

1 **Seasonal and size-dependent variations in the phytoplankton**  
2 **growth and microzooplankton grazing in the southern South China**  
3 **Sea under the influence of the East Asian monsoon**

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10

## 1 Abstract

2 To examine seasonal and size-dependent variations in the phytoplankton growth and  
3 microzooplankton grazing in oligotrophic tropical waters under the influence of seasonal  
4 reversing monsoon, dilution experiments were conducted during the summer 2009 (21 May to  
5 9 June) and winter 2010 (9 to 18 November) in the southern South China Sea (SSCS). The  
6 results showed that environmental variables, phytoplankton biomass, phytoplankton growth  
7 rate ( $\mu$ ), microzooplankton grazing rate ( $m$ ), and relationship (coupling) between the  $\mu$  and  
8  $m$ , ~~but~~ rather than the microzooplankton grazing impact on phytoplankton ( $m/\mu$ ) significantly  
9 varied between the two seasons. Higher relative preference index (RPI) for and  $m$  on the  
10 larger-sized ( $> 3 \mu\text{m}$ ) phytoplankton than pico-phytoplankton ( $< 3 \mu\text{m}$ ), indicating significant  
11 size-selective grazing by microzooplankton on the larger-sized phytoplankton, were also  
12 observed. The  $\mu$  and  $m$  were significantly correlated with salinity and dissolved inorganic  
13 nutrients, which indicated that salient seasonal variations in the phytoplankton growth and  
14 microzooplankton grazing in the SSCS were closely related to the environmental variables  
15 under the influence of the East Asian monsoon. We propose that intermittent arrivals of the  
16 northeast winter monsoon could lead to the low  $\mu$  and  $m$ , and the decoupling between the  $\mu$   
17 and  $m$  in the SSCS, through influencing nutrient supply to the surface water, and inducing  
18 surface seawater salinity decrease. The low  $m/\mu$  ( $< 50\%$  on average) indicates low  
19 remineralization of organic matter mediated by microzooplankton and ~~the increased~~  
20 ~~importance of the phytoplankton-mesozooplankton grazing pathway mismatch between the  $\mu$~~   
21 ~~and  $m$~~ , and thus probably accounts for part of the high vertical biogenic particle fluxes in the  
22 prevailing periods of the monsoons in the SSCS. The size-selective grazing suggests that  
23 microzooplankton grazing contributes to the pico-phytoplankton dominance in the  
24 oligotrophic tropical waters such as that of the SSCS.

25

26 **Key words:** Phytoplankton growth; Microzooplankton grazing; Seasonal variation;  
27 Size-selective; Southern South China Sea; East Asian monsoon

28

## 1 Introduction

Phytoplankton growth and microzooplankton grazing are crucial processes relating to ocean biogeochemistry and energy flow in the pelagic food web. Phytoplankton ~~growth~~ provides almost all the primary production in the upper ocean. Microzooplankton ( $< 200 \mu\text{m}$ ) consumes most of the daily primary production in the sea, and regulates phytoplankton community composition, and affects the ultimate fate of the phytoplankton-derived primary production (Banse, 2007, 2013; Landry and Calbet, 2004; Schmoker et al., 2013).

The dilution technique introduced by Landry and Hassett (1982) has extensively been used to estimate phytoplankton growth and synchronous microzooplankton grazing rates in varied marine ecosystems, although later modification and increasing use has also brought scrutiny and critiques (Dolan and Mckeon, 2004; Gallegos, 1989; Gutiérrez-Rodríguez et al., 2009; Teixeira and Figueiras, 2009). Based on global data collection, Schomoker et al. (2013) pointed out that seasonality of environmental variables and phytoplankton community ~~indicates~~induces seasonal variations in the phytoplankton growth and microzooplankton grazing in polar and sub-polar, temperate regions, and tropical waters with salient seasonal reversing monsoon. However, there are few studies investigating both the phytoplankton growth and microzooplankton grazing in tropical oceans (Caron and Dennett, 1999; Chen et al., 2013; Landry et al., 1995, 1998, 2011), and the seasonality of phytoplankton growth and microzooplankton grazing in tropical waters influenced by salient seasonal reversing monsoon is still less known.

Microzooplankton may modify their food preference depending on the morphology, abundance and quality of prey, which would cause phytoplankton community shift in species and/or size composition (Teixeira and Figueiras, 2009). Phytoplankton community in oligotrophic subtropical and tropical waters is usually dominated by small-sized pico-cells ( $< 3 \mu\text{m}$ ); the pico-phytoplankton consists of three major groups including *Prochlorococcus*, *Synechoccus* and diverse types of pico-eukaryotic phytoplankton (Zubkov et al., 2000). Microzooplankton including both autotrophic and heterotrophic nanoflagellates can actively consume pico-~~phytoplankton~~-sized prey (An-Yi et al., 2009; Christaki et al., 2005;

1 Frias-Lopez et al., 2009). Landry et al. (1998) proposed that the balance between  
2 microzooplankton grazing and phytoplankton growth was consistent with the dominance of  
3 pico-plankton in oligotrophic offshore regions in the Arabian Sea. Significantly higher  
4 microzooplankton grazing rates on the large-sized phytoplankton ( $> 5 \mu\text{m}$ ) than the smaller  
5 one ( $< 5 \mu\text{m}$ ) have been recently reported in the oligotrophic subtropical Northeast Atlantic  
6 (Cáceres et al., 2013). Our recent results suggest that size-selective grazing by  
7 microzooplankton on nano-sized ( $3\text{--}20 \mu\text{m}$ ) phytoplankton contributes to the  
8 pico-phytoplankton dominance in the oligotrophic tropical waters of the South China Sea  
9 (SCS) in summer (Zhou et al., 2015a). However, until now, the role played by  
10 microzooplankton in the pico-phytoplankton dominance in oligotrophic tropical waters is still  
11 less examined.

