A biophysical approach using drought stress factor for daily estimations of evapotranspiration and CO₂ uptake in high-energy water-limited environments

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Abstract

Estimations of ecosystem-level evapotranspiration (ET) and CO₂ uptake in water-limited environments are scarce and scaling up ground-level measurements is not straightforward. A biophysical approach was previously proposed for ecosystem-level assessment relying on vegetation index and meteorological data (RS-Met) in temperate Mediterranean ecosystems. However, these RS-Met models have not been tested yet in extreme high-energy water-limited ecosystems that suffer from continuous stress conditions. Owing to the lack of ET and CO₂ flux estimations in the Eastern Mediterranean, we examined the RS-Met approach using a newly developed mobile lab system and the single active Fluxnet station operating in this region, in seven forest and non-forest sites across a climatic transect in Israel (280–770 mm y⁻¹). The RS-Met models were used with and without the addition of a seasonal drought stress factor (f_DS), which was based on daily rainfall, temperature and radiation data.

Results show that the RS-Met models with the inclusion of the f_DS were significantly improved compared to the non- f_DS models (r=0.64-0.91 compared to 0.05-0.80; P=0.06 and r=0.72-0.92 compared to r=0.56-0.90; P<0.01 for ET and GPP, respectively). These, successfully tracked observed seasonal changes in ET and GPP across sites (ET_MOD = 0.94×ET_EC + 0.28; r=0.82; MAE=0.54 mm d⁻¹; N=243 d, and GPP_MOD = 0.99×GPP_EC + 0.51; r=0.86; MAE=1.03 gC m⁻² d⁻¹; N=252 d). Modeled ET and GPP also agreed well with eddy covariance estimates at the annual timescale in the Fluxnet station located in the dryland pine forest of Yatir (266±61 vs. 257±58 mm y⁻¹ and 765±112 vs. 748±124 gC m⁻² y⁻¹ for ET and GPP, respectively). Using the RS-Met models, we were able to show the effect of afforestation on water vapor and CO₂ fluxes in this region. Afforestation was responsible for a significant increase in water use efficiency (WUE) with positive effect decreasing when moving from dry to more humid environments, strengthening the importance of drylands afforestation. This simple but yet robust biophysical approach show a promise for reliable ecosystem-level estimations of ET and CO₂ uptake in extreme high-energy water-limited environments when adjusting for drought stress effects.

Keywords: CO₂; drought stress; ET; GPP; MODIS; NDVI
1. Introduction

Assessing the water use and carbon uptake in terrestrial ecosystems is of the utmost importance for monitoring biosphere responses to climate change (Ciais et al., 2005; Jung et al., 2010; Reichstein et al., 2013). Accurate estimations of evapotranspiration (ET) and gross primary production (GPP), as a measure of the CO₂ uptake, usually require the integration of extensive meteorological, flux and field-based data (e.g., Wang et al., 2014; Kool et al., 2014). Measurements of leaf gas exchange and isotopic composition (e.g., δ¹³C and δ¹⁸O) have been used to estimate leaf-scale carbon and water fluxes (Klein et al., 2013; Maseyk et al., 2011; Raz-Yaseef et al., 2012a). Meanwhile, observations of sap flow and tree rings often serve to estimate fluxes at the tree-level (Klein et al., 2016; Wang et al., 2014). However, scaling up such measurements to the ecosystem level is not straightforward and require the use of complex models (Way et al., 2015).

Currently, the eddy covariance (EC) technique is the most direct method for measuring carbon and water vapor fluxes at the ecosystem level (Baldocchi, 2003). The EC approach benefits from continuous temporal coverage; currently (April, 2017), there are more than 560 active EC sites across the globe, as part of the Fluxnet program (http://fluxnet.ornl.gov). However, there are also some practical and technical limitations. The EC measurement is representative of a relatively small area (<2 km²), and the application of the EC approach is limited to relatively homogeneous and flat terrains. Additionally, most EC towers are concentrated in the US, Europe and Asia, with poor coverage in water-limited regions, such as North Africa and the Eastern Mediterranean (Schimel et al., 2015).

Remote-sensing-based models (RS models) have been used to overcome some of the limitations of EC, complementing the information derived from the flux towers. In contrast to process-driven models, RS models benefit from continuous, direct observation of the Earth’s surface, acquiring data at a relatively high spatial resolution and with full regional to global coverage. Many RS models for the estimation of ET and GPP exist (see review in Kalma et al., 2008), but most of them are too complex, with low accessibility for researchers outside the remote sensing community.

In the past decade, several simple biophysical ET and GPP models based on vegetation indices (from satellite data) have emerged, offering assessment at relatively high to moderate
spatial and temporal resolutions and with acceptable accuracy (Veroustraete et al., 2002; Sims et al., 2008; Maselli et al., 2009, 2014; and see also the review in Glenn et al., 2010).

One of those models is the ET model based on the FAO-56 formulation (Allen et al., 1998). This model uses a function of satellite-derived vegetation index, usually the normalized difference vegetation index (NDVI), as a substitute for the crop coefficient, which is defined as the ratio of the actual to the potential ET ($E_T$) in the FAO-56 formulation. Being a measure of the green plant biomass and the ecosystem leaf area, the NDVI is often used as a surrogate for plant transpiration and rainfall interception capacity (Glenn et al., 2010).

Additionally, the NDVI is closely related to the radiation absorbed by the plant and to its photosynthetic capacity (Gamon et al., 1995). However, the direct detection, through NDVI, of the abovementioned parameters at a seasonal timescale is still challenging and usually requires additional meteorological information (Helman et al., 2015a). The RS model based on the FAO-56 formulation combines the two sources of information, satellite and meteorological, providing a daily estimation of actual ET. This model, originally proposed for croplands and other managed vegetation systems (Allen et al., 1998; Glenn et al., 2010), was recently adjusted for applications in natural vegetation systems by Maselli et al. (2014).

For the estimation of GPP, a simple but robust biophysical GPP model is the one based on the radiation use efficiency (RUE) model proposed by Monteith (1977). The classical Monteith-type model depends on the absorbed radiation and on the efficiency of the vegetation at converting this radiation into carbon-based compounds. Accordingly, this Monteith-based model is driven by radiation and temperature data, acquired from meteorological stations, and by the fraction of photosynthetically active radiation ($f_{PAR}$), which can be calculated from the satellite-derived NDVI or EVI. A major challenge in this model, however, is the estimation of the RUE, a key component of the model, which usually depends on plant species type and environmental conditions. Currently, the conventional procedure is to use a plant-species-dependent maximum RUE from a lookup table and adjust it for seasonal changes using some sort of a factor that changes throughout the season based on meteorological data (Running et al., 2004; Zhao and Running, 2010).

Though simple, both ET and GPP models (hereafter RS-Met models) were shown to be promising in accurately assessing daily ET and GPP at a relatively high spatial resolution (<1 km) (Helman et al., 2017; Maselli et al., 2014, 2006; Veroustraete et al., 2002). However, the use of the RS-Met models is limited to ecosystems under normally non-stressful conditions.
because there is no accurate representation of water availability in these models. Recently, the incorporation of a drought-stress factor ($f_{DS}$) in these models was proposed by Maselli et al., (2009, 2014), adjusting for short-term stress conditions in water-limited natural ecosystems. The proposed $f_{DS}$ is based only on daily rainfall data and daily potential ET calculated from temperature and/or incoming radiation. The RS-Met models with the addition of the $f_{DS}$ were successfully validated against EC-derived estimates of ET and GPP in several sites in Italy (Maselli et al., 2014, 2009, 2006).

