

The interaction of CO₂ concentrations and water stress in semi-arid areas causes diverging response in instantaneous water use efficiency and carbon isotope composition

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Abstract. In the context of global warming attributable to the increasing levels of CO₂, severe drought can be anticipated in areas with chronic water shortages (semi-arid areas), which necessitates research on the interaction between elevated atmospheric concentrations of CO₂ and drought on plant photosynthetic discrimination. It is commonly surveyed that the ¹³C fractionation derived from the CO₂ diffusion occurred from ambient air to sub-stomatal cavity, and little investigate the ¹³C fractionation generated from the site of carboxylation to cytoplasm before sugars transportation outward the leaf, which may respond to the environmental conditions (i. e. CO₂ concentration and water stress) and their interactions. Therefore, saplings of typical species to a semi-arid area of Northern China that have similar growth status—*Platycladus orientalis* and *Quercus variabilis*—were selected and cultivated in growth chambers with orthogonal treatments (four CO₂ concentrations [CO₂] × five soil volumetric water contents (SWC)). The δ¹³C of water-soluble compounds extracted from leaves of saplings was measured to determine the instantaneous water use efficiency (WUE_{cp}) after cultivation. Instantaneous water use efficiency derived from gas exchange (WUE_{ge}) was integrated to estimate differences in δ¹³C signal variation before leaf-exported translocation of primary assimilates. The WUE_{ge} of the two species both decreased with increased soil moisture, and increased with elevated [CO₂] at 35%–80% of field capacity (FC) by strengthening photosynthetic capacity and reducing transpiration. Differences in instantaneous water use efficiency (iWUE) according to distinct environmental changes differed between species. The WUE_{ge} of *P. orientalis* was significantly greater than that of *Q. variabilis*, while the opposite results were obtained in a comparison of WUE_{cp} in two species. Total ¹³C fractionation from the site of carboxylation to cytoplasm before sugars transportation (total ¹³C fractionation) was clearly species-specific, as demonstrated in the interaction of [CO₂] and SWC. Rising [CO₂] coupled with moistened soil generated increasing disparities of δ¹³C between the water soluble compounds (δ¹³C_{WSC}) and estimated by gas-exchange observation (δ¹³C_{obs}) in *P. orientalis* with amplitude of 0.0328‰–0.0472‰. Furthermore, differences between δ¹³C_{WSC} and δ¹³C_{obs} of *Q. variabilis* increased as CO₂ concentration and SWC increased (0.0384‰–0.0466‰). The ¹³C fractionations from mesophyll conductance and post-carboxylation both contributed to the total ¹³C fractionation determined by two measurements (1.06%–24.94% and 75.30%–98.9% of total ¹³C fractionation, respectively). Total ¹³C fractionations were linearly dependent on g_s, indicating post-carboxylation fractionation was attributed to environmental variation. Thus, clear description of magnitude and environmental dependence of apparent post-carboxylation fractionation is worth our attention in photosynthetic fractionation.

Key words: Post-carboxylation fractionation; Carbon isotope fractionation; Elevated CO₂

39 concentration; Soil volumetric water content; Instantaneous water use efficiency

40 1 Introduction

41 Since the onset of the industrial revolution, atmospheric CO₂ concentration has increased at an
42 annual rate of 0.4%, and is expected to increase further to 700 μmol·mol⁻¹, together with more frequent
43 periods of low water availability (IPCC, 2014). Increasing atmospheric CO₂ concentrations that trigger
44 an ongoing greenhouse effect will not only lead to fluctuations in global patterns of precipitation, but
45 will amplify drought in arid regions, and lead to more frequent occurrences of extreme drought events
46 in humid regions (Lobell et al., 2014). Accompanying the increasing concentration of CO₂, the mean
47 δ¹³C of atmospheric CO₂ is depleted by 0.02‰–0.03‰ year⁻¹ (data available from the
48 CU-INSTAAR/NOAACMDL network for atmospheric CO₂; <http://www.esrl.noaa.gov/gmd/>).

49 The carbon isotopic composition determined recently could respond more subtly to environmental
50 changes and their influences on diffusion via plant physiological and metabolic processes (Gessler et
51 al., 2014; Streit et al., 2013). While the depletion of δ¹³C_{CO₂} has been shown in the atmosphere,
52 variations in CO₂ concentration itself might also affect the δ¹³C of plant organs that, in turn, respond
53 physiologically to climatic change (Gessler et al., 2014). The carbon discrimination (¹³Δ) of leaves
54 could also provide timely feedback about the availability of soil moisture and the atmospheric vapor
55 pressure deficit (Cemusak et al., 2012). Discrimination against ¹³C in leaves relies mainly on
56 environmental factors that affect the ratio of intercellular to ambient CO₂ concentration (C_i/C_a) and
57 Rubisco activities, even the mesophyll conductance derived from the difference of CO₂ concentrations
58 between intercellular site and chloroplast (Farquhar et al., 1982; Cano et al., 2014). As changes in
59 environmental conditions affect photosynthetic discrimination, they are expected to be recorded
60 differentially in the δ¹³C of water-soluble compounds (δ¹³C_{WSC}) of the different plant organs.
61 Meanwhile, several processes during photosynthesis alter the δ¹³C of carbon transported within plants.
62 Carbon-fractionation during photosynthetic CO₂ fixation has been described and reviewed elsewhere
63 (Farquhar et al., 1982; Farquhar and Sharkey, 1982).

64 Post-photosynthetic fractionation is derived from equilibrium and kinetic isotopic effects, which
65 determines isotopic differences between metabolites and intramolecular reaction positions, defined as
66 “post-photosynthetic” or “post-carboxylation” fractionation (Jäggi et al., 2002; Badeck et al., 2005;
67 Gessler et al., 2008). Post-carboxylation fractionation in plants includes the carbon discriminations that
68 follow carboxylation of ribulose-1, 5-bisphosphate, and internal diffusion (RuBP, 27‰), as well as
69 related transitory starch metabolism (Gessler et al., 2008; Gessler et al., 2014), fractionation in leaves,
70 fractionation-associated phloem transport, remobilization or storage of soluble carbohydrates, and
71 starch metabolism fractionation in sink tissue (tree rings). In the synthesis of soluble sugars,
72 ¹³C-depletions of triose phosphates occur during exportation from the cytoplasm, and during
73 production of fructose-1, 6-bisphosphate by aldolase in transitory starch synthesis (Rossmann
74 et al., 1991; Gleixner and Schmidt, 1997). Synthesis of sugars before transportation to the twig is
75 associated with the post-carboxylation fractionation generated in leaves. Although these are likely to
76 play a role, what should also be considered is the CO₂ concentration in the chloroplast (C_c), not in the
77 intercellular space, as used in the simplified equation of the Farquhar’s model (Evans et al., 1986;
78 Farquhar et al., 1989) is actually defined as carbon isotope discrimination (δ¹³C). Indeed, difference
79 between gas-exchange derived values and online measurements of δ¹³C has been widely used to
80 estimate C_i-C_c and mesophyll conductance for CO₂ (Le Roux et al., 2001; Warren and Adams, 2006;
81 Flexas et al., 2006; Evans et al., 2009; Flexas et al., 2012; Evans and von Caemmerer 2013). In this

82 regard, changes in mesophyll conductance could be partly responsible for the differences from two
83 measurements, as it generally increases in the short term in response to elevated CO₂ (Flexas et al.,
84 2014), whereas it tends to decrease under drought (Hommel et al., 2014; Th roux-Rancourt et al.,
85 2014). Therefore, it is necessary to avoid confusion of carbon isotope discrimination derived from
86 synthesis of soluble sugars or/and mesophyll conductance, and furthermore, whether and what
87 magnitude of these carbon fractionations are related to environmental variation have not yet been
88 investigated.

89 The simultaneous isotopic analysis of leaves is a recent refinement in isotopic studies that allows us
90 to determine the temporal variation in isotopic fractionation (Rinne et al., 2016), which may help
91 decipher environmental conditions more reliably. Newly assimilated carbohydrates can be extracted,
92 and are defined as the water-soluble compounds (WSCs) in leaves (Brandes et al., 2006; Gessler et al.,
93 2009), which can also be associated with an assimilation-weighted average of C_i/C_a (and C_c/C_a)
94 photosynthesized over a period ranging from a few hours to 1–2 d (Pons et al., 2009). However, there
95 is a dispute whether the fractionation stemmed from post-carboxylation or/and mesophyll resistance
96 may alter the stable signatures of leaf carbon and thence influence instantaneous water use efficiency
97 (iWUE). In addition, the way in which iWUE derived from these isotopic fractionations responds to
98 different environmental factors, such as elevated [CO₂] and/or soil water gradients, has yet to be
99 observed.