12 The southern SCS (SSCS) is characterized with permanent water stratification and  
13 oligotrophic conditions in the upper layer, and is affected by seasonal reversing monsoon.  
14 During the middle of May to September, the SSCS is under the influence of the southwest  
15 summer monsoon, while this area is influenced by the stronger northeast winter monsoon  
16 during November to the next April (Su, 2004). Mesoscale eddies with obvious seasonal  
17 variation (Fang et al., 2002; Fang et al., 1998; Zhang et al., 2014), and seasonal pattern of  
18 higher phytoplankton biomass, primary production and vertical biogenic particle fluxes occur  
19 during the prevailing periods of the monsoons and wintertime in the SSCS (Liu et al., 2002;  
20 Ning et al., 2004; Wan et al., 2010). There are hundreds of coral shoals, reefs and islands  
21 located in the SSCS, called the Nansha Islands. Many researchers including Qingchao Chen,  
22 Liangmin Huang and their co-workers, from the South China Sea Institute of Oceanology,  
23 Chinese Academy of Sciences, have previously investigated the environment variables,  
24 marine species diversity and ecological processes in the coral reef lagoons of the Nansha  
25 Islands and its adjacent waters during the 1980s to 1990s (e.g. Chen and Mutidisciplinary  
26 Expedition to Nansha Islands, 1991, 1989, 1994, 1996, 1998; Huang and Multidisciplinary  
27 Expedition to Nansha Islands, 1997). Their works provide valuable contributions to the  
28 understanding of the taxonomic composition and distribution of phytoplankton, bacteria,  
29 zooplankton and fish, and ecological processes such as primary production in the SSCS.

1 However, these results are seldom published in international media even scientific journals in  
2 Chinese, and thus less known to the scientific community. So far, there is no data reported on  
3 the microzooplankton grazing in this region. We hypothesize that seasonal changes in both  
4 the phytoplankton growth and microzooplankton grazing is expected in the tropical waters  
5 influenced by salient seasonal reversing monsoon, and microzooplankton contributes to the  
6 phytoplankton size composition through size-selective grazing in the SSCS.

7 To test the hypotheses, we conducted a series of dilution experiments in adjacent waters of the  
8 Nansha Islands in the SSCS during two cruises in May to June 2009 and November 2010.  
9 Significant seasonal variations in the phytoplankton growth and microzooplankton grazing  
10 and apparently size-selective grazing were observed.

11

## 12 **2 Material and methods**

13 Dilution experiments (Landry and Hassett, 1982) were conducted at 14 stations during 21  
14 May to 9 June 2009 (summer), and at 10 stations during 9 November to 18 November 2010  
15 (winter). Stations KJ28 and KJ32 were located at the basin waters northwest to the Nansha  
16 Islands; station YS was located at the lagoon of the Yongshu Reef, the other stations were  
17 distributed in waters around the Nansha Islands (Fig. 1).

18 Surface seawater was collected, and pre-screened with a 200- $\mu\text{m}$  nylon netting for dilution  
19 experiments at each station. Particle-free seawater was obtained by filtering the seawater  
20 through a filter with a pore size of 0.22  $\mu\text{m}$ . All the bottles, containers and filters were soaked  
21 in 10% HCl for more than 10 h, and thoroughly washed with deionized water and  
22 MiliQ-water before each cruise. The bottles and containers were washed with 10% HCl,  
23 deionized water and ambient seawater before each experiment. Measured amount of  
24 particle-free seawater was firstly added to the 2.4-L polycarbonate bottles, and unfiltered  
25 seawater was added and filled the bottles. Four dilution treatments of 25, 50, 75 and 100%  
26 unfiltered seawater were prepared for the summer experiments, and another four dilution  
27 treatments of 37.5, 58.3, 79.2 and 100% unfiltered seawater were prepared for the winter  
28 experiments. All the bottles were enriched with additional nutrients (final concentrations of

1 0.5  $\mu\text{M}$   $\text{NH}_4\text{Cl}$ , 0.03  $\mu\text{M}$   $\text{KH}_2\text{PO}_4$ , 1.0 nM  $\text{FeSO}_4$  and 0.1 nM  $\text{MnCl}_2$ ) to promote constant  
2 phytoplankton growth. Two bottles were filled with unfiltered seawater without nutrient  
3 enrichment served as no nutrient controls. Another two bottles filled with unfiltered seawater  
4 were sacrificed for initial samples of chlorophyll *a* (Chla). All of the bottles were incubated  
5 for 24 h in a deck incubator cooled by running surface seawater and covered with  
6 neutral-density screens to simulate in situ light regime. These measures have been proved  
7 effective to avoid phytoplankton photoacclimation during the incubation (Zhou et al., 2015a)

8 Seawater was filtered through a sequence of 3- $\mu\text{m}$  pore size polycarbonate filter and GF/F  
9 filter for size-fractionated Chla of the larger-sized ( $> 3 \mu\text{m}$ ) and pico- ( $< 3 \mu\text{m}$ ) phytoplankton.  
10 Total Chla was calculated as the sum of the two size fractions or directly sampled by filtering  
11 0.5 to 1 L seawater on the GF/F filter. The filters were extracted in 90% acetone at  $-20^\circ\text{C}$  for  
12 24 h. The Chla concentration was measured by fluorometry using a Turner Designs Model 10  
13 Fluorometer (Parsons et al., 1984).