However, the RS-Met approach has never been tested in extreme high-energy water-limited environments such as those in the Eastern Mediterranean. Currently, there is only one active Fluxnet station in the entire Eastern Mediterranean (Yatir forest, southern Israel; Fig. 1a) that measures water vapor and carbon fluxes (since 2000); while in this region water is considered to be a valuable resource and the proper management of this resource depends on the accurate assessment of the ET component. Moreover, despite of the well-known important contribution of this region to the global CO$_2$ (Ahlström et al., 2015), there are almost no efforts of estimating CO$_2$ fluxes in forested and non-forested areas in this region. This led to the development of the Weizmann mobile lab system (Israel; Fig. 1h) that allows extension of the permanent Fluxnet measurement sites on campaign basis (e.g., Asaf et al., 2013; for technical detail see: http://www.weizmann.ac.il/EPS/Yakir/node/321). Such a system could allow flux and auxiliary analytical measurements across a range of climatic conditions, plant species and ecosystems, as well as addressing land use changes and disturbance. However, to extend these campaign-based measurements in time and space a model fitted to the high-energy water-limited conditions of this region is required.

Here, we tested the RS-Met approach in a total of seven ecosystems distributed at three precipitation levels along a rainfall gradient (280-770 mm y$^{-1}$) in the Eastern Mediterranean region (Israel; Fig. 1). Ecosystems included three pairs of planted forests and adjacent non-forest sites (representing the original area on which these forests were planted). Ground-level campaign measurements of ET and net ecosystem CO$_2$ exchange using the newly developed mobile lab (Fig. 1h) and the continuous flux measurements in the active Fluxnet site in Yatir (Klein et al., 2016; Tatarinov et al., 2016) were used to validate the RS-Met models. This combination of model-based estimates and direct flux measurements of ET and CO$_2$ uptake across a range of climatic conditions and ecosystems provides a unique opportunity to test and validate the RS-Met approach in this high-energy water-limited region. Particularly, we
examined the RS-Met models with and without the application of the $f_{DS}$, which was originally proposed by Maselli et al. (2014) for temperate Mediterranean environments. Thus, our specific goals in this study were: (1) examine the seasonal evolution of $f_{DS}$ and its role in the estimation of the fluxes from the RS-Met models in these environments, (2) compare the model estimates with EC measurements across these high-energy water-limited sites, at a daily and annual scale, with and without the use of the $f_{DS}$, and (3) use the best RS-Met models to estimate changes in water use efficiency (WUE=GPP/ET) following afforestation across the rainfall gradient in Israel, by comparing the three-paired forest vs. non-forest sites.

2. Materials and methods

2.1. Study sites

The sites in this study included three pairs of planted pine forests ($Pinus halepensis$ Mill.) and adjacent non-forested (dwarf shrublands) sites distributed throughout a climatic range in Israel ($P = 280 – 770 \text{ mm year}^{-1}$), from dry to sub-humid Mediterranean (Table 1 and Fig. 1a-f), which represent the typical Mediterranean vegetation systems in the Eastern Mediterranean. The three non-forested sites represent the original natural environment on which the pine forests were planted, while the afforested sites are currently managed by the Jewish National Fund (KKL). The non-forested shrubland sites are mostly dominated by $Sarcopoterium spinosum$ (dwarf shrub) in a patchy distribution with a wide variety of herbaceous species, mostly annuals, growing in between the shrub patches during winter to early spring. In addition, we tested the models in one native deciduous forest site dominated by $Quercus$ species. A brief description of the sites is given in the following:

Yatir. The forest of Yatir is an Aleppo pine forest ($Pinus halepensis$) that was planted by KKL mostly during 1964-1969 in the semiarid region of Israel (31.34N, 35.05E; Fig. 1a). It covers a total area of c. 2800 ha and lies on a predominantly light brown Rendzinas soil ($79 \pm 45.7 \text{ cm}$ deep), overlying a chalk and limestone bedrock (Llusia et al., 2016). The average elevation is 650 m. The mean annual rainfall in the forest area is 285 mm year$^{-1}$ (for the last 40 years) and was 279 mm year$^{-1}$ in the Fluxnet site during 2001-2015 (Table 1). The mean annual temperature in Yatir is 18.2 °C with 13 and 31 °C for mean winter (November–January) and summer (May–July) temperatures, respectively. Tree density in Yatir is c. 300 trees ha$^{-1}$
with a tree average height of c. 10 m and canopy leaf area index (LAI) of 1.4 ± 0.4 m² m⁻², which displays small fluctuations between winter and summer (Sprintsin et al., 2011). The understory in this forest is mostly comprised of ephemeral herbaceous species (i.e., theropytes, geophytes and hemicryptophytes) growing during the wet season (September-April) and drying out in the beginning of the dry season (May-June).

A relatively thin needle litter layer covers the forest floor during the needle senescence period (June-August) (Maseyk et al., 2008). The forest of Eshtaol was planted in the late 1950’s by KKL with mostly P. halepensis trees in the central part of Israel (31.79N, 34.99E; Fig. 1c). The current forest area is c. 1200 ha and lies mainly on Rendzinas soils. The average elevation is 330 m. The mean annual rainfall in this area is c. 500 mm y⁻¹ and was a 480 mm y⁻¹ in the site of the EC measurements during 2012-2015 (Table 1). Tree density in Eshtaol is typically 300–350 trees ha⁻¹, with a tree canopy LAI that ranges between 1.9 m² m⁻² and 2.6 m² m⁻² and a tree average height of 12.5 m (Osem et al., 2012).

The forest of Birya is a P. halepensis forest that was mostly planted during the early 1950’s in the northern part of Israel, Galilee region (33.00N, 35.48E; Fig. 1c). The forest covers an area of c. 2100 ha and lies on a Rendzinas and Terra rossa soils. The average elevation is 730 m. The average temperature in this area is 16°C, with an average annual rainfall of 710 mm y⁻¹ and 776 mm y⁻¹ during the years of the EC measurements (2012-2015; Table 1). The average stand density is 375 trees ha⁻¹ with an average tree height of 11 m (Llusia et al., 2016).

The HaSolelim forest is a native deciduous mixed oak forest dominated by Quercus ithaburensis, which is accompanied by Quercus calliprinos (evergreen) and few other Mediterranean broadleaved tree and shrub species (Fig. 1g). The forest is located at the northern part of Israel in the Galilee region, 30 km south of the Birya forest (32.74N, 35.23E). The forest covers an area of c. 240 ha and lies on Rendzinas and Terra rossa soils.

The elevation in the site of the EC measurements is 180 m (Table 1). The average temperature in this area is a typically 21°C, with a mean annual rainfall of 580 mm y⁻¹ and 543 mm during the years of the EC measurements. The site where the measurements took place is characterized by an average stand density of 280 trees ha⁻¹ and an average tree height of 8 m (Llusia et al., 2016).
Wady Attir. This is a xeric shrubland site located southwest to the forest of Yatir (31.33N, 34.99E). The average elevation is 490 m. The site is dominated by semi-shrubs species such as, *Phagnalon rupestre* L., with *graminae* species, mainly *Stipa capensis* L. (also known as Mediterranean needle grass), *Hordeum spontaneum* K. Koc. (also known as wild barley) and some *Avena* species such as, *A. barbata* L. and *A. sterilis* L., appearing shortly after the rainy season (Leu et al. 2014; Fig. 1b). The mean annual rainfall in this area is 230 mm y⁻¹ (Mussery et al., 2016) and was 280 mm y⁻¹ in the years of the EC measurements (2012-2015; Table 1).

