where  $npCO_2$  is the temperature normalized  $pCO_2$ ,  $pCO_{2,obs}$  is the observed  $pCO_2$  at the  
431 observed temperature  $T_{obs}$  and  $T_{mean}$  is the yearly mean temperature at the considered location.  
432 In sea-water, an increase in water temperature induces a decrease in gas solubility which leads  
433 to a higher water  $pCO_2$ . Thus, comparing  $npCO_2$  with observed  $pCO_2$  monthly values  
434 provides a quantitative estimate of the influence of seasonal temperature change on the  
435 seasonality of  $pCO_2$ .

436 For all latitudes and oceanic basins,  $pCO_2$  is minimum in late winter or early spring, i.e., at  
437 the time when  $npCO_2$  has its maximum.  $pCO_2$  also generally displays a maximum in summer,  
438 while  $npCO_2$  reaches its minimum then (Fig. 5). The amplitude of the changes in  $npCO_2$  is  
439 quite consistent across oceans and about 2 to 3 times larger than that of  $pCO_2$ . Between  $45^\circ N$   
440 and  $60^\circ N$ , the variations in  $npCO_2$  largely exceed  $100 \mu atm$  (up to  $180 \mu atm$  at  $60^\circ N$  in the  
441 West Pacific). In these regions, the magnitude of the seasonal temperature changes reaches  $20^\circ$   
442 C between winter and summer (Fig. 4). A seasonal signal in  $pCO_2$  with a minimum in late  
443 winter or spring when  $npCO_2$  is maximal can also be identified. However, the magnitude of  
444 the seasonal variations in  $pCO_2$  is significantly smaller than those of  $npCO_2$ , suggesting that  
445 other processes such as biological uptake or transport partly offsets the temperature effect on  
446 solubility. In the subpolar western Pacific shelves ( $60^\circ N$ ), a second pronounced dip in  $pCO_2$   
447 following a weaker one in spring is observed in summer, which suggests the occurrence of a  
448 pronounced summer biological activity taking up large amounts of  $CO_2$ . This would also  
449 explain the sharp increase in  $pCO_2$  in the following month, as a result of the degradation of  
450 organic matter synthesized during the summer bloom. This region is also the one subjected to  
451 the strongest seasonal temperature gradient as evidenced by the amplitude of the seasonal  
452  $npCO_2$  which reaches  $200 \mu atm$ . At  $20^\circ N$ , the amplitude of the changes in both  $pCO_2$  and



453  $\text{npCO}_2$  are lower than at higher latitudes.  $\text{pCO}_2$  varies by  $\sim 30 \mu\text{atm}$  between summer and  
454 winter in all oceanic basin while the seasonal variations in  $\text{npCO}_2$  are more pronounced in the  
455 Pacific ( $\sim 60 \mu\text{atm}$ ) than in the Atlantic or the Indian Oceans. In the Southern Hemisphere, the  
456 seasonal variations in  $\text{pCO}_2$  are not as pronounced as in the Northern Hemisphere suggesting  
457 that the changes induced by the solubility pump are compensated by biological activities. At  
458  $10^\circ\text{S}$  and  $30^\circ\text{S}$ , the seasonal variations in  $\text{pCO}_2$  rarely exceed  $30 \mu\text{atm}$  in either basin with a  
459 minimum observed around August.

460

#### 461 **4. Summary**

462 This study presents the first global high-resolution monthly  $\text{pCO}_2$  maps for continental shelf  
463 waters at an unprecedented  $0.25^\circ$  spatial resolution. We show that when tailored for the  
464 specific conditions of shelf systems, the SOM-FFN method previously employed in the open  
465 ocean is capable of reproducing well-known and well-observed features of the  $\text{pCO}_2$  field in  
466 the coastal ocean. Our continuous, shelf product allows, for the first time, to analyze the  
467 dominant spatial patterns of  $\text{pCO}_2$  across all ocean basins and their seasonality. The data  
468 product associated to this manuscript consists of a netcdf file containing the  $\text{pCO}_2$  for ice-free  
469 cells at a  $0.25^\circ$  spatial resolution for each of the 204 month of the simulation period (from  
470 January 1998 to December 2014). Climatologically averaged  $\text{pCO}_2$  maps for each month are  
471 also provided. This data product can be combined with wind field products such as  
472 ERA-interim (Dee, 2010) or CCMP (Atlas et al., 2011) to compute spatially and temporally  
473 resolved air-sea  $\text{CO}_2$  fluxes across the global shelf region, including the Arctic. Maps  
474 including  $\text{pCO}_2$  for ice covered cells are also available but should be treated with care because  
475 the dynamics of  $\text{CO}_2$  fluxes through sea ice are still poorly understood and air-sea gas transfer