14 Seawater temperature, salinity and dissolved inorganic nutrients were also measured.  
15 Temperature and salinity were determined by Conductivity-Temperature-Depth probes.  
16 Dissolved inorganic nutrients such as silicate, phosphate and nitrate plus nitrite were analyzed  
17 with a flow-injection autoanalyzer (Quickchem 8500, Lachat Instruments) following the  
18 standard manuals.

19 Assuming an exponential growth model, the net growth rate ( $k_d$ ) of phytoplankton in a  
20 dilution treatment was calculated according to the formula  $k_d = \ln(P_t/dP_0)$ , where  $d$  is the  
21 dilution factor (the proportion of unfiltered seawater),  $P_t$  is the Chla concentration after  
22 incubation,  $P_0$  is the initial Chla concentration. Phytoplankton growth rates with nutrient  
23 amendment ( $\mu_n$ ) and microzooplankton grazing rates ( $m$ ) were estimated from Model I linear  
24 regressions of net growth rate ( $k$ ) vs dilution factor ( $d$ ). In situ phytoplankton instantaneous  
25 growth rates ( $\mu$ ) were calculated as the sum of  $m$  and net growth rate in control bottles  
26 without added nutrients.

1 When saturated or saturated-increasing grazing was observed as a departure from the assumed  
2 linear model (Gallegos, 1989; Teixeira and Figueiras, 2009),  $m$  and  $\mu$  were calculated on the  
3 basis of the method of Chen et al.(2009a).

4 Size-fractionated ( $> 3 \mu\text{m}$  and  $< 3 \mu\text{m}$ ) phytoplankton growth and mortality rates were  
5 estimated for experiments at YS and KJ35 during the summer cruise, and all the experiments  
6 during the winter cruise.

7 Nutrient limitation index indicating nutrient sufficiency for phytoplankton growth was  
8 assessed by the ratio  $\mu/\mu_n$ , which is expected to vary with system trophic state (Landry et al.,  
9 1998). Net growth rate was also used as a proxy for the actual trophic state of the system  
10 being investigated (Calbet et al., 2011). The microzooplankton grazing impact on  
11 phytoplankton was assessed by the ratio of microzooplankton grazing to phytoplankton  
12 growth rates ( $m/\mu$ ), and measures the extent to which the daily phytoplankton production is  
13 consumed and balanced by microzooplankton grazing (Landry et al., 1998).

14 Grazing selectivity for size-fractionated phytoplankton was analyzed following the relative  
15 preference index (RPI) provided by Obayashi and Tanoue (2002) as  $\text{RPI} = \frac{[mchla_i]/\sum[mchla_i]}{[chla_i]/\sum[chla_i]}$ ,  
16 where  $[mchla]$  is the amount of daily grazed Chla ( $\mu\text{g L}^{-1}\text{d}^{-1}$ ), subscript  $i$  refers to each size  
17 fraction analyzed and  $[chla]$  is the concentration of Chla ( $\mu\text{g L}^{-1}$ ). RPI  $> 1$  indicates positive  
18 selection and vice versa.

19 All the statistical analyses were conducted by using the SPSS 17.0. The average value of the  
20 ratio variables such as  $m/\mu$  was presented as the geometric mean, while other average values  
21 were presented as the arithmetic mean.

22

### 23 **3 Results**

#### 24 **3.1 Environmental variables and Chla**

25 Environmental variables and Chla in surface seawater were different between the two cruises  
26 (Table 1 and Table 2). Surface seawater temperature (SST) and salinity (SSS), and the  
27 concentration of nitrate plus nitrite were significantly lower (independent t-test,  $p < 0.05$  or

1 0.01), while the concentrations of silicate and phosphate were significantly higher in the  
2 winter cruise (independent t-test,  $p < 0.05$  or  $0.01$ ) than those in the summer cruise. The  
3 concentration of phosphate (mean  $\pm$  sd:  $0.04 \pm 0.03 \mu\text{mol L}^{-1}$ ) during the summer cruise and  
4 that of nitrate plus nitrite (mean  $\pm$  sd:  $0.04 \pm 0.02 \mu\text{mol L}^{-1}$ ) during the winter cruise were  
5 almost undetectable. The concentration of Chla in the winter cruise (mean  $\pm$  sd:  $0.104 \pm 0.024$   
6  $\mu\text{g L}^{-1}$ ) was about two times that in the summer cruise (mean  $\pm$  sd:  $0.066 \pm 0.022 \mu\text{g L}^{-1}$ )  
7 (independent t-test,  $p < 0.01$ ). Pico-phytoplankton ( $< 3 \mu\text{m}$ ) accounted for most ( $> 80\%$ ) of  
8 the total Chla during both the cruises. The proportion of pico-phytoplankton in the winter  
9 cruise (mean  $\pm$  sd:  $81.9\% \pm 5.0\%$ ) was similar to that in the summer cruise (mean  $\pm$  sd:  $83.0\%$   
10  $\pm 1.8\%$ ) (independent t-test,  $p > 0.1$ ).