100 Consequently, we investigated the $\delta^{13}\text{C}$ of fast-turnover carbohydrate pool in leaves from saplings of
101 two typical species to semi-arid areas of China—*Platycladus orientalis* and *Quercus*
102 *variabilis*—together with simultaneous gas exchange measurements in control-environment of growth
103 chambers (FH-230). Our goals are to differentiate the ^{13}C fractionation from the site of carboxylation to
104 cytoplasm before sugars transportation (total ^{13}C fractionation) of *P. orientalis* and *Q. variabilis*, which
105 were determined from the $\delta^{13}\text{C}$ of water-soluble compounds and gas-exchange measurements, and then
106 to discuss the potential causes for the observed divergence, estimate the contributions of
107 post-photosynthetic and mesophyll resistance on these differences, and describe how these carbon
108 isotopic fractionations respond to the interactive effects of elevated [CO₂] and water stress.

109 2 Material and Methods

110 2.1 Study site and design

111 Saplings of *P. orientalis* and *Quercus variabilis* were selected as experimental material from the
112 Capital Circle forest ecosystem station, a part of Chinese Forest Ecosystem Research Network
113 (CFERN, 40°03'45"N, 116°5'45"E) in Beijing, China. This region is populated by trees of *Platycladus*
114 *orientalis* (L.) Franco and *Quercus variabilis* Bl. Saplings of two species that have similar ground
115 diameters, heights, and growth statuses were selected. One sapling from two species was placed in one
116 pot (22 cm in diameter and 22 cm in height). Undisturbed soil samples were collected from the field,
117 sieved (with all particles >10 mm removed), and placed into the pots. The soil bulk density in each pot
118 was maintained at 1.337–1.447 g cm⁻³. After the rejuvenation for one month, potted-saplings were
119 placed into chambers for orthogonal cultivation.

120 The controlled experimental treatments were conducted in growth chambers (FH-230, Taiwan
121 Hipoint Corporation, Kaohsiung City, Taiwan). To imitate the meteorological factors of growth
122 seasons in the research region, the daytime temperature in chambers was set to 25 ± 0.5°C from 07:00
123 to 17:00, and the night-time temperature was 18 ± 0.5°C from 17:00 to 07:00. Relative humidity was

124 maintained at 60% and 80% during the daytime and night, respectively. The light system was activated
125 in the daytime and shut down at night. The average daytime light intensity was maintained at 200–240
126 $\mu\text{mol m}^{-2} \text{s}^{-1}$. The central controlling system of the chambers (FH-230) can timely monitor and control
127 the CO₂ concentration. Two growth chambers (A and B) were used in our study. Chamber A was
128 switched in turn to maintain the CO₂ concentration of 400 ppm (C₄₀₀) and 500 ppm (C₅₀₀). The other
129 one was adjusted to maintain the CO₂ concentration of 600 ppm (C₆₀₀) and 800 ppm (C₈₀₀). The target
130 concentrations of CO₂ in the chambers were permitted the standard deviation of ± 50 ppm during
131 cultivation. Thus, the gradient of four CO₂ concentrations in our study was formed. Detectors inside the
132 chambers monitored and maintained the target concentrations of CO₂.

133 We designed a device to irrigate the potted saplings automatically and avoid heterogeneity caused by
134 interruptions in watering process (Fig. 1). It consisted of a water storage tank, holder, controller, soil
135 moisture sensors, and drip irrigation components. Prior to use, the water tank was filled with water, and
136 the soil moisture sensor was inserted to a uniform depth in the soil. After connecting the controller to
137 an AC power supply, target soil volumetric water content (SWC) could be set and monitored by soil
138 moisture sensors. Since timely SWC could be sensed by the sensors, the automatic irrigation device can
139 be regulated to water or stop watering the plants. One drip irrigation device was installed per chamber.
140 Based on the average field capacity (FC) of potted soil determined (30.70%), five levels of SWC were
141 maintained before the orthogonal cultivations, as follows: 100% FC (or CK) (SWC approximately
142 27.63%–30.70%), 70%–80% of FC (SWC approximately 21.49%–24.56%), 60%–70% of FC (SWC
143 approximately 18.42%–21.49%), 50%–60% of FC (SWC approximately 15.35%–18.42%), and 35%–
144 45% of FC (SWC approximately 10.74%–13.81%).

145 While undergoing 20 groups of orthogonal treatments for [CO₂] × SWC, the saplings were ready for
146 sampling. Due to one chamber only containing five plant-pots (per species) and one pot one SWC level
147 under one CO₂ concentration, two saplings per specie in one orthogonal treatment were replicated for
148 two periods, respectively. Each period per orthogonal treatment continued for 7 days. Pots were
149 rearranged periodically to minimize non-uniform illumination. All orthogonal tests were formed as:
150 elevated CO₂ concentration gradient for C₄₀₀ (during June 2–9, June 12–19, June 21–28, and July 2–9,
151 2015, C₄₀₀), C₅₀₀ (during July 11–18, July 22–29, August 4–11, and August 15–22, 2015, C₅₀₀), C₆₀₀
152 (during June 2–9, June 12–19, June 21–28, and July 2–9, 2015, C₆₀₀), and C₈₀₀ (during July 11–18, July
153 22–29, August 4–11, and August 15–22, 2015, C₈₀₀), combined with a soil-water gradient for 35%–45%
154 of FC, 50%–60% of FC, 60%–70% of FC, and 70%–80% of FC and 100% FC (CK).

155 2.2 Foliar gas exchange measurement

156 Fully expanded primary annual leaves of the saplings were measured with a portable infrared gas
157 photosynthesis system (LI-6400, Li-Cor, Lincoln, US) before and after the 7-day cultivation. Two
158 saplings per specie were replicated per treatment (SWC × [CO₂]). For each sapling, four leaves were
159 chosen and then four measurements were conducted on each leaf. The main photosynthetic parameters,
160 such as net photosynthetic rate (P_n) and transpiration rate (T_r), were measured. Based on the theories
161 proposed by Von Caemmerer and Farquhar (1981), stomatal conductance (g_s) and intercellular CO₂
162 concentration (C_i) were calculated by the Li-Cor software. Instantaneous water use efficiency via gas
163 exchange (WUE_{ge}) was calculated as the ratio of P_n to T_r .

164 2.3 Plant material collection and leaf water soluble compounds extraction

165 Recently-expanded, eight sun leaves per sapling were selected and homogenized in liquid nitrogen
166 since the gas-exchange measurements accomplished. For the extraction of the water-soluble

167 compounds (WSCs) from the leaves (Gessler et al., 2004), 50 mg of ground leaves and 100 mg of
 168 PVPP (polyvinylpyrrolidone) were mixed and incubated in 1 mL double demineralized water for
 169 60 min at 5°C in a centrifuge tube. Each leaf was replicated two times. Two saplings per specie were
 170 chosen for each orthogonal treatment. The tubes containing above mixture were heated in 100°C
 171 water for 3 min. Waiting for cooling to the room temperature, the supernatant of the mixture was
 172 centrifuged (12000 ×g for 5 min, g represents one gravity) and transferred 10 µL supernatant into tin
 173 capsule to be dried at 70°C. Folded capsules were then ready for δ¹³C analysis of WSCs. The samples
 174 of WSCs from leaves were combusted in an elemental analyzer (EuroEA, HEKAtech GmbH,
 175 Wegberg, Germany) and analyzed with a mass spectrometer (DELTA^{plus}XP, ThermoFinnigan).

176 Carbon isotope signatures are expressed in δ-notation in parts per thousand, relative to the
 177 international Pee Dee Belemnite (PDB):

$$178 \delta^{13}\text{C} = \left(\frac{R_{\text{sample}}}{R_{\text{standard}}} - 1 \right) \times 1000 \quad (1)$$

179 where δ¹³C is the heavy isotope and R_{sample} and R_{standard} refer to the isotope ratio between the particular
 180 substance and the corresponding standard, respectively. The precision of the repeated measurements
 181 was 0.1 ‰.

182 2.4 Isotopic calculation

183 2.4.1 ¹³C fractionation from the site of carboxylation to cytoplasm before sugars transportation

184 Based on the linear model developed by Farquhar and Sharkey (1982), the isotope discrimination, Δ,
 185 is calculated as:

$$186 \Delta = (\delta^{13}\text{C}_a - \delta^{13}\text{C}_{\text{WSC}}) / (1 + \delta^{13}\text{C}_{\text{WSC}}) \quad (2)$$

187 where δ¹³C_a is the isotope signature of ambient [CO₂] in chambers; δ¹³C_{WSC} is the carbon isotopic
 188 composition of water soluble compounds extracted from leaves. The C_i:C_a is determined by:

$$189 C_i:C_a = (\Delta - a) / (b - a) \quad (3)$$

190 where C_i is the intercellular CO₂ concentration, and C_a is the ambient CO₂ concentration in chambers;
 191 a is the fractionation occurring CO₂ diffusion in still air (4‰) and b refers to the discrimination during
 192 CO₂ fixation by ribulose 1,5- biphosphate carboxylase/oxygenase (Rubisco) and internal diffusion
 193 (30‰). Instantaneous water use efficiency by gas-exchange measurements (WUE_{ge}) is calculated as:

$$194 \text{WUE}_{\text{ge}} = P_n: T_r = (C_a - C_i) / 1.6\Delta e \quad (4)$$

195 where 1.6 is the diffusion ratio of stomatal conductance to water vapor to CO₂ in chambers and Δe is
 196 the difference between e_{lf} and e_{atm} that represent the extra- and intra-cellular water vapor pressure,
 197 respectively:

$$198 \Delta e = e_{lf} - e_{atm} = 0.611 \times e^{17.502T / (240.97 + T)} \times (1 - \text{RH}) \quad (5)$$

199 where T and RH are the temperature and relative humidity on leaf surface, respectively. Combining
 200 Eqns. (2, 3 and 4), the instantaneous water use efficiency could be determined by the δ¹³C_{WSC} of leaves,
 201 defined as WUE_{cp}:

$$202 \text{WUE}_{\text{cp}} = \frac{P_n}{T_r} = (1 - \varphi) (C_a - C_i) / 1.6\Delta e = C_a (1 - \varphi) \left[\frac{b - \delta^{13}\text{C}_a + (b+1)\delta^{13}\text{C}_{\text{WSC}}}{(b-a)(1 + \delta^{13}\text{C}_{\text{WSC}})} \right] / 1.6\Delta e \quad (6)$$

203 where φ is the respiratory ratio of leaf carbohydrates to other organs at night (0.3).