476 velocities in partially sea ice covered areas cannot be predicted from classical wind speed  
477 relationships (Lovely et al. 2015)

478

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703



704 Table 1: List of the biogeochemical provinces, their geographic distribution and the  
 705 environmental predictors used to calculate surface ocean pCO<sub>2</sub>. SSS stands for sea surface  
 706 salinity, SST for sea surface temperature, Bathy for bathymetry, Ice for sea-ice cover and Chl  
 707 for chlorophyll concentration.

<b>Province</b>	<b>Distribution</b>	<b>SSS</b>	<b>SST</b>	<b>Bathy</b>	<b>Ice</b>	<b>Chl</b>
<b>P1</b>	Shallow tropical	<b>X</b>	<b>X</b>	<b>X</b>		
<b>P2</b>	Tropical	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>
<b>P3</b>	Deep Tropical	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>
<b>P4</b>	Deep Tropical	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>
<b>P5</b>	Sub Polar	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>	
<b>P6</b>	Deep Temperate	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>
<b>P7</b>	Shallow Polar	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>	
<b>P8</b>	Deep Polar	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>	
<b>P9</b>	Polar	<b>X</b>	<b>X</b>	<b>X</b>		
<b>P10</b>	Very deep Polar	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>	

708



709 Table 2: Root mean squared error between observed and calculated pCO<sub>2</sub> in the different biogeochemical provinces. The SOM-FFN results are compared to  
 710 data extracted from the LDEO database (Takahashi et al., 2014) and the overlapping cells from the Landschützer et al. (2016) pCO<sub>2</sub> climatology.

Province	Surface Area (km <sup>2</sup> )	Ice Cover (%)	SOCAT v3.0			Landschützer			LDEO		
			Bias (µatm)	RMSE (µatm)	Bias (µatm)	RMSE (µatm)	Bias (µatm)	RMSE (µatm)	Bias (µatm)	RMSE (µatm)	
P1	15.5 10 <sup>6</sup>	0	-5.4	27.8	8.6	26.5	-0.4	29.3			
P2	10.6 10 <sup>6</sup>	0	1.6	17.9	5.4	24.5	-0.2	24.1			
P3	7.4 10 <sup>6</sup>	0	1.8	25.7	3.1	23.6	-2.3	43.7			
P4	8.1 10 <sup>6</sup>	0	-0.8	15.4	0.2	28.5	-0.3	20.4			
P5	7.8 10 <sup>6</sup>	0.2	-2.1	41.0	-3.7	32.3	-5.2	66.6			
P6	6.2 10 <sup>6</sup>	0	-1.1	29.8	-10.2	30.2	-3.4	33.3			
P7	3.7 10 <sup>6</sup>	41.3	7.6	63.4	-11.7	53.1	-6.9	66.1			
P8	4.9 10 <sup>6</sup>	47.9	-1.8	30.0	2.9	27.2	-5.3	34.9			
P9	9.8 10 <sup>6</sup>	56.4	0.1	36.4	-17.9	55.4	-9.5	49.5			
P10	2.9 10 <sup>6</sup>	64.6	1.3	38.3	6.7	37.0	-9.9	48.3			
	76.9 10 <sup>6</sup>		0.1	32.6	-0.6	32.7	-2.4	41.6			