### 11 **3.2 Feeding responses in dilution experiments**

12 The detailed results of each dilution experiment were listed in Table 1 and Table 2. Except for  
13 linear feeding responses, both the saturated and saturated-increased feeding responses  
14 described by Teixeira and Figueiras (2009) occurred in the dilution experiments during both  
15 the cruises (Fig. 2a–c). Non-linear feeding responses occurred at eight of the 14 experiments  
16 during the summer cruise, while those occurred at four of the 10 experiments during the  
17 winter cruise (Table 1 and Table 2). During the winter cruise, substantially negative  
18 phytoplankton growth rates ( $\mu$ ) and high microzooplankton grazing rates ( $m$ ) were observed at  
19 KJ53 (Fig. 2d–f). The negative  $\mu$  obtained at KJ53 and the derivative parameters (e.g.  $m/\mu$ )  
20 were not included for the comparison between seasons and size fractions.

### 21 **3.3 Comparison of the phytoplankton growth and microzooplankton grazing** 22 **between the two seasons**

23 The phytoplankton growth and microzooplankton grazing were significantly different  
24 between the two cruises. The  $\mu$  in the winter cruise (mean  $\pm$  sd:  $0.54 \pm 0.22 \text{ d}^{-1}$ ) were  
25 significantly lower than those in the summer cruise (mean  $\pm$  sd:  $0.92 \pm 0.32 \text{ d}^{-1}$ ) (independent  
26 t-test,  $p < 0.01$ ). The  $m$  in the winter cruise (mean  $\pm$  sd:  $0.27 \pm 0.13 \text{ d}^{-1}$ ) were also  
27 significantly lower than those in the summer cruise (mean  $\pm$  sd:  $0.46 \pm 0.20 \text{ d}^{-1}$ ) (independent

1 t-test,  $p < 0.01$ ). However, the  $m/\mu$  ratio was almost equally low both in the summer  
2 (geometric mean  $\pm$  sd:  $49\% \pm 23\%$ ) and winter (geometric mean  $\pm$  sd:  $48\% \pm 33\%$ ) cruises.

### 3 **3.4 Size-dependent phytoplankton growth and microzooplankton grazing**

4 The  $\mu$  and  $m$  varied between size fractions. Higher  $m$  on the larger size fraction were observed  
5 at YS ( $0.65 \text{ d}^{-1}$  for the size fraction  $> 3 \mu\text{m}$  vs  $0.33 \text{ d}^{-1}$  for the size fraction  $< 3 \mu\text{m}$ ) and KJ35  
6 ( $0.39 \text{ d}^{-1}$  for the size fraction  $> 3 \mu\text{m}$  vs  $0.30 \text{ d}^{-1}$  for the size fraction  $< 3 \mu\text{m}$ ) during the  
7 summer cruise. The  $\mu$  of the larger-sized ( $> 3 \mu\text{m}$ ) and pico- ( $< 3 \mu\text{m}$ ) phytoplankton were  
8  $1.07 \text{ d}^{-1}$  and  $0.75 \text{ d}^{-1}$  at YS, and  $0.69 \text{ d}^{-1}$  and  $0.90 \text{ d}^{-1}$  at KJ35, respectively. During the winter  
9 cruise, the  $m$  on the larger size fraction (mean  $\pm$  sd:  $0.50 \pm 0.41 \text{ d}^{-1}$ ) were higher than those on  
10 pico-phytoplankton (mean  $\pm$  sd:  $0.27 \pm 0.27 \text{ d}^{-1}$ ) at seven of the 10 experiments, and the  $\mu$  of  
11 the larger size fraction (mean  $\pm$  sd:  $0.88 \pm 0.38 \text{ d}^{-1}$ ) were also higher than those of  
12 pico-phytoplankton (mean  $\pm$  sd:  $0.42 \pm 0.31 \text{ d}^{-1}$ ) at seven of the nine experiments (exclude  
13 data at KJ53) (Table 3). The  $m/\mu$  was higher for the larger size fraction ( $57.3\%$  at KJ35 and  
14  $61.2\%$  at YS) than pico-phytoplankton ( $33.3\%$  at KJ35 and  $44.0\%$  at YS) during the summer  
15 cruise, while the  $m/\mu$  was not significantly different between the two size fractions ( $41.1\% \pm$   
16  $82.8\%$  for the size fraction  $> 3 \mu\text{m}$ , and  $39.4\% \pm 21.1\%$  for the size fraction  $< 3 \mu\text{m}$ ) during  
17 the winter cruise (Table 3).

18 Higher RPI for the larger-sized phytoplankton than pico-phytoplankton was observed during  
19 both the cruises (Fig. 3). The RPI for the larger-sized phytoplankton was higher than one  
20 (geomean  $\pm$  sd:  $1.44 \pm 0.31$ ), while the RPI for pico-phytoplankton was lower than one  
21 (geomean  $\pm$  sd:  $0.90 \pm 0.07$ ) during the summer cruise. The RPI was significantly  
22 (Mann-Whitney test,  $p < 0.05$ ) higher for the larger-sized phytoplankton (geomean  $\pm$  sd:  $1.44$   
23  $\pm 1.57$ ) than pico-phytoplankton (geomean  $\pm$  sd:  $0.61 \pm 0.35$ ) during the winter cruise.

### 24 **3.5 Nutrient limitation to the phytoplankton growth**

25 Nutrient limitation index ( $\mu/\mu_n$ ), as well as the net growth rate ( $\mu-m$ , data not shown), was  
26 significantly higher (Mann-Whitney test,  $p < 0.05$ ) during the summer cruise (geometric mean  
27  $\pm$  sd:  $0.80 \pm 0.25$ ) than the winter cruise (geometric mean  $\pm$  sd:  $0.54 \pm 0.30$ ). The  $\mu/\mu_n$  was  
28 approximate to or larger than one at five of the 14 experiments in the summer. In contrast, the

1  $\mu/\mu_n$  was apparently lower than one at eight of the nine experiments (excluding data at KJ53)  
2 in the winter (Table 1 and Table 2).