204 Then the ^{13}C fractionation from the site of carboxylation to cytoplasm before sugars transportation
 205 (total ^{13}C fractionation) can be estimated by the observed $\delta^{13}\text{C}$ of water soluble compounds from leaves
 206 ($\delta^{13}\text{C}_{WSC}$) and the modeled $\delta^{13}\text{C}$ calculated from gas-exchange ($\delta^{13}\text{C}_{model}$). The $\delta^{13}\text{C}_{model}$ is calculated
 207 from Δ_{model} from Eqn. (2). The Δ_{model} can be determined by Eqns. (3 and 4) as:

$$208 \quad \Delta_{model} = (b - a) \left(1 - \frac{1.6\Delta eWUE_{ge}}{c_a} \right) + a \quad (7)$$

$$209 \quad \delta^{13}\text{C}_{model} = \frac{c_a - \Delta_{model}}{1 + \Delta_{model}} \quad (8)$$

$$210 \quad \text{Total } ^{13}\text{C fractionation} = \delta^{13}\text{C}_{WSC} - \delta^{13}\text{C}_{model} \quad (9)$$

211 2.4.2 Methodology of calculating mesophyll conductance and estimating contribution of post- 212 carboxylation fractionation

213 Actually, the carbon isotope discrimination is generated from the relative contribution of diffusion
 214 and carboxylation, reflected by the ratio of CO_2 concentration at the site of carboxylation (C_c) to that in
 215 the ambient environment surrounding plants (C_a). The carbon isotopic discrimination (Δ) could be
 216 presented as (Farquhar et al. 1982):

$$217 \quad \Delta = a_b \frac{c_a - c_s}{c_a} + a \frac{c_s - c_i}{c_a} + (e_s + a_l) \frac{c_i - c_c}{c_a} + b \frac{c_c}{c_a} - \frac{eR_D + f\Gamma^*}{c_a} \quad (10)$$

218 Where C_a , C_s , C_i , and C_c indicate the CO_2 concentrations in the ambient environment, at the boundary
 219 layer of leaf, in the intercellular air spaces before entrancing into solution, and at the sites of
 220 carboxylation, respectively; a_b is the fractionation for the CO_2 diffusion at the boundary layer (2.9‰);
 221 e_s is the discrimination of CO_2 diffusion when CO_2 enters in solution (1.1‰, at 25 °C); a_l is the
 222 fractionation derived from diffusion in the liquid phase (0.7‰); e and f are carbon discrimination
 223 derived in dark respiration (R_D) and photorespiration, respectively; k is the carboxylation efficiency,
 224 and Γ^* is the CO_2 compensation point in the absence of dark respiration (Brooks and Farquhar, 1985).

225 When the gas in the cuvette could be well stirred during measurements of carbon isotopic
 226 discrimination and gas exchange, the diffusion in the boundary layer could be neglected and Equation
 227 10 could be shown:

$$228 \quad \Delta = a \frac{c_a - c_i}{c_a} + (e_s + a_l) \frac{c_i - c_c}{c_a} + b \frac{c_c}{c_a} - \frac{eR_D + f\Gamma^*}{c_a} \quad (11)$$

229 There was no agreement about the value of e , although recent measurements estimated it as 0-4‰.
 230 Value of f has been estimated ranging at 8-12‰ (Gillon and Griffiths, 1997; Igamberdiev et al., 2004;
 231 Lanigan et al., 2008). As the most direct factor, the value of b would influence the calculation for g_m ,
 232 had been thought to be close to 30‰ in higher plants (Guy et al., 1993).

233 The difference of CO_2 concentration between the substomatal cavities and the chloroplast is omitted
 234 while diffusion discrimination related with dark-respiration and photorespiration is negligible, Equation
 235 11 could be simplified as:

$$236 \quad \Delta_i = a + (b - a) \frac{c_i}{c_a} \quad (12)$$

237 Equation 12 presents the linear relationship between carbon discrimination and C_i/C_a that is used
 238 normally in carbon isotopic fractionation. That underlines the subsequent comparison between the

239 expected Δ (originated from gas-exchange, Δ_i , and those actually measured Δ_{obs}), that is the ^{13}C
 240 fractionation from mesophyll conductance, could evaluate the differences of CO_2 concentration
 241 between the intercellular air and the sites of carboxylation that generated by mesophyll resistance.
 242 Consequently, g_m can be estimated by performing the Δ_{obs} by isotope ratio mass spectrometry and
 243 expected Δ_i from C_i/C_a by gas exchange measurements.

244 Then the ^{13}C fractionation from mesophyll conductance is calculated by subtracting Δ_{obs} of
 245 Equation 11 from Δ_i (Equation 12):

$$246 \quad \Delta_i - \Delta_{obs} = (b - e_s - a_l) \frac{C_i - C_c}{C_a} + \frac{eR_D + f\Gamma^*}{C_a} \quad (13)$$

247 and the P_n from the first Fick's law is presented by:

$$248 \quad P_n = g_m (C_i - C_c) \quad (14)$$

249 Substitute Equation 14 into Equation 13 we obtain:

$$250 \quad \Delta_i - \Delta_{obs} = (b - e_s - a_l) \frac{P_n}{g_m C_a} + \frac{eR_D + f\Gamma^*}{C_a} \quad (15)$$

$$251 \quad g_m = \frac{(b - e_s - a_l) \frac{P_n}{C_a}}{(\Delta_i - \Delta_{obs}) - \frac{eR_D + f\Gamma^*}{C_a}} \quad (16)$$

252 In calculation of g_m , the respiratory and photorespiratory terms could be ignored or be given the
 253 specific constant values. Here, e and f are assumed to be zero or be cancelled out in the calculation of
 254 g_m .

255 Then Equation 16 can be transformed into:

$$256 \quad g_m = \frac{(b - e_s - a_l) \frac{P_n}{C_a}}{\Delta_i - \Delta_{obs}} \quad (17)$$

257 Therefore, the contribution of post-carboxylation fractionation could be estimated by:

$$258 \quad \text{Contribution of post-carboxylation fractionation} = \frac{(\text{Total } ^{13}\text{C fractionation} - \text{fractionation from mesophyll conductance})}{\text{Total } ^{13}\text{C fractionation}} \times 100\% \quad (18)$$

260 3 Results

261 3.1 Foliar gas exchange measurements

262 Saplings of *P. orientalis* and *Q. variabilis* were exposed to the orthogonal treatments. When SWC
 263 increased, P_n , g_s and T_r in *P. orientalis* and *Q. variabilis* peaked at 70%–80% of FC or/and 100% FC
 264 (Fig. 2). The C_i in *P. orientalis* rose as SWC increased, while it peaked at 60%–70% of FC and
 265 declined thereafter with increased SWC in *Q. variabilis*. The capacity of carbon uptake and C_i were
 266 improved significantly by elevated $[\text{CO}_2]$ at any given SWC for two species ($p < 0.5$). Furthermore,
 267 greater increments of P_n in *P. orientalis* were found at 50%–70% of FC from C_{400} to C_{800} , which was at
 268 35%–45% of FC in *Q. variabilis*. As the water stress was alleviated (at 70%–80% of FC and 100% FC),
 269 the reduction of g_s in *P. orientalis* was more pronounced with elevated $[\text{CO}_2]$ at a given SWC ($p < 0.01$).
 270 Nevertheless, g_s of *Q. variabilis* in C_{400} , C_{500} , and C_{600} was significantly higher than that in C_{800} at
 271 50%–80% of FC ($p < 0.01$). Coordinated with g_s , T_r of two species in C_{400} and C_{500} was significantly

272 higher than that in C₆₀₀ and C₈₀₀ except for 35%–60% of FC ($p < 0.01$, Figs. 2g and 2h). Larger P_n , g_s , C_i
273 and T_r of *Q. variabilis* was significantly presented than that of *P. orientalis* ($p < 0.01$, Fig. 2).