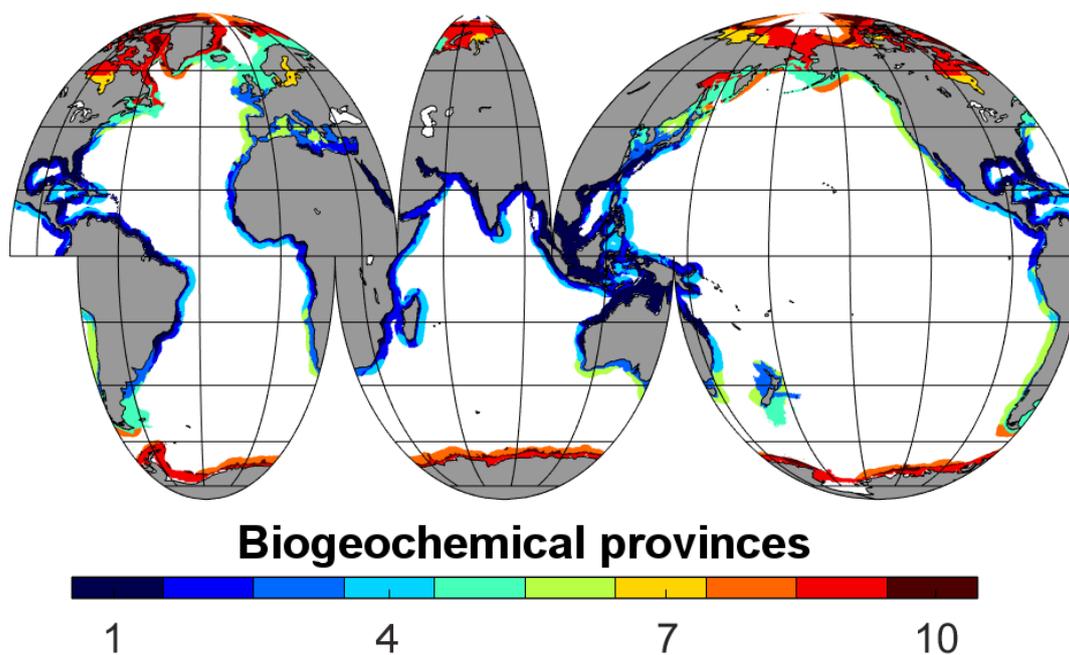


Figure 1: Map of the 10 different biogeochemical provinces generated by the artificial neural network method SOM-FFN.