### 3 **3.6 Correlations between the growth and grazing rates with environmental** 4 **variables**

5 Taking all the data from the two cruises together, the average  $\mu$  and  $m$  were  $0.77 \pm 0.34 \text{ d}^{-1}$   
6 and  $0.39 \pm 0.20 \text{ d}^{-1}$ . The  $\mu$  were positively correlated with SST ( $r = 0.43, p < 0.05$ ), SSS ( $r =$   
7  $0.55, p < 0.01$ ),  $\mu/\mu_n$  ( $r = 0.50, p < 0.05$ ), net phytoplankton growth rate ( $r = 0.83, p < 0.01$ )  
8 and  $m$  ( $r = 0.76, p < 0.01$ ), but were negatively correlated with phosphate ( $r = -0.57, p < 0.01$ )  
9 and Chla ( $r = -0.65, p < 0.01$ ) (Table 4). The  $m$  were also positively correlated with SST ( $r =$   
10  $0.62, p < 0.01$ ), SSS ( $r = 0.48, p < 0.05$ ) and nitrate plus nitrite ( $r = 0.54, p < 0.01$ ), and was  
11 negatively correlated with Chla ( $r = -0.66, p < 0.01$ ) and phosphate ( $r = -0.54, p < 0.01$ )  
12 (Table 4).

### 13 **3.7 Correlations between the phytoplankton growth and microzooplankton** 14 **grazing**

15 The positive correlation ( $r^2 = 0.57, p < 0.01$ ) between  $\mu$  and  $m$  was observed during the  
16 summer cruise (Fig. 4a). However, there was no significant correlation between the total  $\mu$   
17 and  $m$  during the winter cruise. By grouping  $\mu$  and  $m$  separately for each size fraction, it is  
18 found that the correlation was significant for the larger size fraction ( $r^2 = 0.84, p < 0.05$ ) but  
19 not for the pico-phytoplankton ( $r^2 = 0.41, p = 0.07$ ) (Fig. 4b).

## 21 **4 Discussion**

### 22 **4.1 Comparisons with other studies**

23 All the  $\mu$  (except those obtained at KJ53 during the winter cruise) and  $m$  were within the  
24 reviewed ranges based on global data collection (Calbet and Landry, 2004; Schmoker et al.,  
25 2013). Substantive  $m$  but negative  $\mu$  were observed at KJ53 (Fig. 2d–f), the only station  
26 located on the continental shelf with a bottom depth less than 200 m (Fig. 1).

#### 27 **4.1.1 Negative phytoplankton growth rates**

1 Negative  $\mu$  have been extensively reported in previous dilution experiments (e.g. Burkill et al.,  
2 1987; Loebl and Beusekon, 2008; Suzuki et al., 2002; Zhou et al., 2011). Zhou et al. (2013)  
3 reviewed that temperature and light regime during incubation, insufficient sampling,  
4 contamination of particle-free water and the added nutrients, and decay of phytoplankton  
5 blooms could be the possible reasons for the negative  $\mu$  in dilution experiments. Our measures  
6 to mimic the in situ light and temperature during incubation exclude light and temperature  
7 from the factors for the substantially negative  $\mu$ . Insufficient sampling also unlikely  
8 contributed to the negative  $\mu$  since sufficient mixing was conducted before Chla sampling.  
9 The substantive  $m$  could exclude contamination as the reason for the negative rates, because  
10 contamination could not only cause phytoplankton death, but also less microzooplankton  
11 grazing. We conjecture that phytoplankton community decay may occur and lead to the  
12 negative  $\mu$  at KJ53. The lowest silicate concentration at KJ53 may be related to the negative  $\mu$ .  
13 Why the lowest silicate concentration occurred and to what extent the low nutrient condition  
14 was related to the negative phytoplankton growth is a topic that needs further assessment.

#### 15 **4.1.2 Non-linear feeding responses**

16 Non-linear feeding responses including both the saturated and saturated-increased types  
17 occurred in our dilution experiments. Non-linear responses were usually observed in  
18 eutrophic waters with high prey abundance (Elser and Frees, 1995; Gallegos, 1989; Teixeira  
19 and Figueiras, 2009). Non-linear feeding responses in dilution experiments conducted in the  
20 oligotrophic subtropical Northeast Atlantic in summer have also been reported by Quevedo  
21 and Anadón (2001) and Cáceres et al. (2013). However, the authors did not explain the  
22 underlying reasons for these phenomena. The oligotrophic conditions and low phytoplankton  
23 biomass in their study area were similar to those in the oligotrophic tropical waters of the  
24 SSCS. Teixeira and Figueiras (2009) proposed that changes in the specific phytoplankton  
25 growth rate due to varied nutrient limitation in experimental bottles, in the mortality rate  
26 related to microzooplankton abundance and feeding behavior and even virus infection could  
27 be related to the non-linear responses. As additional nutrients were added in the experiments  
28 bottles, we do not think that nutrient limitation was the factor causing the non-linear  
29 responses in our experiments. Rather we think that the sufficient nutrients added in the

1 experimental bottles led to high phytoplankton abundance in the less diluted bottles.  
2 Microzooplankton may reach a maximum ingestion rate at high food concentration, and the  
3 maximum ingestion rate may remain constant despite further increase in prey abundance,  
4 which is often used to explain the occurrence of saturated feeding responses in dilution  
5 experiments (Gallegos, 1989; Moigis, 2006; Teixeira and Figueiras, 2009), and could explain  
6 those in our experiments. While the occurrence of saturated-increased responses implies that  
7 decrease in the ingestion rate should be occurred to the further increase in food availability.  
8 There is no concluded explanation for the decrease in the ingestion rate. Teixeira and Figueira  
9 (2009) proposed that prey selection by microzooplankton in waters with high and diverse  
10 food abundance may account for the decrease. We consider that nutrient amendment in the  
11 experimental bottles may give rise to relatively higher phytoplankton (food) abundance,  
12 leading to decrease in the ingestion rate and accounting for the occurrence of  
13 saturated-increased responses in our experiments.