274 3.2 $\delta^{13}\text{C}$ of water-soluble compounds in leaves

275 After the observations of the photosynthetic traits in two species, the same leaf was frozen
276 immediately and the water-soluble compounds (WSCs) were extracted for all orthogonal treatments.
277 The carbon isotope composition of WSCs ($\delta^{13}\text{C}_{\text{WSC}}$) of two species both increased as soil moistened
278 (Figs. 3a and 3b, $p < 0.01$). The average (\pm SD) $\delta^{13}\text{C}_{\text{WSC}}$ of *P. orientalis* and *Q. variabilis* ranged from
279 $-27.44 \pm 0.155\text{‰}$ to $-26.71 \pm 0.133\text{‰}$, and from $-27.96 \pm 0.129\text{‰}$ to $-26.49 \pm 0.236\text{‰}$, respectively.
280 Similarly with the photosynthetic capacity varying with increased SWC, average $\delta^{13}\text{C}_{\text{WSC}}$ of two
281 species reached their maxima at 70%–80% of FC. Together with the gradual enrichment of $[\text{CO}_2]$,
282 average $\delta^{13}\text{C}_{\text{WSC}}$ in two species declined while $[\text{CO}_2]$ exceeded 600 ppm ($p < 0.01$). Except for C₄₀₀ at
283 50%–100% of FC, $\delta^{13}\text{C}_{\text{WSC}}$ of *P. orientalis* was significantly larger than that of *Q. variabilis* in any
284 $[\text{CO}_2] \times \text{SWC}$ treatment ($p < 0.01$, Fig. 3).

285 3.3 Estimations of WUE_{ge} and WUE_{cp}

286 Figure 4a showed that increments of WUE_{ge} in *P. orientalis* under severe drought (i.e., 35%–45% of
287 FC) were highest at any given $[\text{CO}_2]$, ranging from 90.70% to 564.65%. The WUE_{ge} in *P. orientalis*
288 decreased as SWC increased, while they increased as $[\text{CO}_2]$ increased. Differing from variation in
289 WUE_{ge} of *P. orientalis* with soil moistened, WUE_{ge} in *Q. variabilis* were improved slightly at 100% FC
290 in C₆₀₀ or C₈₀₀ (Fig. 4b). The maximum of WUE_{ge} thus occurred at 35%–45% of FC in C₈₀₀ among all
291 orthogonal treatments for *P. orientalis*; this was also observed in *Q. variabilis*. Furthermore, elevated
292 $[\text{CO}_2]$ enhanced the WUE_{ge} of *Q. variabilis* clearly at any SWC except that at 60%–80% of FC.
293 Thirty-two saplings of *P. orientalis* had greater WUE_{ge} than did *Q. variabilis* between the same $[\text{CO}_2] \times$
294 SWC treatments ($p < 0.5$).

295 The instantaneous water use efficiency could be determined from Eqn. (6) by the $\delta^{13}\text{C}_{\text{WSC}}$ of leaves
296 of two species, defined as WUE_{cp} . As illustrated in Fig. 5a, WUE_{cp} of *P. orientalis* in C₆₀₀ or C₈₀₀
297 climbed up as water stress alleviated beyond 50%–60% of FC, as well as that in C₄₀₀ or C₅₀₀ while
298 SWC exceeding 60%–70% of FC. *Q. variabilis* exhibited no uniform trend of WUE_{cp} with soil wetting
299 (Fig. 5b). Except for C₄₀₀, WUE_{cp} of *Q. variabilis* decreased abruptly at 50%–60% of FC, and then rose
300 as soil moisture improved in C₅₀₀, C₆₀₀, and C₈₀₀. In contrast to the results of WUE_{ge} in two species,
301 WUE_{cp} of *Q. variabilis* was more pronounced than that of *P. orientalis* among all orthogonal
302 treatments.

303 3.4 ^{13}C fractionation from the site of carboxylation to cytoplasm before sugars transportation

304 We evaluated the total ^{13}C fractionation from the site of carboxylation to cytoplasm by gas exchange
305 measurements and $\delta^{13}\text{C}$ of water-soluble compounds from leaf (Table 1), which can retrace ^{13}C
306 fractionation before carboxylation transport to the twig. Comparing $\delta^{13}\text{C}_{\text{WSC}}$ with $\delta^{13}\text{C}_{\text{model}}$ from Eqns.
307 (4, 7–9), total ^{13}C fractionation of *P. orientalis* ranged from 0.0328‰ to 0.0472‰, which was smaller
308 than that of *Q. variabilis* (0.0384‰ to 0.0466‰). The total fractionations of *P. orientalis* were
309 magnified with soil wetting especially that reached 35%–80% of FC from C₄₀₀ to C₈₀₀ (increased by
310 21.30%–42.04%). The total fractionation under C₄₀₀ and C₅₀₀ were amplified as SWC increased until
311 50%–60% of FC in *Q. variabilis*, whereas it was increased at 50%–80% of FC and decreased at 100%
312 FC under C₆₀₀ and C₈₀₀. Elevated $[\text{CO}_2]$ enhanced the average total fractionation of *P. orientalis*, while
313 those of *Q. variabilis* declined sharply from C₆₀₀ to C₈₀₀. Total ^{13}C fractionation in *P. orientalis*
314 increased faster than did those of *Q. variabilis* with increased soil moisture.

315 **3.5 g_m imposed on the interaction of CO₂ concentration and water stress**

316 According to comparison between online leaf $\delta^{13}\text{C}_{\text{WSC}}$ and the values of gas exchange measurements,
317 g_m over all treatments was presented in Fig. 6 (Eqns. 10–17). Significant increment trend of g_m was
318 observed with water stress alleviated in *P. orientalis*, ranging from 0.0091–0.0690 mol CO₂ m⁻² s⁻¹
319 ($p < 0.5$), which reached the maximum at 100% FC under a given [CO₂]. Yet increases in g_m of *Q.*
320 *variabilis* with increasing SWC become unremarkable except that under C₄₀₀. With CO₂ concentration
321 elevated, g_m of two species was increased in different degrees. Comparing with *P. orientalis* under C₄₀₀,
322 g_m was increased gradiently and reached its maximum under C₈₀₀ at 35%–60% of FC and 100% FC
323 ($p < 0.5$), however, that was maximized under C₆₀₀ ($p < 0.5$) and slipped down under C₈₀₀ at 60%–80% of
324 FC. The maximum increment of g_m (8.2%–58.4%) occurred at C₈₀₀ at any given SWC in *Q. variabilis*.
325 It is evidently shown that g_m of *Q. variabilis* was larger than that of *P. orientalis* in the same treatment.

326 **3.6 The contribution of post-carboxylation fractionation**

327 Here, the difference between Δ_i and Δ_{obs} presented the ¹³C fractionation derived from mesophyll
328 conductance. So the post-photosynthetic fractionation after carboxylation can be calculated by
329 subtracting the fractionation derived from mesophyll conductance from the total ¹³C fractionation that
330 is generated from the site of carboxylation to cytoplasm before sugars transportation (Table 1). The
331 fractionation from g_m had less contribution on total ¹³C fractionation than that from synthesis of sugars
332 belonging to post-carboxylation fractionation in any given treatment (Table 1). The contributions of
333 fractionation from g_m in two species were illustrated different variations with soil water increasing,
334 which declined at 50%–80% of FC and rose up at 100% FC in *P. orientalis*, yet it was shown
335 increasing with water stress alleviated at 50%–80% of FC and then decreased at 100% FC in *Q.*
336 *variabilis*. Nevertheless, the fractionations from synthesis of sugars in leaf and these contributions to
337 total fractionation were all increased as soil moistened in two species. Considering the effects of
338 enriched [CO₂] on g_m , fractionation from g_m reached its average peak under C₆₀₀ in *P. orientalis*, which
339 occurred under C₈₀₀ with *Q. variabilis*. Post-carboxylation fractionations were increased along with
340 [CO₂] increased in *P. orientalis*, which reached those maxima under C₆₀₀ and then slipped down under
341 C₈₀₀ differing in degrees.

342 **3.7 Relationship between g_s , g_m and total ¹³C fractionation**

343 Total ¹³C fractionation after carboxylation may be correlated with the resistances derived from
344 stomata and mesophyll cells. Here, we performed linear regressions between g_s/g_m and total ¹³C
345 fractionation for *P. orientalis* and *Q. variabilis*, respectively (Fig. 7 and 8). It was apparent that total
346 ¹³C fractionation was linearly dependent on the g_s ($p < 0.01$) that controls the exchange of CO₂ and H₂O,
347 and responds to environmental variation. Subsequently, the linear relationships between g_m and total
348 ¹³C fractionation were shown ($p < 0.01$), which reflected the variation of CO₂ concentration through the
349 chloroplast was correlated with carbon discrimination happened after photosynthesis in the leaf.