Modiin. The shrubland site of Modiin is located few kilometers from the forest site of Eshtaol and represent the original environment on which this forest was planted (31.87N, 35.01E; Fig. 1d). The average elevation is 245 m. The shrubland site is mostly dominated by *Sarcopoterium spinosum* (dwarf shrub) in a patchy distribution with a wide variety of herbaceous species, mostly annuals, growing in between the shrub patches during winter to early spring. The average rainfall amount in this area was 480 mm y⁻¹ in the years of the EC measurements (Table 1).

Kadita. The shrubland site of Kadita is also dominated by *Sarcopoterium spinosum* (dwarf shrub) in a typical patchy distribution (Fig. 1f). It is located nearby the forest of Birya at an elevation of 815 m (33.01N, 35.46E; Table 1). The mean annual rainfall in this site is similar to that recorded in the Birya forest (i.e., 766 mm y⁻¹ in the years of study).

All shrubland sites have been under continuous livestock grazing for many years, and their vegetation structures are mainly the outcome of both rainfall amount and grazing regime.

2.2. Satellite-derived vegetation index

We used the NDVI from the moderate-resolution imaging spectroradiometer (MODIS) on board NASA’s Terra satellite at 250 m spatial resolution (MOD13Q1). The MOD13Q1 NDVI product is a composite of a single day’s value selected from 16-day periods based on the maximum value criteria (Huete et al., 2002). The Terra’s NDVI product is acquired during the morning (10:30 am) and thus provides a good representation of the peak time of the plants’ diurnal activity. The gradual growth of the vegetation enables the interpolation of
the 16-day NDVI time series to representative daily values (Glenn et al., 2008; Maselli et al., 2014). We downloaded the 16-day NDVI time series covering the main area of the eddy covariance flux measurement for each site from the MODIS Subsets (http://daacmodis.ornl.gov/cgi-bin/MODIS/GLBVIZ_1_Glb/modis_subset_order_global_col5.pl) for the period October 2001 – October 2015. Then, we pre-processed the NDVI time series as described in Helman et al. (2014a, 2014b, 2015b) to remove outliers and uncertainties due to cloud contamination and atmospheric disturbances. The processed 16-day NDVI time series were then interpolated on a daily basis using the local scatterplot smoothing technique (LOESS). This technique is suited for eliminating outliers in non-parametric time series and has been shown to be a useful tool in the interpolation of datasets with a seasonal component (Cleveland, 1979).

2.3. The mobile lab system and the Fluxnet station in Yatir

A newly designed mobile flux measurement system was used in all campaigns (Fig. 1h), based on the 28-m pneumatic mast on a 12-ton 4x4 truck that included a laboratory providing an air-conditioned instrument facility (cellular communication, 18 KVA generator, 4200 WUPS). Flux, meteorological and radiation measurements relied on an eddy-covariance system that provides CO₂ measurements and sensible and latent heat fluxes using a three-dimensional sonic anemometer (R3, Gill Instruments, Lymington, Hampshire, UK) and enclosed-path CO₂-H₂O IRGA (Licor 7200, Li-Cor, Lincoln, NE, USA) using CarboEuroFlux methodology (Aubinet et al., 2000), and EddyPro Software (www.licor.com). Data were collected using self-designed program in LabVIEW software. Air temperature and relative humidity (HMP45C probes, Campbell Scientific) and air pressure (Campbell Scientific sensors) were measured at 3 m above the canopy. Energy fluxes relied on radiation sensors, including solar radiation (CMP21, Kipp and Zonen), long-wave radiation (CRG4, Kipp and Zonen) and photosynthetic radiation (PAR, PAR-LITE2) sensors. All sensors were installed in pairs facing both up and down and are connected using the differential mode through a multiplexer to a data logger (Campbell Scientific). GPP for each site was estimated from the measured net ecosystem CO₂ exchange (NEE) using the conventional approach of estimating ecosystem respiration, Re, and a regression of NEE on turbulent nights against temperature, followed by extrapolating the derived night-time Re-temperature relationship to daytime periods (Reichstein et al., 2005; modified for our region by Afik, 2009). Flux
measurements with the mobile system were carried out on a campaign basis, in six of the seven sites, with each campaign representing approximately two weeks in a single site, repeated along the seasonal cycle with mostly two but sometimes only one two-weeks set of measurements per cycle, during the 4 years of measurements, 2012-2015. Continuous flux measurements were carried out in the permanent Fluxnet site of Yatir (xeric forest site). Begun in 2000, the eddy covariance (EC) and supplementary meteorological measurements have been conducted continuously (Rotenberg and Yakir, 2011; Tatarinov et al., 2016), with measurements performed according to the Euroflux methodology. Instrumentation is similar to that in the Mobile Lab except for the use of a closed-path CO$_2$/H$_2$O infrared gas analyzer (IRGA, LI-7000; Li-Cor, Lincoln, NE) with the inlet placed 18.7 m above ground. Typical fetch providing 70% (cumulative) contribution to turbulent fluxes was measured between 100 m and 250 m (depending on the site) along the wind distance. This was taken in consideration when using the MOD13Q1 product to derive the modeled fluxes.

Daily estimates of potential evapotranspiration, i.e. ET$_o$ (in mm d$^{-1}$), for the ET model, the drought stress factor and the water availability factor, were calculated from the mean daily air temperature and the daily total incoming solar radiation, measured at the seven sites following the empirical formulation proposed by Jensen & Haise (1963):

$$\text{ET}_o = \frac{R_g}{2470} (0.078 + 0.0252 T)$$  

where T is the mean daily air temperature (in °C), and $R_g$ is the daily global (total) incoming solar radiation (in kJ m$^{-2}$ d$^{-1}$); ET$_o$ is finally converted into mm d$^{-1}$ by dividing the $R_g$ by 2470 mm kJ m$^{-2}$ d$^{-1}$ (see in Jensen & Haise, 1963). We decided to use this ET$_o$ formulation of Jensen & Haise (1963) to be consistent with the original RS-Met proposed by Maselli et al. (2014) though we are aware of the large tradition of works devoted to compare several methods to estimate ET$_o$, and to prove the validity and limitations of these methods under different environmental conditions.

2.4. The PaVI-E model for annual ET

We used the PaVI-E model (Parameterization of Vegetation Index for the estimation of ET; Helman et al., 2015a) to validate the ET from the RS-Met model on an annual basis, owing to
the lack of continuous flux measurements in six of the seven sites (Eshtaol, HaSolelim, Birya, Wady Attir, Modiin and Kadita, see Table 2 for N of the EC flux measurements in each of those sites). The PaVI-E is an empirical model based on the simple relationships between MODIS-derived EVI and NDVI and annual ET measured with EC in 16 Fluxnet sites, comprising a wide range of plant functional types across Mediterranean-climate regions. The PaVI-E model produces annual ET at a spatial resolution of 250 m and was validated against physical-based models (MOD16 and MSG LSA-SAF ETa) and ET retrieved from water balances across the same study area (Helman et al., 2015a). It was shown to be useful for ecohydrological study in this region, providing insights into the role of climate in altering forest water and carbon cycles (Helman et al., 2017, 2016). The advantage of PaVI-E is that it does not require any additional meteorological information but is a function of the satellite-derived vegetation indices alone. This makes it interesting to compare with the RS-Met model since the RS-Met is highly dependent on meteorological forcing.