#### 14 **4.1.3 Phytoplankton growth and microzooplankton grazing rates in low latitude** 15 **tropical waters**

16 There is no study on microzooplankton grazing in the SSCS, especially in waters around the  
17 Nansha Islands. If any, Chen et al. (2009b) reported an average  $\mu$  of  $0.75 \pm 0.62 \text{ d}^{-1}$  and an  
18 average  $m$  of  $0.65 \pm 0.51 \text{ d}^{-1}$  in the western SCS northwest to the present study waters in  
19 summer. These rates were similar to our results observed during the summer cruise (Table 5).

20 There are few studies on microzooplankton grazing in low latitude tropical waters such as the  
21 SSCS. Landry et al. (1995) reported an average  $\mu$  of  $0.83 \pm 0.42 \text{ d}^{-1}$  and  $m$  of  $0.72 \pm 0.56 \text{ d}^{-1}$   
22 in February–March, and an average  $\mu$  of  $0.98 \pm 0.31 \text{ d}^{-1}$  and  $m$  of  $0.57 \pm 0.17 \text{ d}^{-1}$  in August–  
23 September in the central equatorial Pacific Ocean. These results are similar to ours observed  
24 during the summer cruise. Landry et al. (2011) reported a low average  $\mu$  of  $0.43 \pm 0.14 \text{ d}^{-1}$  and  
25  $m$  of  $0.31 \pm 0.11 \text{ d}^{-1}$  in the high-nutrient-low-chlorophyll (HNLC) equatorial Pacific Ocean.  
26 These rates are similar to the rates observed during the winter cruise in the present study.  
27 Yang et al. (2004) investigated the phytoplankton growth and microzooplankton grazing in  
28 the western Pacific waters with latitudes similar to those of the SSCS. Their reported  $\mu$  and  $m$

1 in summer were 0.35 to 0.75 d<sup>-1</sup>, and 0.51 to 0.67 d<sup>-1</sup>, which are similar to our results  
2 observed during the summer cruise. Landry et al. (1998) showed an average  $\mu$  of 0.5 d<sup>-1</sup> and  
3 an average  $m$  of 0.6 d<sup>-1</sup> at the oligotrophic stations in the subtropical and tropical Arabian Sea.  
4 Caron and Denett (1999) reported the  $m$  of  $0.35 \pm 0.18$  d<sup>-1</sup> and  $0.30 \pm 0.17$  d<sup>-1</sup> during the  
5 northeast monsoon season and spring intermonsoon season in the Arabian Sea, respectively.  
6 The  $m$  were similar to the low  $m$  ( $0.27 \pm 0.13$  d<sup>-1</sup>) observed during the northeast monsoon  
7 season in the present study.

8 The growth and grazing rates of pico-phytoplankton estimated in the present study fall into  
9 the middle range of those rates of the main pico-phytoplankton groups (*Prochlorococcus*,  
10 *Synechococcus* and eukaryotic pico-phytoplankton) reported in previous studies (reviewed  
11 data in Table II in Hirose et al., 2008). Based on cell cycle analysis, Yang and Jiao (2002)  
12 reported the in situ *Prochlorococcus* growth rate of 0.54 d<sup>-1</sup> at the chlorophyll maximum layer  
13 in the SCS in May. This rate is lower than the pico-phytoplankton growth rate observed (at  
14 YS and KJ35) during the summer cruise, but slightly higher than that (0.42 d<sup>-1</sup> on average)  
15 observed during the winter cruise. Lower average growth rate (0.15 d<sup>-1</sup>) of *Prochlorococcus*  
16 in the subtropical and tropical Atlantic has been reported by Zubkov et al. (2000).

#### 17 **4.2 Seasonal variations in phytoplankton growth and microzooplankton grazing**

18 Our results showed pronounced seasonal changes in the phytoplankton growth and  
19 microzooplankton grazing, but not the microzooplankton grazing impact on phytoplankton  
20 ( $m/\mu$ ), in the SCS. Many studies have found pronounced seasonality in the phytoplankton  
21 growth and microzooplankton grazing in temperate and high latitude waters (e.g. Calbet et al.,  
22 2008; Kim et al., 2007; Lawrence and Menden-Deuer, 2012; Loebel and Beusekon, 2008).  
23 Nevertheless, there are few studies on the seasonality of the microzooplankton grazing in  
24 oligotrophic tropical waters. From the perspective of phytoplankton growth, Landry et al.  
25 (2011) reported that  $\mu$  was slightly higher in December than September in the HNLC waters  
26 of the equatorial Pacific, but the rate was not significantly different between periods of the  
27 southwest monsoon and the early northeast monsoon in the Arabian Sea (Landry et al., 1998);  
28 while Caron and Denett (1999) demonstrated that  $\mu$  was approximately twice as high during