350 **4 Discussion**

351 **4.1 Photosynthetic traits**

352 The exchange of CO₂ and water vapor via stomata is modulated in part by the soil/leaf water
353 potential (Robredo et al., 2010). Saplings of *P. orientalis* reached their maxima of P_n and g_s at 70%–80%
354 of FC irrespective of [CO₂] treatments. As SWC exceeded this water threshold, elevated CO₂ would
355 cause a greater reduction in g_s , as has been reported for barley and wheat (Wall et al., 2011). The

356 decrease of g_s responding to elevated $[\text{CO}_2]$ could be mitigated by the coupling effects of soil wetting.
357 In addition, C_i of *Q. variabilis* peaked at 60%–70% of FC and followed declines as soil moisture
358 increased (Wall et al., 2006; Wall et al., 2011). This is interpreted as stomata having the tendency to
359 maintain a constant C_i or C_i/C_a when ambient $[\text{CO}_2]$ increased, which would determine the CO_2 used
360 directly in chloroplast (Yu et al., 2010). On the basis of theories (Farquhar and Sharkey, 1982) and
361 common experimental technologies (Xu, 1997), this could be explained as the stomatal limitation.
362 However, C_i of *P. orientalis* was increased considerably while SWC exceeded 70%–80% of FC, as
363 found by Mielke et al. (2000). One factor that can account for that is plants close their stomata to
364 reduce the loss of water during the synthesis of organic matter, simultaneously decreasing the
365 availability of CO_2 and generating respiration of organic matter (Robredo et al., 2007). Another
366 explanation is the limited root volume in potted experiments may not be able to absorb sufficient water
367 to support full growth of shoots (Leakey et al., 2009; Wall et al., 2011). In our study, the coupling of
368 increasing $[\text{CO}_2]$ may cause nonstomatal limitation as SWC exceeding the threshold (70%–80% of FC),
369 i.e., accumulation of nonstructural carbohydrates in leaf tissue that induces mesophyll-based and/or
370 biochemical-based transient inhibition of photosynthetic capacity (Farquhar and Sharkey, 1982). Xu
371 and Zhou (2011) developed a five-level SWC gradient to examine the effect of water on the
372 physiological characteristics of perennial *Leymus chinensis*, demonstrating that there was a clear
373 irrigation maximum of SWC below which the plant could manage itself to adjust changing
374 environment. Miranda Apodaca et al. (2015) also concluded that, in suitable water conditions, elevated
375 CO_2 augmented CO_2 assimilation in herbaceous plants.

376 The P_n of two species increased with elevated $[\text{CO}_2]$ in our study, similarly with the results from C_3
377 woody plants (Kgope et al., 2010). Furthermore, increasing $[\text{CO}_2]$ alleviated severe drought and heavy
378 irrigation, which suggests that photosynthetic inhibition produced by water stress or excess may be
379 mediated by increased $[\text{CO}_2]$ (Robredo et al., 2007; Robredo et al., 2010) and meliorate the adverse
380 effects of drought stress by decreasing plant transpiration (Kirkham, 2016; Kadam et al., 2014;
381 Miranda Apodaca et al., 2015; Tausz-Posch et al., 2013).

382 4.2 Differences between WUE_{ge} and WUE_{cp}

383 The increments of WUE_{ge} in *P. orientalis* and *Q. variabilis* that resulted from the combination of an
384 increase in P_n and decrease in g_s , followed by a reduction in T_r (Figs. 2a, 2g, 2b and 2h), were also
385 demonstrated by Ainsworth and McGrath (2010). Combining P_n and T_r of two species in the same
386 treatment, lower WUE_{ge} in *Q. variabilis* is obtained due to its physiological and morphological traits,
387 such as larger leaf area, rapid growth, and higher stomatal conductance than that of *P. orientalis*
388 (Adiredjo et al., 2014). Medlyn et al. (2001) reported that the stomatal conductance of broadleaved
389 species is more sensitive to elevated CO_2 concentrations than in conifers. Moreover, there has been no
390 consensus on the patterns of $i\text{WUE}$ with related SWC at the leaf level, although some have discussed
391 this topic (Yang et al., 2010). The WUE_{ge} of *P. orientalis* and *Q. variabilis* was enhanced with soil
392 drying, as presented by Parker and Pallardy (1991), DeLucia and Heckathorn (1989), Reich et al.
393 (1989), and Leakey (2009).

394 Bögelein et al. (2012) confirmed that WUE_{cp} was more consistent with daily mean WUE_{ge} than
395 WUE_{phloem} . The WUE_{cp} of two species demonstrated similar variation to those $\delta^{13}\text{C}_{WSC}$, which
396 differentiated with that of WUE_{ge} . Pons et al. (2009) reviewed that A of leaf soluble sugar is coupled
397 with environmental dynamics over a period ranging from a few hours to 1–2 d. The WUE_{cp} of our
398 materials could respond to $[\text{CO}_2] \times \text{SWC}$ treatments over cultivated days, whereas WUE_{ge} is
399 characterized as the instantaneous physiology of plants to conditions. In addition, species-specific

400 $\delta^{13}\text{C}_{\text{WSC}}$ were observed in the same environmental treatment. Consequently, WUE_{cp} and WUE_{gc} have
401 different variable curves according to different treatments.

402 **4.3 The influence of mesophyll conductance on the fractionation after carboxylation**

403 The consensus has been reached that the routine of CO_2 diffusion into photosynthetic site includes
404 two main procedures, which are CO_2 moving from ambient air surrounding the leaf (C_a) to the
405 sub-stomatic cavities (C_i) through stomata, and from there to the site of carboxylation within the
406 chloroplast stroma (C_c) of leaf mesophyll. The latter procedure of diffusion is defined as mesophyll
407 conductance (g_m) (Flexas et al., 2008). Moreover, g_m has been identified to coordinate with
408 environmental factors more faster than stomatal conductance (Galmés et al., 2007; Tazoe et al., 2011;
409 Flexas et al., 2007). During our 7-day cultivations of $\text{SWC} \times [\text{CO}_2]$, g_m was increased and WUE_{gc} was
410 decreased as soil moistened, which has been verified that g_m as an important factor, could improve
411 WUE under drought pretreatment (Han et al., 2016). There has been a dispute how g_m responds to the
412 fluctuation of CO_2 concentration. Terashima *et al.* (2006) have confirmed that CO_2 permeable
413 aquaporin, located in the plasma membrane and inner envelope of chloroplasts (Uehlein et al. 2008),
414 could regulate the change of g_m . In our study, g_m is specific-special to the gradient of $[\text{CO}_2]$. The g_m of
415 *P. orientalis* was significantly decreased by 9.08% -44.42% from C_{600} to C_{800} at 60% -80% of FC, being
416 similar to the results obtained by Flexas *et al.* (2007). Although larger g_m of *Q. variabilis* under C_{800}
417 was observed, it made almost no difference.

418 Furthermore, g_m contributed to total ^{13}C fractionation that followed the carboxylation while
419 photosynthate has not been transported to the twigs of sapling. The ^{13}C fractionation of CO_2 from the
420 air surrounding leaf to sub-stomatic cavity may be simply considered, whereas the fractionation
421 induced by mesophyll conductance from sub-stomatic cavities to the site of carboxylation in the
422 chloroplast cannot be neglected (Pons et al., 2009; Cano et al., 2014). As estimating the
423 post-carboxylation fractionation, carbon isotope fractionation derived from g_m must be subtracted from
424 the total ^{13}C fractionation (the difference between $\delta^{13}\text{C}_{\text{WSC}}$ and $\delta^{13}\text{C}_{\text{model}}$), which was closely associated
425 with g_m (Fig. 8, $p=0.01$ or $p<0.01$). Similar variations of ^{13}C fractionations derived from g_m were
426 presented with that of g_m under orthogonal treatments on Table 1.