3. Description of the models and the use of a drought stress factor

The RS-Met models used here for the daily estimation of ET and GPP are based on the NDVI and the meteorological data (Maselli et al., 2014, 2009, 2006; Veroustraete et al., 2002). Each model was applied with (DS) and without (no-DS) a drought stress adjustment (i.e., two models for ET and two for the GPP).

3.1. The ET model

The RS-Met model of daily ET is based on the FAO-56 formulation (Eq. 2):

\[ ET = ETo \times (KC + KS) \]  

(2)

Where \( KC \) and \( KS \) stand for the crop/canopy and soil coefficients, respectively (Allen et al., 1998). In the RS-Met model a maximum value of \( KC \) (\( KC_{\text{max}} \)), which depends on the type of the monitored vegetation (Allen et al., 1998; Maselli et al., 2014), and a maximum value of \( KS \) (\( KS_{\text{max}} \)), for soil evaporation, are used as a reference in the model. The \( KC_{\text{max}} \) and \( KS_{\text{max}} \) are then multiplied by a linear transformation of the NDVI (i.e., \( f(NDVI) \) and \( f(1-NDVI) \), respectively) to adjust for the seasonal evolution of the crop/canopy and soil coefficients:
Following Maselli et al. (2014), we used the fractional vegetation cover (fVC) to better represent both ET processes: direct soil evaporation and plant transpiration. The fVC is a classical two-end member function based on minimum and maximum values of NDVI, corresponding to a typical soil background without vegetation (NDVI\text{SOIL}) and an area fully covered by vegetation (NDVI\text{VEG}), respectively:

\[ f_{VC} = \frac{\text{NDVI} - \text{NDVI}_{\text{SOIL}}}{\text{NDVI}_{\text{VEG}} - \text{NDVI}_{\text{SOIL}}} \]  \hspace{1cm} (5)

Thus, Eqs. (3) and (4) become:

\[ K_C = K_{C_{\text{max}}} \times f_{VC} \]  \hspace{1cm} (6)

and

\[ K_S = K_{S_{\text{max}}} \times (1 - f_{VC}) \]  \hspace{1cm} (7)

respectively.

The fVC in Eq. (5) is calculated on a daily basis from the interpolated NDVI (daily) data. Note that the fVC in Eq. (6) represents the fraction of the area covered by the vegetation, while in Eq. (7) the term 1 - fVC represents the fraction of the bare soil area. Both terms, fVC and 1 - fVC in Eqs. (6) and (7), change over the course of a year due to canopy development and/or the appearance of ephemeral herbaceous plants. We used here the values of 0.1 and 0.8 for the NDVI\text{SOIL} and NDVI\text{VEG}, respectively, as proposed in Helman et al., (2015b) for this region.

Finally, from Eqs. (2) and (5-7) we obtain the model without the drought stress adjustment (no-DS):
Following Maselli et al. (2014), we used the drought stress factor ($f_{DS}$) and the water availability factor ($f_{WA}$) to adjust the crop/canopy and soil coefficients for stressful conditions in Eqs. (6) and (7), respectively:

$$K_C = K_{C_{\text{max}}} \times f_{VC} \times f_{DS}$$  
$$K_S = K_{S_{\text{max}}} \times (1 - f_{VC}) \times f_{WA}$$

The $f_{DS}$ and $f_{WA}$ in Eqs. (9) and (10) simulate the effects of drought stress and available water (or water shortage) for plant transpiration and bare soil evaporation, whereas the $f_{DS}$ is defined as follows (Maselli et al., 2014):

$$f_{DS} = 0.5 + 0.5 \times f_{WA}$$

The water availability factor ($f_{WA}$) is calculated as the simple ratio between the daily rainfall amount and the daily $ET_o$, both cumulated over a period of two months (Maselli et al., 2014). The $f_{WA}$ is set to 1 when the cumulated rainfall amount exceeds the atmospheric demand (i.e., the $ET_o$). Note that the $f_{DS}$ would then vary between 0.5 and 1, meaning that ET is reduced to half the potential maximum in the absence of water supply, simulating the basic transpiration levels maintained by evergreen vegetation (Glenn et al., 2011 and see also in Maselli et al., 2014). This reduction in the $f_{DS}$ accounts for the short-term stress effects on plant transpiration, while long-term effects that cause damage to the function of the plant would be mainly reflected through changes in the NDVI/$f_{VC}$ (Glenn et al., 2010; Running and Nemani, 1988). In contrast to the $f_{DS}$, the $f_{WA}$ is reduced to zero following a dry period longer than two months, making the surface evaporation component null during the dry summer. Basically, the accumulation period could vary for different ecosystem types. However, we have taken here a period of two months for the native shrublands and planted (and native) forests.
following Maselli et al. (2014) that suggested the use of a longer period (two months) for such ecosystems compared to the short period (one month) often used for annual crops.

Replacing Eqs. (6) and (7) by Eqs. (9) and (10) the no-DS model (Eq. 8) becomes the following DS model:

\[
ET = ET_o \times \left\{ \left[ f_{VC} \times K_{C_{\text{max}}} \times f_{WA} \right] + \left[ (1-f_{VC}) \times K_{S_{\text{max}}} \times f_{WA} \right] \right\} \tag{12}
\]

Here we used a \( K_{C_{\text{max}}} \) value of 0.7 for both forests and non-forest sites, and a \( K_{S_{\text{max}}} \) value of 0.2 for soil evaporation in both DS and no-DS models, as previously proposed by Maselli et al., (2014) for similar woody-dominated ecosystems.

Finally, both the DS (Eq. 12) and no-DS (Eq. 8) models derive daily ET estimates (in mm d\(^{-1}\)) at the spatial resolution of the MODIS NDVI product, i.e., 250 m.

3.2. The GPP model

For the GPP model, we used the biophysical radiation use efficiency model proposed by Monteith (1977):

\[
GPP = \text{RUE} \times f_{\text{PAR}} \times \text{PAR} \tag{13}
\]

where PAR is the daily incident photosynthetic active radiation (in MJ m\(^{-2}\)), calculated as 45.7% from the incoming measured global solar radiation (Nagaraja Rao, 1984), and \( f_{\text{PAR}} \) is the fraction of the PAR that is actually absorbed by the canopy (range from 0 to 1). The \( f_{\text{PAR}} \) was derived here from the daily NDVI time series following the linear formulation proposed by Myneni & Williams (1994), which was successfully applied in similar remote-sensing-based GPP models for similar ecosystems by Veroustraete et al. (2002) and Maselli et al. (2006, 2009); RUE is the radiation use efficiency (in g C MJ\(^{-1}\)), which is the efficiency of the plant for converting the absorbed radiation into carbon-based compounds and which changes over the course of a year (Garbulsky et al., 2008).