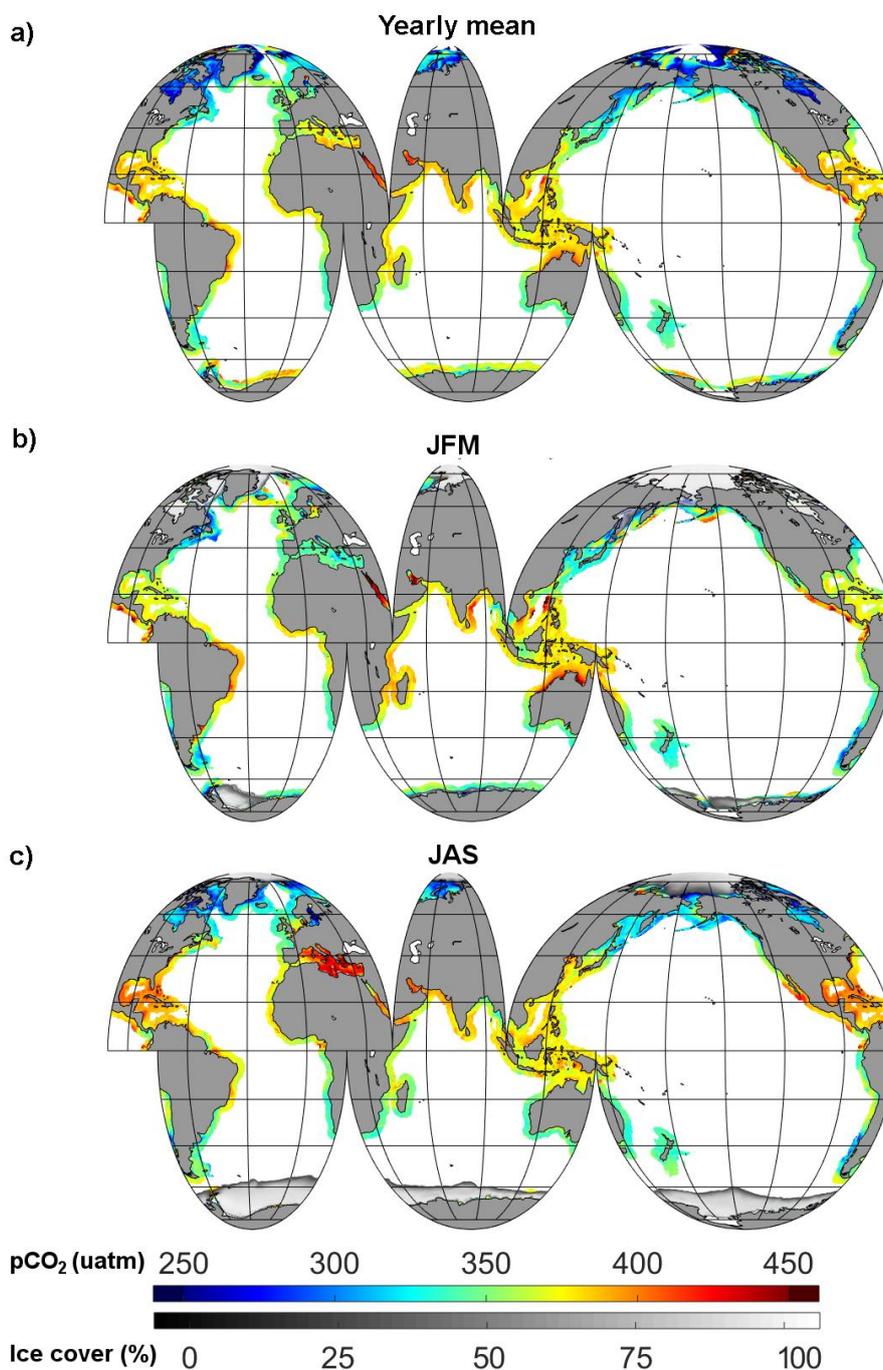


Figure 2: Climatological mean pCO<sub>2</sub> for (a) the long-term averaged pCO<sub>2</sub> (rainbow color scale) and sea-ice coverage (black-white color scale). The long-term average pCO<sub>2</sub> corresponds to roughly the nominal year 2006, as the average was formed over the full analysis period from 1998 through 2014; (b) the months of January, February and March; and (c) the months of July, August and September.