427 **4.4 Post-carboxylation fractionation generated before photosynthate leaving leaves**

428 Photosynthesis, a biochemical and physiological process (Badeck et al., 2005), is characterized by
429 discrimination against ^{13}C , which leaves an isotopic signature in the photosynthetic apparatus. There is
430 a classic review of the carbon-fractionation in leaves that covers the significant aspects of
431 photosynthetic carbon isotope discrimination (Farquhar et al., 1989). The
432 post-carboxylation/photosynthetic fractionation associated with the metabolic pathways of
433 non-structural carbohydrates (NSC; defined here as soluble sugars + starch) within leaves, and
434 fractionation during translocation, storage, and remobilization prior to tree ring formation remain
435 unclear (Epron et al., 2012; Gessler et al., 2014; Rinne et al., 2016). The synthetic processes of sucrose
436 and starch before transportation to the twig are within the domain of post-carboxylation fractionation
437 generated in leaves. Hence, we hypothesized that the ^{13}C fractionation might exist. When we finished
438 the leaf gas-exchange measurements, the leaf samples were collected immediately to determine the
439 $\delta^{13}\text{C}$ of water-soluble compounds ($\delta^{13}\text{C}_{\text{WSC}}$). Presumably, the ^{13}C fractionation generated in the
440 synthetic processes of sucrose and starch was approximately contained within the ^{13}C fractionation
441 from the site of carboxylation to cytoplasm before sugars transportation as total ^{13}C fractionation.
442 When comparing $\delta^{13}\text{C}_{\text{WSC}}$ with $\delta^{13}\text{C}_{\text{obs}}$, total ^{13}C fractionation of *P. orientalis* ranged from 0.0328‰ to

443 0.0472‰, less than that of *Q. variabilis* (from 0.0384‰ to 0.0466‰). The post-carboxylation
444 fractionation contributed 75.30%-98.9% on total ^{13}C fractionation, which was determined by
445 subtracting the fractionation of mesophyll conductance from total ^{13}C fractionation. Recently, Gessler
446 et al. (2004) reviewed the environmental drivers of variation in photosynthetic carbon isotope
447 discrimination in terrestrial plants. Total ^{13}C fractionation of *P. orientalis* was enhanced by soil
448 moistening, consistent with that of *Q. variabilis*, except at 100% FC. The ^{13}C isotope signature of *P.*
449 *orientalis* was dampened by elevated $[\text{CO}_2]$. Yet, ^{13}C -depletion was weakened in *Q. variabilis* at C_{600}
450 and C_{800} . Linear regressions between g_s and total ^{13}C fractionation indicated that the post-carboxylation
451 fractionation in leaves depended on the variation of g_s and stomata aperture correlated with
452 environmental change.

453 5 Conclusions

454 Through orthogonal treatments of four $[\text{CO}_2]$ s \times five SWCs, WUE_{cp} calculated by $\delta^{13}\text{C}$ of
455 water-soluble compound and WUE_{ge} derived from simultaneous leaf gas exchange were estimated to
456 differentiate the $\delta^{13}\text{C}$ signal variation before leaf-exported translocation of primary assimilates. The
457 influence of mesophyll conductance on the difference of ^{13}C fractionation between the sub-stomatic
458 cavities and the ambient environment need to be considered, while testing the hypothesis that the
459 post-carboxylation will contribute on the ^{13}C fractionation from the site of carboxylation to cytoplasm
460 before sugars transportation. In response to the interactive effects of $[\text{CO}_2]$ and SWC, WUE_{ge} of two
461 species both decreased with soil moistening, and increased with elevated $[\text{CO}_2]$ at 35%–80% of FC.
462 We concluded that relative soil drying, coupled with elevated $[\text{CO}_2]$, could improve WUE_{ge} by
463 strengthening photosynthetic capacity and reducing transpiration. WUE_{ge} of *P. orientalis* was
464 significantly greater than that of *Q. variabilis*, while the opposite was the case for WUE_{cp} in two
465 species. Mesophyll conductance and post-carboxylation were manifested both contributing on the ^{13}C
466 fractionation from the site of carboxylation to cytoplasm before sugars transportation determined by
467 gas-exchange and carbon isotopic measurements. Rising $[\text{CO}_2]$ and/or soil moistening generated
468 increasing disparities between $\delta^{13}\text{C}_{\text{WSC}}$ and $\delta^{13}\text{C}_{\text{model}}$ in *P. orientalis*; nevertheless, the differences
469 between $\delta^{13}\text{C}_{\text{WSC}}$ and $\delta^{13}\text{C}_{\text{model}}$ in *Q. variabilis* increased as $[\text{CO}_2]$ being less than 600 ppm and/or water
470 stress alleviated. Total ^{13}C fractionation in leaf was linearly dependent on g_s . With respect to carbon
471 isotope fractionation in post-carboxylation and transportation processes, we cannot neglect that the ^{13}C
472 fractionation derived from the synthesis of sucrose and starch were influenced inevitably by
473 environmental changes. Thus, clear description of the magnitude and environmental dependence of
474 apparent post-carboxylation fractionation are worth our attention in photosynthetic fractionation.

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679 **Author contribution**

680 Na Zhao and Yabing He collected field samples, and performed the experiment. Na Zhao engaged in
681 data analysis and writing this paper. Ping Meng proposed the suggestions on the theory and practice of
682 experiment. Xinxiao Yu revised the paper and contributed to edit the manuscript.

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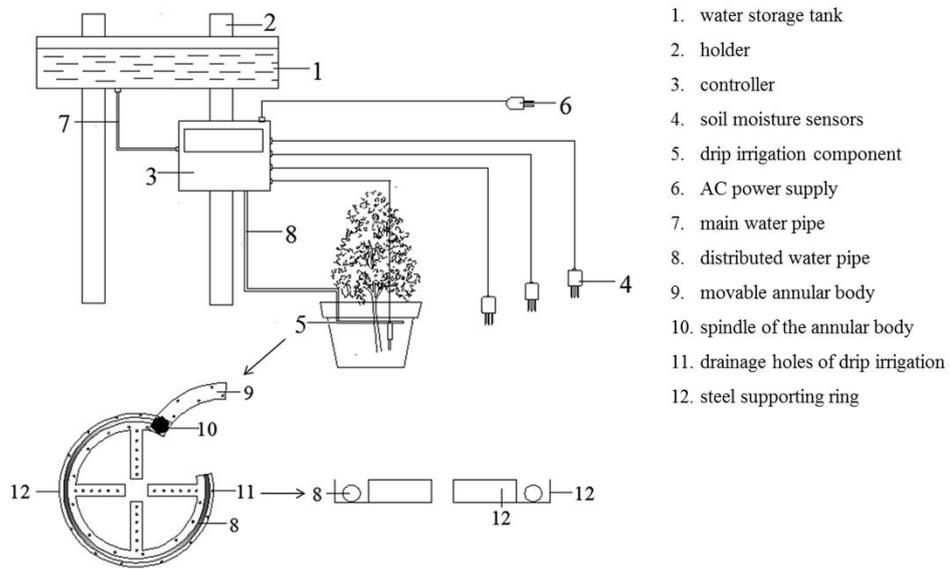
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Figure



705

706 **Figure 1.** Structural diagram of the device for automatic drip irrigation

707 Arabic numerals indicate the individual parts of the automatic drip irrigation device (No. 1–7). The
708 lower-left corner of this figure presents the detailed schematic for the drip irrigation components (No.
709 8–12). The lower-right corner of this figure shows the schematic for the drip irrigation component in
710 profile.