The RUE is an important component in the GPP model and is the most challenging parameter to compute. It is usually considered to be related to vapor pressure deficit, water availability,
temperature and plant species type (Running et al., 2000), and there have been several recent
efforts to directly relate it to the photochemical reflectance index (PRI), which can also be
derived from satellites (Garbulsky et al., 2014; Peñuelas et al., 2011; Wu et al., 2015).
Currently, the conventional modeling of RUE for Mediterranean ecosystems is not
straightforward and is mostly site specific, derived for specific local conditions
(Garbulsky et al., 2008). Here, we used the simple approach proposed by Veroustraete et al.,
(2002) and further developed by Maselli et al., (2009), which states that a potential RUE (RUEMAX in g
C MJ⁻¹) can be adjusted for seasonal changes using a function based on temperature and
water stress conditions (fWT):

\[ RUE = RUE_{MAX} \times f_{WT} \] (14)

The \( f_{WT} \) adjusts the \( RUE_{MAX} \) for seasonal changes following changes in water availability and
temperature conditions:

\[ f_{WT} = T_{CORR} \times f_{DS} \] (15)

where \( T_{CORR} \) is a temperature correction factor calculated on a daily basis (Veroustraete et al.,
2002):

\[ T_{CORR} = \frac{e^{a \cdot \frac{\Delta H_{AP}}{R}}}{1 + e^{\frac{\Delta S - \Delta H_{DP}}{R \cdot T}}} \] (16)

where \( a \) is a constant equal to 21.9; \( \Delta H_{AP} \) and \( \Delta H_{DP} \) are the activation and deactivation
energies (in J mol⁻¹), equal to 52750 and 211, respectively; \( G \) is the gas constant, equal to
8.31 J K⁻¹ mol⁻¹; \( \Delta S \) is the entropy of the denaturation of \( CO_2 \) and is equal to 710 J K⁻¹ mol⁻¹;
and \( T \) is the mean daily air temperature (in Kelvin degrees); and \( f_{DS} \) is the same drought-
stress factor as in Eq. (11).

\[ \text{The drought stress factor,} \ f_{DS}, \ \text{is used here only in the DS model (i.e., the model that}
\text{considers drought stress conditions). Thus, in the no-DS model, the} \ f_{WT} \ \text{would be only a}
\text{function of the temperature, and thus} \ f_{WT} = T_{CORR} \ \text{(in Eq. 15). Following Garbulsky et al.,} \]
and Maselli et al., (2009), a constant value of 1.4 g C MJ\(^{-1}\) was used here for RUE\(_{\text{MAX}}\) in all sites and models (i.e., the DS and no-DS).

Finally, daily GPP values were computed from both the DS and no-DS models, at a spatial resolution of 250 m for each of the seven sites and compared with the EC measurements. It should be stated that the use of the EC-derived GPP as a reference in the validation should be taken with caution because GPP by itself is modeled and not directly measured. This may introduce uncertainties to the validation that could be contaminated by self-correlation.

4. Testing the drought stress factor in high-energy water-limited environments

To show the importance of the drought stress factor (f\(_{\text{DS}}\)) in tracking the seasonal variation in the fluxes at high-energy water-limited environments, we demonstrate the seasonal evolution of f\(_{\text{DS}}\) together with the main components of the RS-Met models at one selective site (Fig. 2). Figure 2a shows that the f\(_{\text{DS}}\) moderate the increase of \(K_{c}\) in the forest site of Eshtaol at the beginning of the rainy season (October-December) even though the f\(_{\text{VC}}\) is relatively high due to the appearance of ephemeral herbaceous vegetation in the understory (Helman et al., 2015b). This is a realistic scheme since the herbaceous vegetation has little contribution to the ecosystem fluxes but a significant contribution to the NDVI (and thus to the f\(_{\text{VC}}\) signal (Helman, n.d.). Thus, the f\(_{\text{DS}}\) has an important role in reducing the \(K_{c}\) to a more realistic low value at this stage of the year when there is less water available for the trees (Fig. 2a). The same applies for the end of the rainy season, in April-May, when both the ET\(_{o}\) and the f\(_{\text{VC}}\) are relatively high but there is almost no available water for ET, as implied from the low f\(_{\text{DS}}\) (Fig. 2).

In the GPP model, the f\(_{\text{DS}}\) reduces the high RUE at both ends of the rainy season, adjusting the GPP to the stress conditions during these periods (Fig. 2b). Particularly noted is the significant reduction in GPP at the end of the rainy season (April-May), when both the PAR and the RUE are high but less water is available for transpiration.

5. Comparisons with the Fluxnet station in Yatir

5.1. Daily ET and GPP
We compared the daily estimates of the modeled ET with the active Fluxnet station at the dryland pine forest of Yatir (Table 1). As expected from the noted above (Section 4), the model without the drought stress factor (no-DS) overestimated the ET in comparison to the eddy covariance measurements, particularly from mid spring to the end of the summer (Fig. 3a and 3e). The peak ET was shifted to late July – early September, while the ET measured from the eddy covariance showed an earlier peak, in March. The large overestimation of the no-DS model was associated with the high ET during the spring and summer ($r=0.91$; $P<0.001$; see also Fig. 2a), which is the driver of the ET model (Eqs. 2, 8 and 12), following the low humidity and augmented radiation load at this time of the year (Rotenberg and Yakir, 2011; Tatarinov et al., 2016). However, including the drought stress and the available water factors helped to correct for this overestimation, by linking ET to the available soil water (Fig. 2a), resulting in a good agreement between the model and the eddy covariance estimates (Fig. 3c and 3e; Table 2).

When comparing the modeled GPP with the EC estimates at Yatir, the model without the drought stress factor (no-DS) produced higher values during both ends of the rainy season (October-November and May-June, Fig. 3b and 3f). In particular, the no-DS model overestimated the GPP during the start of the rainy season (indicated by the arrows in Fig. 3b). This was due to the increase in the NDVI following the appearance of ephemeral herbaceous vegetation in the understory of these Mediterranean forests in the beginning of the rainy season, as already pointed out in the previous section (see also Helman et al., 2015b). Also here, the herbaceous vegetation in the understory of Yatir provides a meaningful contribution to the NDVI signal, although it constitutes only a minor component in terms of the biomass and the CO$_2$ uptake of the forest (Helman et al., 2015b; Rotenberg and Yakir, 2011). Considering the drought stress factor in the RS-Met model thus abridged the RUE, counterbalancing the high contribution of the herbaceous vegetation to the PAR through the NDVI. This also better simulated the drought stress conditions experienced by the woody vegetation, which is the main contributor to the GPP in Yatir, during the dry period (Fig. 3d and 3f).

These results explicitly show that the drought stress factor is useful in “focusing” the RS data onto the woody vegetation activities (strongly restricted by water shortage at both ends of the rainy season), reducing the impact of other components, such as the peak activities of the...
understory vegetation that, obviously, does not suffer from water shortage and responds to small early season moisture input (Helman et al., 2014a, n.d.; Mussery et al., 2016).