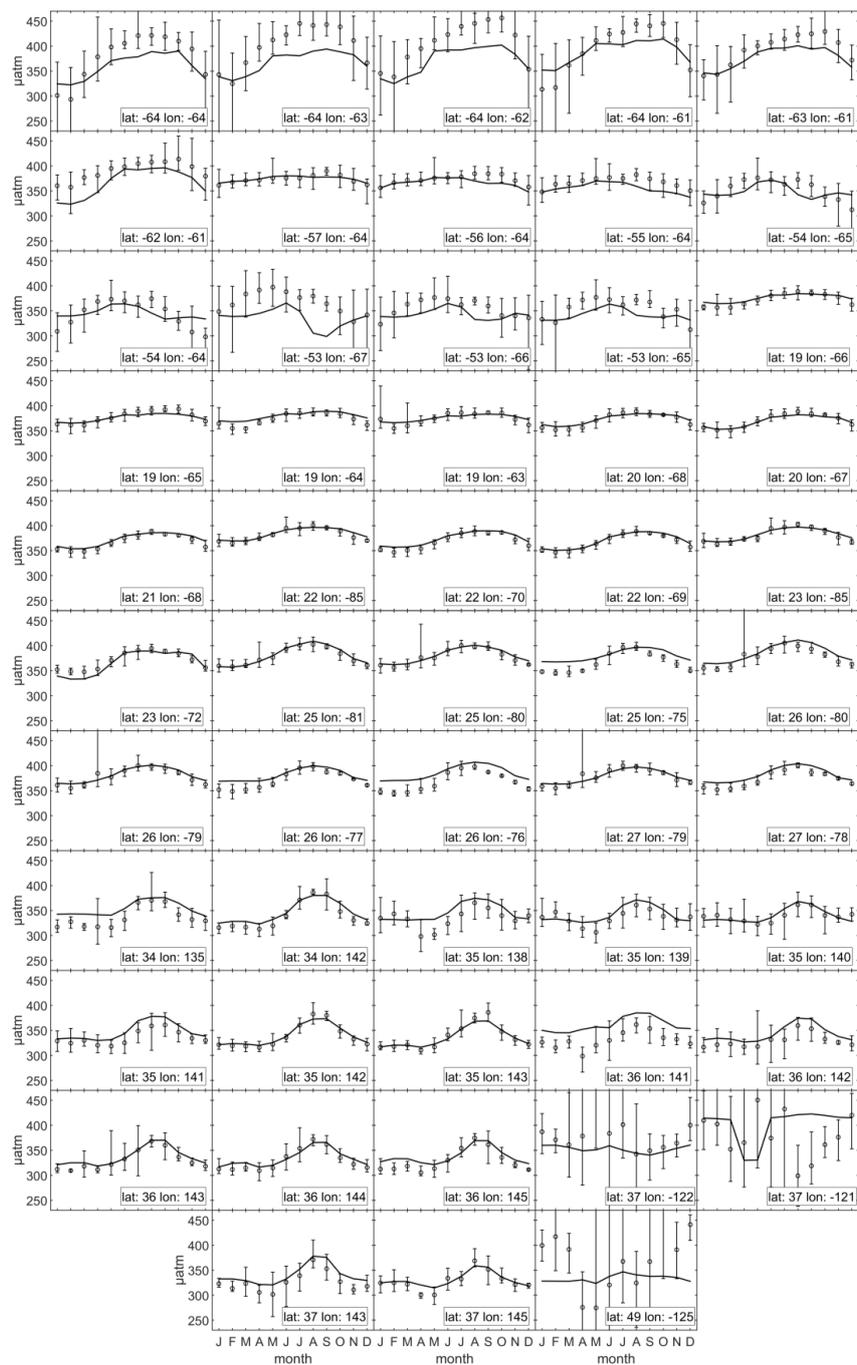


Figure 3: Climatological monthly mean  $\text{pCO}_2$  extracted from the LDEOv2014 database (points) and generated by the artificial neural network (lines) for grid cells having more than 40 months of data. The error bars associated with the data represent the inter-annual variability, reported as the highest and lowest recorded values for a given month at a given location.