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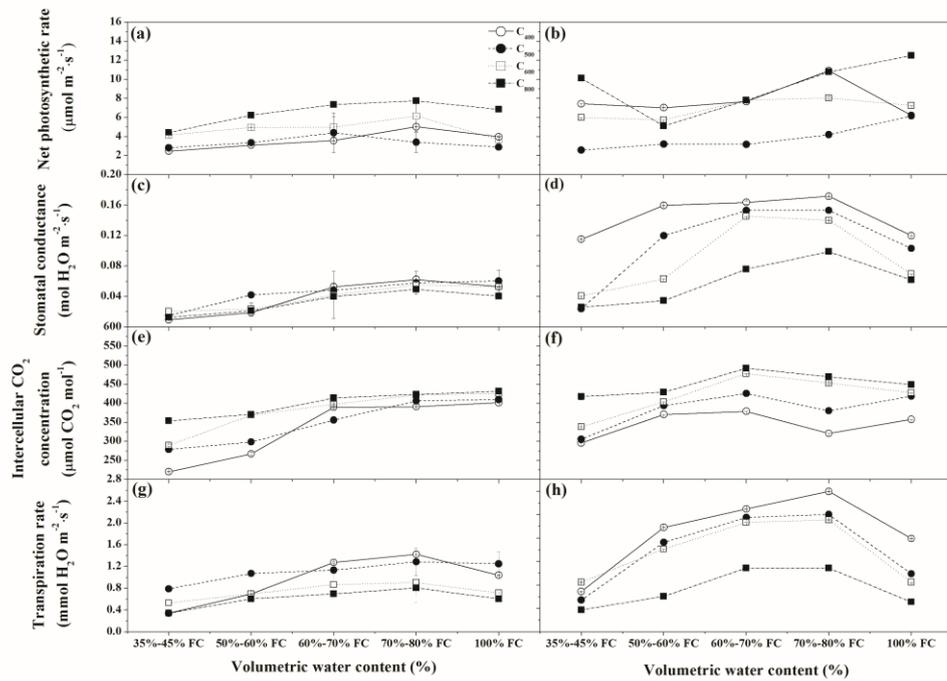
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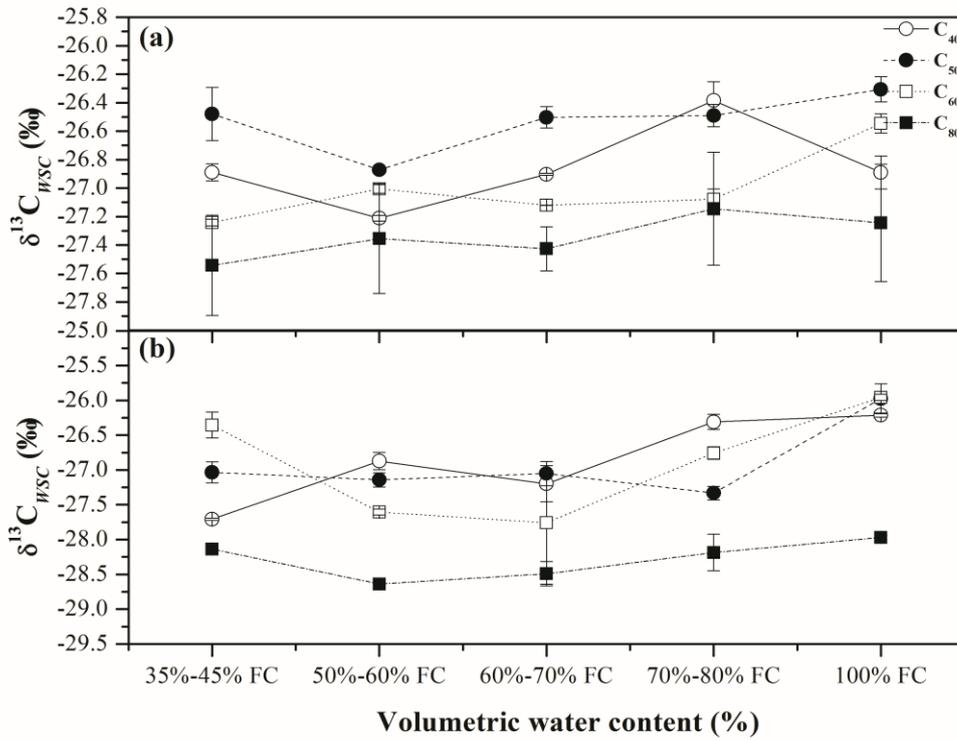
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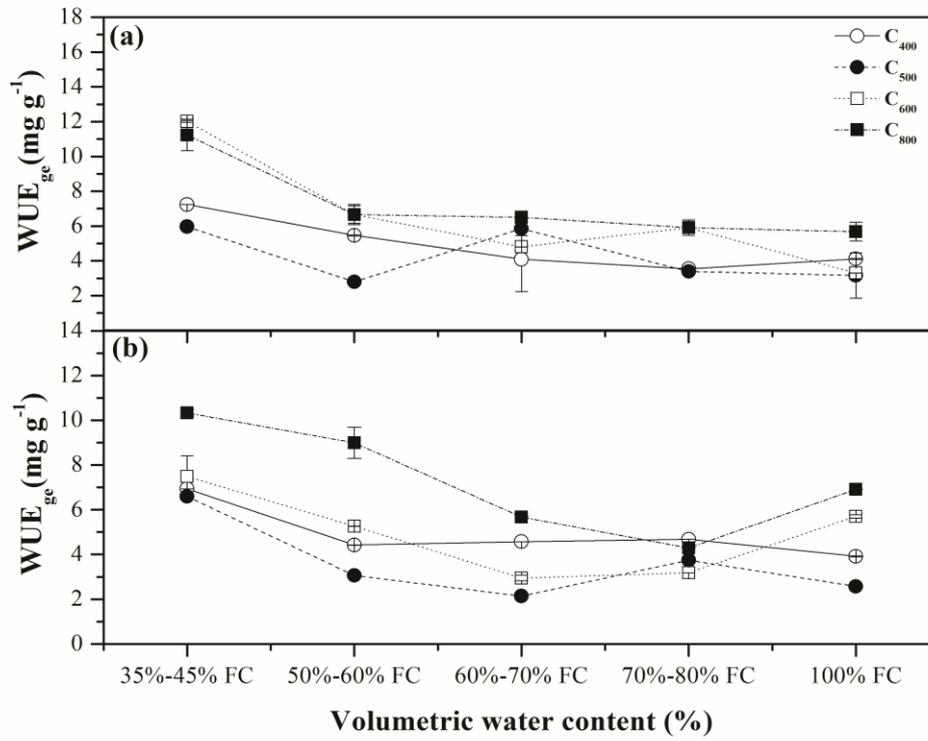
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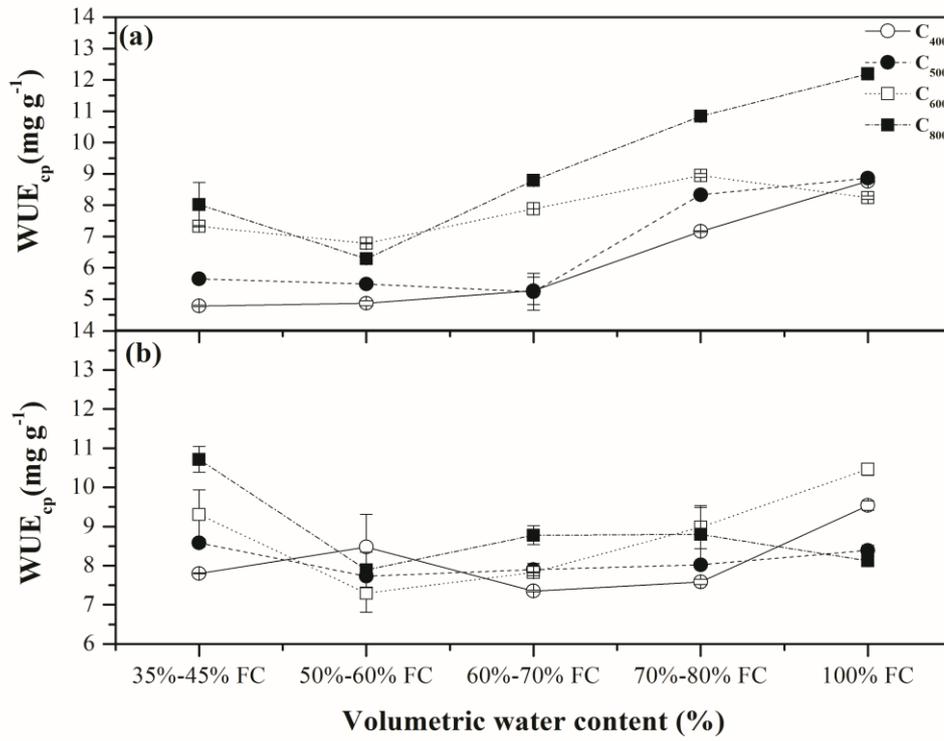
719 **Figure 2.** Net photosynthetic rates (P_n , $\mu\text{mol m}^{-2} \text{s}^{-1}$, a and b), stomatal conductance (g_s , $\text{mol H}_2\text{O m}^{-2}$
720 s^{-1} , c and d), intercellular CO_2 concentration (C_i , $\mu\text{mol CO}_2 \text{mol}^{-1}$, e and f), and transpiration rates (T_r ,
721 $\text{mmol H}_2\text{O m}^{-2} \text{s}^{-1}$, g and h) of *P. orientalis* and *Q. variabilis* for four CO_2 concentrations \times five soil
722 volumetric water contents. Means \pm SDs, $n = 32$.