5.2. Annual-basis comparisons

We then examined the RS-Met model with the drought-stress factor (DS model) on an annual scale, first by comparing the inter-annual variation in the modeled ET with the EC, as well as with the annual rainfall (P) at this site (Fig. 4a). This analysis indicated that the RS-Met model can also reproduce the annual ET with a fair accuracy, showing a moderate but significant correlation with the total annual ET derived from the daily summed EC estimates \( (r=0.78; P<0.05; N=10; \text{Fig. 4b}) \) and comparable mean annual ET \( (266\pm61 \text{ vs. } 257\pm58 \text{ mm y}^{-1} \text{ for ET}_{\text{MOD}} \text{ and ET}_{\text{EC}}, \text{respectively}) \). Both the RS-Met and the EC were significantly correlated with P \( (r=0.60 \text{ and } 0.93; P=0.05 \text{ and } <0.001, \text{respectively}) \), showing similar patterns in water use (ET/P ratio), though differing in magnitude in some of the years studied (Fig. 4a). In general, the interannual trend in ET/P was much noisier when using ET from the RS-Met compared to that from the EC. This was particularly noted in years when the ET from the RS-Met was significantly different from the EC annual estimates (e.g., 2004, 2006 and 2010; Fig. 4a). These differences in annual ET most likely resulted from discrepancies between the two methods in daily estimates during the summer \( (r = 0.05; P>0.1 \text{ for June-August}; \text{Fig. 4c}) \). This is supported by the observation of a 5-fold higher bias between EC and RS-Met summer daily estimates in the years 2004, 2006 and 2010 \( (\text{bias} = -0.146 \text{ mm d}^{-1}) \) compared to that from remaining years \( (\text{bias} = -0.029 \text{ mm d}^{-1}) \). Additionally, the negative biases imply an average overestimation by the RS-Met model during the summer compared to EC estimates. In contrast, the correlation between the RS-Met and EC was high and significant for daily estimates during the rainy season \( (r = 0.80; P<0.0001 \text{ for October-May}; \text{Fig. 4d}) \). The relatively large discrepancies between RS-Met and EC during the summer indicate the limitation of the RS-Met in estimating relatively low ET values \( (i.e., <1.0 \text{ mm d}^{-1}) \). This suggests that the water availability factor \( (f_{WA}) \) should be adjusted to positive values for a longer period \( (i.e., \text{longer than the current } 2 \text{ months applied here following Maselli et al. 2014}) \) at the end of the rainy season-beginning of the summer.

The annual ET, as estimated from both the RS-Met and EC, was higher than the total rainfall amount in some of the years studied (Fig. 4a). A similar pattern was previously reported in forests in water-limited regions (Helman et al., 2016; Raz-Yaseef et al., 2012; Williams et al.,
2012). ET higher than rainwater supply indicates that trees use water stored in deep soil layers during wet years in the subsequent dry years (e.g., 2006 and 2008; Raz-Yaseef et al., 2012; Barbeta et al., 2015). Thus, the ‘transfer’ of surplus rainwater from previous years should be also taken into consideration when adjusting the model with available water through the $f_{DS}$ and $f_{WA}$, which are currently calculated only with the seasonal rainfall.

The modeled GPP (i.e., WS model) was also comparable to the GPP from the EC (765±112 vs. 748±124 g C m$^{-2}$ y$^{-1}$, for GPP$_{MOD}$ and GPP$_{EC}$, respectively), and highly correlated at the annual scale (Fig. 5), with an $r = 0.91$ ($P<0.001$; N=9) and a low MAE of 52 g C m$^{-2}$ y$^{-1}$ (Relative error of c. 7%).

6. Testing the models across a rainfall gradient

6.1. Comparison with daily ET and GPP from EC

We next compared the ET and GPP estimates from the RS-Met model with the field campaign data across the remaining six ecosystems. Comparison between estimates based on the RS-Met model, with (DS) and without (no-DS) the drought stress factor, with those from the EC, indicated significantly higher correlations of the DS models with EC ($P=0.06$ and $P<0.01$ for ET and GPP, respectively; Table 2). Only the shrubland site of Kadita showed a higher correlation of the no-DS model with the eddy covariance measurements of the ET.

This was due to the continuous ET fluxes throughout the summer period in this relatively moist site, which was not captured by the model. This likely indicates the sensitivity of the current drought stress factor to local conditions and the need to further develop the $f_{DS}$ and improve its application during the dry season, as already pointed out in the previous Section.

In general, while using the drought stress factor did not improve (for the ET, $P>0.1$, as indicated by a two-tailed Student’s $t$-test) or only marginally improved (for the GPP, $P=0.09$, as indicated by a two-tailed Student’s $t$-test) RS-Met estimates in the non-forest sites, it significantly improved the ET and GPP estimates in forest sites ($P=0.05$ and $P=0.016$ for ET and GPP, respectively, as indicated by a two-tailed Student’s $t$-test).

The RS-Met estimates that included the drought stress factor successfully tracked the seasonality of the measured ET and CO$_2$ fluxes in all sites, though it should be mentioned that the sites of Kadita and Wady Attir had limited measurements to test this (Fig. 6). Overall,
the RS-Met was in good agreement with the eddy covariance measurements, with the cross-site regressions producing highly significant linear fits (Fig. 7a, b; $r=0.82$ and $r=0.86$; and MAE = 0.47 mm d$^{-1}$ and MAE = 1.89 g C m$^{-2}$ d$^{-1}$ for ET and GPP, respectively). The water-use efficiency (WUE; the slope of the regression between ET and GPP in Fig. 7c) was slightly higher at 2.32 g C kg$^{-1}$ H$_2$O from the RS-Met compared to the low 1.76 g C kg$^{-1}$ H$_2$O from EC, but it was within the range reported for similar ecosystems in this region (Tang et al., 2014).

6.2. Annual-basis comparisons with ET from PaVI-E

To expand our analysis across the rainfall gradient, and because we do not have continuous estimations from the EC at the six sites, we compared the annual ET from RS-Met with that retrieved from the empirical Pa-VI-E model (Helman et al. 2015) in these sites. The results of our comparison showed that the RS-Met and PaVI-E models produced comparable estimates in most of the sites (Fig. 8), with the only exception being the dryland non-forest site of Wady Attir, which showed higher estimates from RS-Met than from PaVI-E ($P<0.01$, as indicated by a Student’s $t$-test). In the forest sites, annual ET retrieved from RS-Met was generally higher than that derived from PaVI-E, especially in the wetter site of HaSoilelim. Nevertheless, the cross-site regression produced a highly significant linear fit ($r=0.94$; $P<0.01$), confirming the potential use of the RS-Met in assessing ET at the annual scale across the rainfall gradient in those forest and non-forest sites.

6.3. Changes in water use efficiency following afforestation across rainfall gradient

We finally used the RS-Met models to assess the impact of afforestation on the water and carbon budgets across the rainfall gradient in Israel by comparing fluxes in the three pine forests (i.e., Yatir, Eshtaol and Biryia) with those from the adjacent shrubland sites (i.e., Wady Attir, Modiin and Kadita, respectively). Results showed that the ET significantly increased due to the afforestation of these areas, particularly at the more humid site of Biryia (c. 53%), but to a lesser extent at the less humid site of Eshtaol (by c. 20%) and with almost no change in ET in the dryland site of Yatir (4%). The GPP also significantly increased in those three paired-sites. Overall, afforestation across the rainfall gradient was responsible for a significant increase in the WUE in this region (Fig. 9). Nevertheless, the positive change in the WUE decreased when moving from the dry Yatir-Wady Attir paired site (279 mm y$^{-1}$) to...
the more humid paired-site of Birya-Kadita (766 mm y\(^{-1}\); Fig. 9), strengthening the
importance of afforestation in dryland areas.