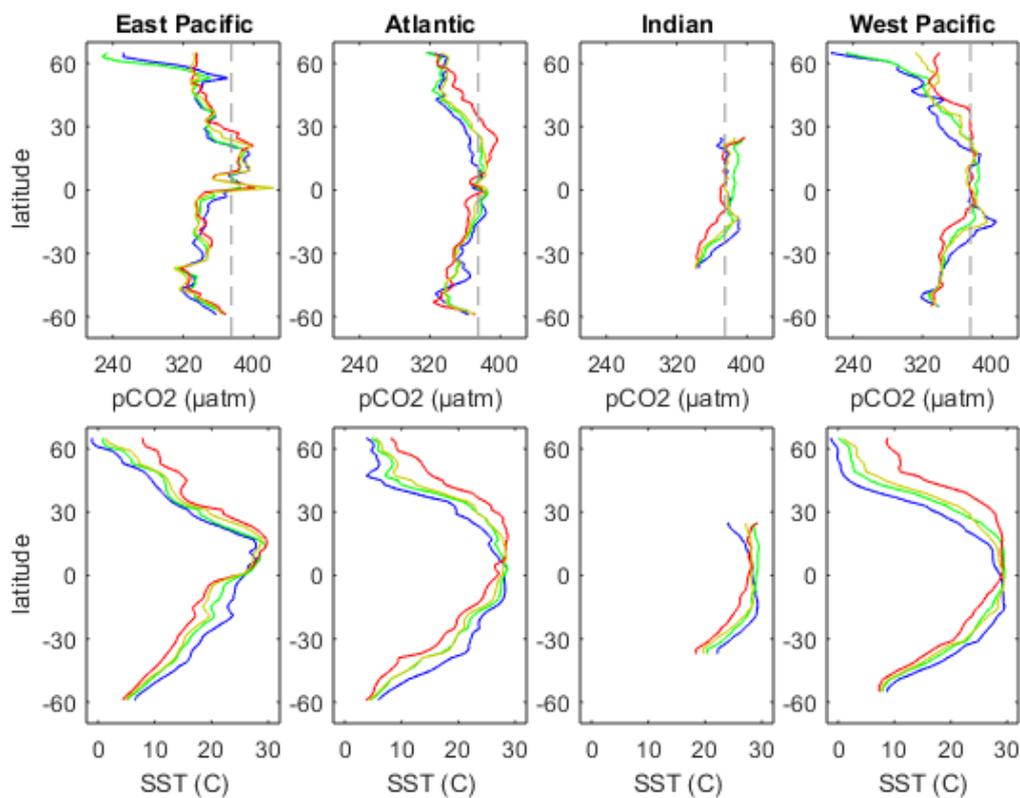


Figure 4: Seasonal-mean latitudinal profiles of  $p\text{CO}_2$  (top) and SST (bottom) for the continental shelves surrounding 4 oceanic basins. Blue lines: averages over the months of January, February and March; green lines: averages over the months of April, May and June; red lines: averages over the months of July, August and September; yellow lines: averages over the months of October, November and December. The dashed line in the top panels represents the average atmospheric  $p\text{CO}_2$  for year 2006.

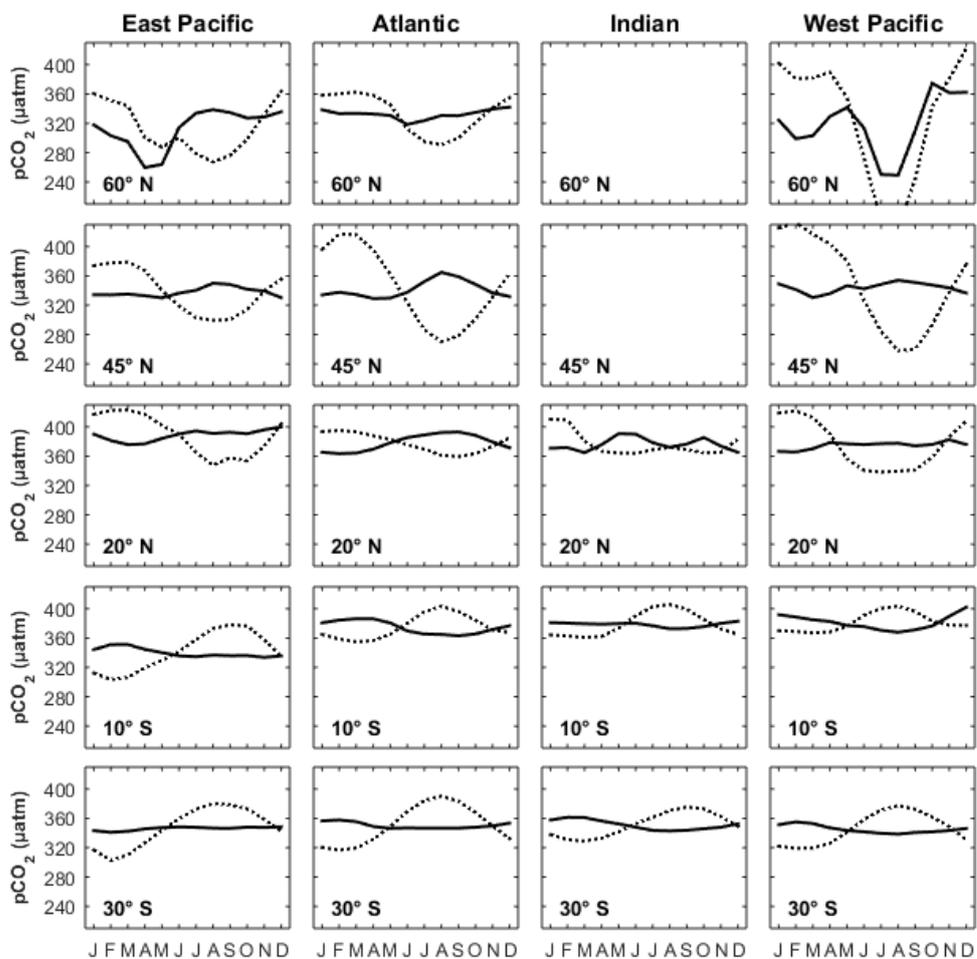


Figure 5: Seasonal cycle of observed (continuous lines) and temperature normalized pCO<sub>2</sub> (dashed lines) at 5 different latitudes in 4 oceanic basins.