1 the northeast monsoon season than the spring intermonsoon period in the Arabian Sea. Chen  
2 et al. (2013) observed that  $\mu$  in surface water of the northern SCS was significantly higher in  
3 the summer than winter. However, the previous studies showed no seasonal variation in  
4 microzooplankton grazing in the HNLC waters of the equatorial Pacific (Landry et al., [1995](#),  
5 2011), the Arabian Sea (Caron and Dennett, 1999; Landry et al., 1998) and oligotrophic  
6 surface waters of the northern SCS (Chen et al., 2013). Significantly lower  $m$  in the summer  
7 than winter at the chlorophyll maximum layer in the northern SCS has been reported by Chen  
8 et al. (2013). From the data published by Quevedo and Anadón (2001), we calculated that the  
9  $\mu$  and  $m$  were higher in the summer ( $0.61 \pm 0.43 \text{ d}^{-1}$  and  $0.49 \pm 0.28 \text{ d}^{-1}$ ) than in the spring  
10 ( $0.33 \pm 0.27 \text{ d}^{-1}$  and  $0.29 \pm 0.18 \text{ d}^{-1}$ ) in the oligotrophic subtropical Northeast Atlantic. Lower  
11 average  $\mu$  and  $m$  for pico-phytoplankton in the winter than summer have been recently  
12 reported in the subtropical East China Sea (Guo et al., 2014). These results are consistent with  
13 the significant seasonal variations in the phytoplankton growth and microzooplankton grazing  
14 in the SSCS in the present study.

#### 15 **4.3 East Asian monsoon influencing seasonal variations in phytoplankton** 16 **growth and microzooplankton grazing by affecting nutrient supply and salinity** 17 **decrease in surface water**

18 Seasonal variations in environmental variables, related to the East Asian monsoon, may  
19 directly and /or indirectly influence phytoplankton growth and microzooplankton grazing.  
20 Significant seasonal changes in a host of environmental variables including salinity, dissolved  
21 inorganic nutrients, and phytoplankton biomass were indeed observed (Table 5). The  
22 correlation between  $\mu$  and  $m$  with the environmental variables indicate that seasonal  
23 variations in the phytoplankton growth and microzooplankton grazing were related to the  
24 contrasting environmental conditions under the influence of the East Asian monsoon (Table  
25 4).

26 Many studies have showed increased  $m$  during the warmest seasons and reduced  $m$  and  $\mu$  in  
27 winter months (e.g. Ara and Hiromi, 2009; Lawrence and Menden-Deuer, 2012). Both the  $\mu$   
28 and  $m$  were positively correlated with temperature in the present study. However, the mean

1 seasonal temperature discrepancy was less than 0.4°C and not significant (independent t-test,  
2  $p = 0.055$ ) (Table 5). We do not think this slightly temperature variation alone could account  
3 for the substantial decrease in the  $\mu$  and  $m$  observed in the northeast monsoon season.  
4 Substantive  $m$  at near freezing temperature in the Arctic Ocean have been reported (Franzè  
5 and Lavrentyev, 2014; Sherr et al., 2013; Sherr et al., 2009). This suggested that the  
6 physiological effect of temperature was ~~less~~ not important for the  $m$  variation in the Arctic  
7 Ocean, ~~rather than~~ let alone in the tropical waters of the SSCS.

8 We think that changes in dissolved inorganic nutrients and other factors associated with SSS  
9 may be the main drivers for the variations in the phytoplankton growth and microzooplankton  
10 grazing observed in the present study. The significantly higher concentration of dissolved  
11 inorganic nutrients such as silicate and phosphate could support the nearly twice as high Chla  
12 concentration during the northeast monsoon season than the summer. The higher  
13 concentrations of silicate, phosphate and Chla are consistent with previous results of  
14 relatively higher dissolved nutrients, phytoplankton biomass and primary production in  
15 surface waters of the SCS in winter (Liu et al., 2002; Ning et al., 2004). It is easy to  
16 understand that the stronger northeast monsoon could increase nutrient supply to the surface  
17 layer by enhancing vertical mixing and basin-scale uplift of nutricline depth (Liu et al., 2002).  
18 However, this kind of nutrient supply in the SSCS could be episodically influenced by  
19 intermittent arrivals of the strong northeast monsoon in the form of strong cold air. Therefore,  
20 we infer that nutrient supply to the surface water under the influence of the northeast  
21 monsoon may stimulate sporadic enhancement in phytoplankton production and biomass.

22 The arrival of strong northeast monsoon may bring not only nutrient supply to the surface  
23 water as a result of enhanced vertical mixing, but also SSS decrease due to heavy frontal  
24 rainfall when the cold air meet the warm and wet local air. A strong rainy season is usually  
25 developed from October to January in the SSCS (Wyrтки, 1961). The remote sensing data  
26 from the Tropical Rainfall Measuring Mission indeed showed that apparently larger rainfall  
27 occurred during the winter than summer (Fig. 5). The large rainfall could dilute and freshen  
28 the surface seawater (as those observed during the winter cruise). In addition, after the  
29 passage of the strong cold air, the winds over the SSCS are always weak. The low-wind

1 condition could facilitate the less saline surface water overlying on the more saline deep water  
2 to form the “freshwater cap” (Zeng et al., 2009). The salinity discrepancy between surface  
3 water and that at 25 m in depth was several times higher during the winter cruise (0.99) than  
4 the summer cruise (0.17) (Table 1 and Table 2), indicating that a freshwater cap formed  
5 during the winter cruise in the SCS.