7. Summary and conclusions

We have tested here biophysical-based models using satellite-derived vegetation index and
meteorological data (RS-Met) with and without the inclusion of a seasonal drought stress
factor for daily estimations of ET and CO\(_2\) fluxes, validating the models against direct flux
measurements from extensive field campaigns at seven evergreen forest and adjacent non-
forested ecosystem sites along a steep rainfall gradient in the high-energy water-limited
Eastern Mediterranean region. Adding the drought stress factor in the RS-Met models
generally improved the performance compared with models without the use of the drought
stress factor, particularly in forest sites. Our results show the potential use of simple
biophysical remote-sensing-based models to assess ET and GPP on a daily basis and at a
moderate spatial resolution of 250 m, even in high-energy water-limited environments. The
addition of a drought stress factor to the RS-Met models (based on daily rainfall and radiation
and/or temperature data alone) significantly improved the estimation of fluxes in shrublands
and especially in forests in this region. Nevertheless, careful attention should be paid to
adjusting the drought stress factor to local conditions, with further development of the water
availability factor required to improve its application at the end of the rainy season-beginning
of the dry period. Using the RS-Met models, we were able to estimate changes in water use
efficiency due to afforestation across the rainfall gradient in Israel. Overall, afforestation
across our study area was responsible for a significant increase in the WUE. However, the
positive change in the WUE decreased when moving from dry (279 mm y\(^{-1}\)) to more humid
(766 mm y\(^{-1}\)) regions, strengthening the importance of afforestation in dryland areas.

Finally, the use of the simple RS-Met approach linked to flexible campaign-based ground
validation, as demonstrated in this study, represents a powerful basis for the reliable
extension of ET and GPP estimates across spatial and temporal scales.

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Table 1. Site characteristics and locations divided into two groups of forest (top) and non-forest (bottom) sites. In each group, sites are arranged from the dry to the humid (from top to bottom).

<table>
<thead>
<tr>
<th>Site</th>
<th>Location (Lat, N; Lon, E)</th>
<th>PFT</th>
<th>Dominant species</th>
<th>Grazing</th>
<th>Altitude</th>
<th>P</th>
<th>AF</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yatir</td>
<td>31.3451; 35.0519</td>
<td>CF</td>
<td>P. halepensis</td>
<td>sheep</td>
<td>660</td>
<td>279</td>
<td>0.19</td>
</tr>
<tr>
<td>Eshtaol</td>
<td>31.7953; 34.9954</td>
<td>CF</td>
<td>P. halepensis</td>
<td>sheep</td>
<td>385</td>
<td>480</td>
<td>0.34</td>
</tr>
<tr>
<td>HaSolelim</td>
<td>32.7464; 35.2317</td>
<td>OF</td>
<td>Q. ithaburensis</td>
<td>cattle</td>
<td>180</td>
<td>543</td>
<td>0.42</td>
</tr>
<tr>
<td>Biryia</td>
<td>33.0015; 35.4823</td>
<td>CF</td>
<td>P. halepensis</td>
<td>cattle</td>
<td>750</td>
<td>766</td>
<td>0.63</td>
</tr>
<tr>
<td>Wady Attir</td>
<td>31.3308; 34.9905</td>
<td>SH</td>
<td>Phagnalon rupestre</td>
<td>sheep</td>
<td>490</td>
<td>279</td>
<td>0.11</td>
</tr>
<tr>
<td>Modiin</td>
<td>31.8698; 35.0125</td>
<td>SH</td>
<td>S. spinosum</td>
<td>cattle</td>
<td>245</td>
<td>480</td>
<td>0.32</td>
</tr>
<tr>
<td>Kadita</td>
<td>33.0110; 35.4614</td>
<td>SH</td>
<td>S. spinosum</td>
<td>cattle</td>
<td>815</td>
<td>766</td>
<td>0.63</td>
</tr>
</tbody>
</table>

PFT is the plant functional type (CF, Coniferous forest; OF, oak forest; SH, shrubland); Grazing indicates the main grazing regime in the site; altitude is in meters above sea level; P is the mean annual rainfall (mm y⁻¹); and AF is the aridity factor calculated as the P to the ET₀ ratio (in mm mm⁻¹).
Table 2. Statistics of the comparison between the RS-Met models with the addition of the drought stress factor (DS) and without its addition (no-DS) and the eddy covariance-derived measurements.

<table>
<thead>
<tr>
<th>Site</th>
<th>N</th>
<th>ET (mm d⁻¹)</th>
<th>GPP (g C m⁻² d⁻¹)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>N</td>
<td>correlation</td>
<td>MAE</td>
</tr>
<tr>
<td></td>
<td>no-DS</td>
<td>DS</td>
<td>no-DS</td>
</tr>
<tr>
<td>Yatir</td>
<td>2228</td>
<td>0.05⁺</td>
<td><strong>0.76</strong></td>
</tr>
<tr>
<td>Eshtaol</td>
<td>47</td>
<td>0.16 nm</td>
<td><strong>0.64</strong></td>
</tr>
<tr>
<td>HaSoLelim</td>
<td>40</td>
<td>0.72</td>
<td><strong>0.79</strong></td>
</tr>
<tr>
<td>Birya</td>
<td>57</td>
<td>0.72</td>
<td><strong>0.85</strong></td>
</tr>
<tr>
<td>Wady Attir</td>
<td>28</td>
<td>0.80</td>
<td><strong>0.91</strong></td>
</tr>
<tr>
<td>Modiin</td>
<td>43</td>
<td>0.62</td>
<td><strong>0.64</strong></td>
</tr>
<tr>
<td>Kadita</td>
<td>28</td>
<td>0.80</td>
<td><strong>0.67</strong></td>
</tr>
</tbody>
</table>

The mean absolute error (MAE) is in mm d⁻¹ for the ET and in g C m⁻² d⁻¹ for the GPP. All the correlations were highly statistically significant at P<0.001, except for the ET model without the drought stress factor (no-DS) at the forest site of Yatir (*) that was significant at P<0.02, and the site of Eshtaol that was not statistically significant (ns). The number of days used for the correlation in each site and flux is indicated (N=days).
Figure legends

Fig. 1. Views of the 7 study sites along the climatic gradient (a-g) and the newly mobile flux measurement system used in this study (h). Sites include three paired of planted pine forests (Pinus halepensis) and adjacent non-forest sites (representing the original environment on which the forests were planted): Yatir (a) and Wady Attir (b), Eshtaol (c) and Modiin (d), Birya (e) and Kadita (f). The seventh site, which is the deciduous oak forest of HaSolelim is shown (d). The three paired sites (a-f) represent the geo-climatic transition from xeric to mesic environments in Israel, respectively.

Fig. 2. Seasonal evolution of the drought stress factor (f_DS) and the main drivers of the ET (a) and GPP (b) models in the forest site of Eshtaol. The Kc from Eq. (9) and the RUE (without the addition of the f_DS) are shown together with the ET, fVC, PAR, fPAR and the ET and GPP fluxes in this site. The periods when the f_DS is particularly useful in reducing the fluxes to a more realistic value due to the shortage in available water are indicated with colored vertical bands.

Fig. 3. The estimated fluxes derived from the eddy covariance measurements (dots) and RS-Met models at the semiarid pine forest of Yatir. Two models were tested: without considering a drought stress factor (no-DS; grey line in a, b) and with a drought stress factor (DS; black line in c, d). The phase shift in the ET (e) and higher GPP at both ends of the rainy season (f) in the no-DS model are shown for selected years 2009/10 and 2003/4, respectively (Inserts in (e) and (f) show the correlations between modeled and observed fluxes).