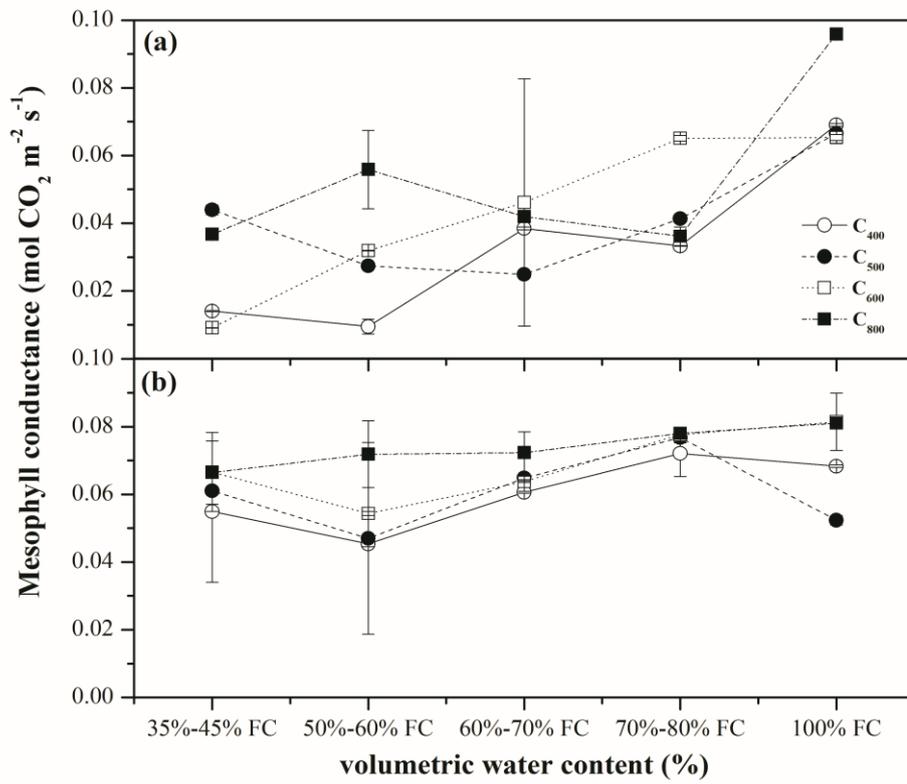
723 **Figure 3.** Carbon isotope composition of water-soluble compounds ($\delta^{13}C_{WSC}$) extracted from leaves of
 724 *P. orientalis* (a) and *Q. variabilis* (b) for four CO₂ concentrations \times five soil volumetric water contents.
 725 Means \pm SDs, n = 32.
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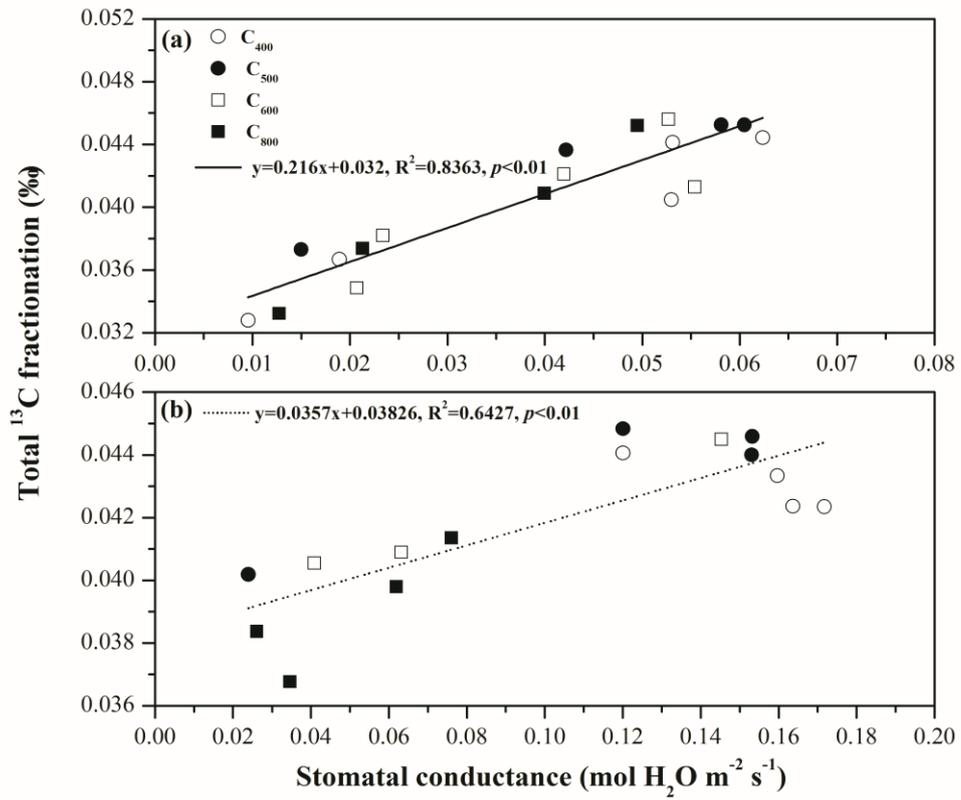
727 **Figure 4.** Instantaneous water use efficiency through gas exchange measurements (WUE_{ge}) for leaves
 728 of *P. orientalis* (a) and *Q. variabilis* (b) for four CO₂ concentrations × five soil volumetric water
 729 contents. Means ±SDs, n = 32.
 730



731 **Figure 5.** Instantaneous water use efficiency estimated by $\delta^{13}\text{C}$ of water-soluble compounds (WUE_{cp})
 732 from leaves of *P. orientalis* (a) and *Q. variabilis* (b) for four CO_2 concentrations \times five soil volumetric
 733 water contents. Means \pm SDs, $n = 32$.
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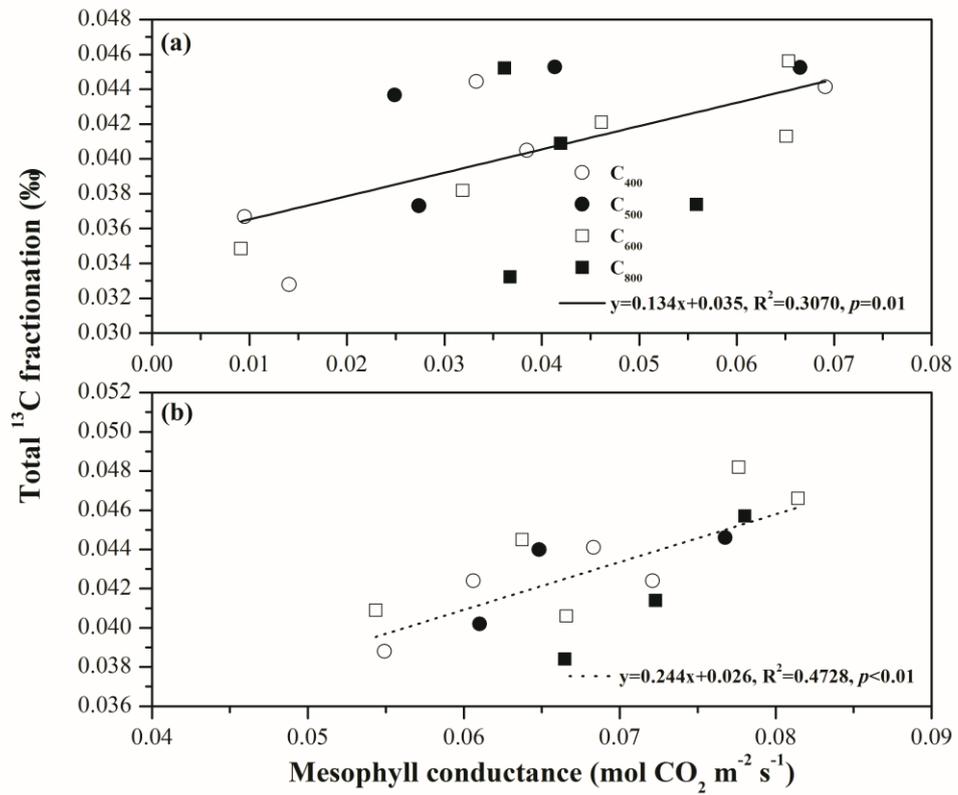
735 **Figure 6.** Mesophyll conductance of *P. orientalis* (a) and *Q. variabilis* (b) for four CO₂ concentrations
 736 × five soil volumetric water contents. Means ±SDs, n = 32.
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740 **Figure 7.** Regression between stomatal conductance and total ^{13}C fractionation of *P. orientalis* (a) and
 741 *Q. variabilis* (b) for four CO_2 concentrations \times five soil volumetric water contents ($p=0.01$, $n = 32$).

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744 **Figure 8.** Regression between mesophyll conductance and total ^{13}C fractionation of *P. orientalis* (a)
 745 and *Q. variabilis* (b) for four CO_2 concentrations \times five soil volumetric water contents ($p=0.01$, $n =$
 746 32).

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Table748 **Table 1.** Carbon-13 isotope fractionation of *P. orientalis* and *Q. variabilis* for four CO₂ concentrations × five soil volumetric water contents.

Species	SWC (of FC)	CO ₂ concentration (ppm)													
		¹³ C				¹³ C									
		400	500	600	800	fractionation (‰)	400	500	600	800	fractionation (‰)	400	500	600	800
<i>P. orientalis</i>	35%–45%	0.0328	0.0373	0.0349	0.0332		0.0081	0.0030	0.0034	0.0072		0.0247	0.0343	0.0315	0.0260
	50%–60%	0.0367	0.0437	0.0382	0.0374		0.0018	0.0058	0.0094	0.0004		0.0349	0.0379	0.0288	0.0370
	60%–70%	0.0405	0.0366	0.0421	0.0409		0.0018	0.0050	0.0026	0.0007		0.0387	0.0316	0.0395	0.0402
	70%–80%	0.0444	0.0453	0.0413	0.0452		0.0044	0.0052	0.0103	0.0013		0.0400	0.0401	0.0310	0.0439
	100%	Total ¹³ C fractionation (‰)	0.0441	0.0453	0.0456	0.0472	Mesophyll conductance	0.0057	0.0040	0.0025	0.0039	Post- photosynthesis	0.0384	0.0413	0.0431
<i>Q. variabilis</i>	35%–45%	0.0388	0.0402	0.0406	0.0384		0.0007	0.0025	0.0006	0.0091		0.0381	0.0377	0.0400	0.0293
	50%–60%	0.0433	0.0448	0.0409	0.0368		0.0061	0.0084	0.0023	0.0018		0.0372	0.0364	0.0386	0.0350
	60%–70%	0.0424	0.0440	0.0445	0.0414		0.0066	0.0086	0.0078	0.0041		0.0358	0.0354	0.0367	0.0373
	70%–80%	0.0424	0.0446	0.0482	0.0457		0.0034	0.0016	0.0074	0.0028		0.0390	0.0430	0.0408	0.0429
	100%		0.0441	0.0466	0.0466	0.0398		0.0027	0.0076	0.0022	0.0125		0.0414	0.0390	0.0444

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