Fig. 4. Annual ET (mm y\(^{-1}\)) summed from the daily estimates of the RS-Met model with the drought stress factor (DS) and eddy covariance (EC), and annual rainfall amounts (P) in Yatir for 2003-2014 (a). Linear EC vs. modeled ET regressions of the annual (b) and daily estimates during dry summer (June-August; c) and rainy (October-May; d) seasons. The Pearson’s \(r\) values of the linear fits are 0.78 \((P<0.05; N=10)\) in (b), 0.05 \((P>0.1; N=876)\) in (c) and 0.80 \((P<0.0001; N=1570)\) in (d). The interannual trends in ET/P from the EC and the model are presented in the upper panel of (a). Note that annual sums of ET from EC and the model in 2012 and 2013, respectively, are not displayed due to the scarcity of available data during these years (>50% missing data).
Fig. 5. Annual GPP (g C m\(^{-2}\) y\(^{-1}\)) summed from the daily estimates of the RS-Met model with the drought stress factor (DS) and eddy covariance (EC) daily estimates in Yatir (a). The linear regression of the EC vs. the model annual GPP (b). The Pearson’s r of the linear fit in (b) is 0.91 (P<0.05; N=10). The EC annual GPP for 2009 and 2011 were not calculated due to missing data.

Fig. 6. The RS-Met model adjusted for drought stress conditions (DS) and the eddy covariance ET (a) and GPP (b) at the 6 forest and non-forested sites.

Fig. 7. Cross-site correlations between eddy covariance (EC) and RS-Met models with the drought stress factor (DS) of ET (a) and GPP (b) estimates across the six sites; and their ET-GPP relationships (i.e., water-use efficiency; c). Linear fits in (a) and (b) are ET\(_{MOD} = 0.936\) ET\(_{EC} + 0.281\) (r = 0.82; P<0.0001; N = 243 d) and GPP\(_{MOD} = 0.990\) GPP\(_{EC} + 0.515\) (r = 0.86; P<0.0001; N = 252 d). The slopes of the linear fits in (c) are 2.32 g C kg\(^{-1}\) H\(_2\)O and 1.76 g C kg\(^{-1}\) H\(_2\)O for MOD-DS and EC, with r = 0.87 and 0.65 (P<0.0001; N = 243 for both), respectively.

Fig. 8. Comparison between mean annual ET (2001-2015) from RS-Met (MOD-DS) and the PaVI-E model (Helman et al., 2015a). Pearson’s r is 0.90 (P<0.01) with slope = 0.974 and intercept = 40.46 for the regression between the two models’ estimates. Error bars indicate the standard deviation. Asterisk indicates significantly different estimates at P<0.01, as indicated by a two-tailed Student’s t-test.

Fig. 9. The change in GPP, ET and water use efficiency (WUE; as indicated by the direction of the arrow) attributed to the afforestation (closed symbols) of shrubland areas (open symbols) across a rainfall gradient (279-766 mm y\(^{-1}\)). The three-paired forest and non-forest sites of Yatir-Wady Attir, Eshtaol-Modiin and Birya-Kadita are indicated with yellow, green and blue colors, respectively. The rainfall level at each paired site is indicated near the arrow (in mm y\(^{-1}\)). Note the changing slope of the change in ET and GPP, indicating that the gain in WUE due to afforestation decreases from dry to humid areas.
Figures

Figure 1
Fig. 2. Seasonal evolution of the drought stress factor \( (f_{DS}) \) and the main drivers of the ET (a) and GPP (b) models in the forest site of Eshtaol. The \( K_c \) from Eq. (9) and the RUE (without the addition of the \( f_{DS} \)) are shown together with the ET, \( f_{VC} \), PAR, \( f_{PAR} \) and the ET and GPP fluxes in this site. The periods when the \( f_{DS} \) is particularly useful in reducing the fluxes to a more realistic value due to the shortage in available water are indicated with colored vertical bands.
Fig. 3. The estimated fluxes derived from the eddy covariance measurements (dots) and RS-Met models at the semiarid pine forest of Yatir. Two models were tested: without considering a drought stress factor (no-DS; grey line in a, b) and with a drought stress factor (DS; black line in c, d). The phase shift in the ET (e) and higher GPP at both ends of the rainy season (f) in the no-DS model are shown for selected years 2009/10 and 2003/4, respectively (Inserts in (e) and (f) show the correlations between modeled and observed fluxes).
Fig. 4. Annual ET (mm y$^{-1}$) summed from the daily estimates of the RS-Met model with the drought stress factor (DS) and eddy covariance (EC), and annual rainfall amounts (P) in Yatir for 2003-2014 (a). Linear EC vs. modeled ET regressions of the annual (b) and daily estimates during dry summer (June-August; c) and rainy (October-May; d) seasons. The Pearson’s r values of the linear fits are 0.78 ($P<0.05$; N=10) in (b), 0.05 ($P>0.1$; N=876) in (c) and 0.80 ($P<0.0001$; N=1570) in (d). The interannual trends in ET/P from the EC and the model are presented in the upper panel of (a). Note that annual sums of ET from EC and the model in 2012 and 2013, respectively, are not displayed due to the scarcity of available data during these years (>50% missing data).
Fig. 5. Annual GPP (g C m\(^{-2}\) y\(^{-1}\)) summed from the daily estimates of the RS-Met model with the drought stress factor (DS) and eddy covariance (EC) daily estimates in Yatir (a). The linear regression of the EC vs. the model annual GPP (b). The Pearson’s r of the linear fit in (b) is 0.91 (P<0.05; N=10). The EC annual GPP for 2009 and 2011 were not calculated due to missing data.
Fig. 6. The RS-Met model adjusted for drought stress conditions (DS) and the eddy covariance ET (a) and GPP (b) at the 6 forest and non-forested sites.
Fig. 7. Cross-site correlations between eddy covariance (EC) and RS-Met models with the drought stress factor (DS) of ET (a) and GPP (b) estimates across the six sites; and their ET-GPP relationships (i.e., water-use efficiency; c). Linear fits in (a) and (b) are $ET_{\text{MOD}} = 0.936 \cdot ET_{\text{EC}} + 0.281$ ($r = 0.82; P < 0.0001; N = 243$ d) and $GPP_{\text{MOD}} = 0.990 \cdot GPP_{\text{EC}} + 0.515$ ($r = 0.86; P < 0.0001; N = 252$ d). The slopes of the linear fits in (c) are 2.32 g C kg$^{-1}$ H$_2$O and 1.76 g C kg$^{-1}$ H$_2$O for MOD-DS and EC, with $r = 0.87$ and 0.65 ($P < 0.0001; N = 243$ for both), respectively.
Fig. 8. Comparison between mean annual ET (2001-2015) from RS-Met (MOD-DS) and the PaVI-E model (Helman et al., 2015a). Pearson’s $r$ is 0.90 ($P<0.01$) with slope = 0.974 and intercept = 40.46 for the regression between the two models’ estimates. Error bars indicate the standard deviation. Asterisk indicates significantly different estimates at $P<0.01$, as indicated by a two-tailed Student’s $t$-test.
Fig. 9. The change in GPP, ET and water use efficiency (WUE; as indicated by the direction of the arrow) attributed to the afforestation (closed symbols) of shrubland areas (open symbols) across a rainfall gradient (279-766 mm year\(^{-1}\)). The three-paired forest and non-forest sites of Yatir-Wady Attir, Eshtaol-Modiin and Birya-Kadita are indicated with yellow, green and blue colors, respectively. The rainfall level at each paired site is indicated near the arrow (in mm year\(^{-1}\)). Note the changing slope of the change in ET and GPP, indicating that the gain in WUE due to afforestation decreases from dry to humid areas.