6 The freshwater cap could enhance water stratification and block nutrient supply to the surface  
7 layer, thus nutrients such as nitrogen in surface water could be depleted by the phytoplankton  
8 community, and lead to nutrient limitation to the growth of the phytoplankton community  
9 with already increased biomass. The high  $\mu/\mu_n$  (approximate to or higher than one) indicated  
10 that phytoplankton growth was ~~only slightly or less~~ even not nutrient-limited during the  
11 summer cruise (Table 1). Similar results in the oligotrophic subtropical Northeast Atlantic  
12 have also been reported (Cáceres et al., 2013; Quevedo and Anadón, 2001). In contrast,  
13 extremely lower concentration of nitrate plus nitrite and severe nutrient limitation indicated  
14 by the lower  $\mu/\mu_n$  ( $0.54 \pm 0.30$ ) were observed during the winter, which may account for part  
15 of the low  $\mu$  (Table 5).

16 ~~Except for impeding phytoplankton accesses to nutrients, other factors associated with the~~  
17 ~~SSS decrease may impact phytoplankton growth. Previous studies showed that salinity played~~  
18 ~~an important role in the pico-phytoplankton distribution in estuaries (e.g. Mitbavkar et al.,~~  
19 ~~2012). *Prochlorococcus* has been found seldom occurred in less saline seawaters (Partensky~~  
20 ~~et al., 1999). Guo et al. (2014) reported that salinity was positively correlated with~~  
21 ~~*Prochlorococcus* abundance in summer, and with abundances of all the main~~  
22 ~~pico-phytoplankton groups (*Prochlorococcus*, *Synechococcus* and eukaryotic~~  
23 ~~pico-phytoplankton) in winter, but was negatively correlated with the growth rates of~~  
24 ~~*Synechococcus* and eukaryotic pico-phytoplankton, across the salinity gradient from the~~  
25 ~~inshore to the offshore regions in the subtropical East China Sea. Dissolved nutrients~~  
26 ~~co-varied with salinity were suggested as the reason for the correlation. This could be true in~~  
27 ~~the reported areas with significant spatial salinity gradients caused by river plume, as low~~  
28 ~~salinity means high nutrients supply from the river plume. The correlation between SSS and~~  
29 ~~dissolved inorganic nutrients in the present study may to some degree support this argument~~

~~(Table 4). In addition, trace metals from wet atmospheric deposition (by rainfall) could be associated with the rainfall-induced SSS decrease, and toxic to the pico-phytoplankton growth (Paytan et al., 2009), and lead to the lower  $\mu$  of pico-phytoplankton than that of the larger sized phytoplankton during the winter.~~

~~However, the positive correlation between phytoplankton growth rates and SSS, but negative correlation of SSS with silicate and phosphate in the present study may also suggest alternative explanation. Salinity has been found the major environmental determinant of microbial community (including the cyanobacteria) composition in the global level (Lozupone and Knight, 2007). Fu and Bell (2003) demonstrated that low salinity was harmful to the growth, Chla content, nitrogen fixation and alkaline phosphatase activity of the cyanobacteria *Trichodesmium*. We speculate that low salinity may also go against the growth of other cyanobacteria such as *Prochlorococcus* and *Synechococcus* in the oligotrophic seawater in the SCS, although there is little (if any) data examining the salinity impact on pico-phytoplankton growth, and thus lead to the lower  $\mu$  of pico-phytoplankton during the winter.~~

The freshwater cap could also impact the microzooplankton grazing indirectly. First, the formation of freshwater cap may inhibit the migration of mesozooplankton (e.g. copepods) into the water with lower salinity (Grindley, 1964) and change the mesozooplankton composition in the water column (Zhou et al., 2015b), which can release the mesozooplankton grazing pressure on ciliates, then through trophic cascades increase the ciliate grazing on nanoflagellates (HNF) (Chen et al., 2012), reducing the abundance of HNF the main grazer on pico-phytoplankton (Safi and Hall, 1999), and releasing the grazing pressure on pico-phytoplankton (Klauschies et al., 2012). Second, as discussed above, the impeding effect of freshwater cap on phytoplankton accesses to nutrients could lead to poor food quality of phytoplankton as prey, and thus reduce the grazing activity of microzooplankton. Both the arguments suggest that the SSS decrease could result in low microzooplankton grazing rate on pico-phytoplankton such as that observed in the winter cruise.

#### 1 **4.4 Monsoon related vertical mixing and biological activity affecting the** 2 **contrasting seasonal shifts of nutrients**

3 Why the seasonal shift of nitrate plus nitrite was different from those of phosphate and silicate  
4 is open to discuss. The seasonal varied pattern and concentrations of phosphate and silicate,  
5 and the summer concentration of nitrate plus nitrite, in surface seawater of the SSCS, were  
6 consistent with the results reported by Ning et al. (2004). They explained that the low  
7 phosphate concentration in surface seawater probably resulted from phytoplankton  
8 consumption and less supplementation from deep water because of the strong thermocline in  
9 summer. Relatively high concentrations of phosphate and silicate (Table 2 and Table 5) could  
10 be supplied from deep water, as a result of vertical mixing caused by the strong northeast  
11 monsoon. However, the nitrate plus nitrite concentration in the present study was more than  
12 one order of magnitude lower in the winter than summer. The nearly undetectable nitrate plus  
13 nitrite concentration in the winter is different from the results reported by Ning et al. (2004),  
14 but similar to the results reported by Chen et al. (1997). Chen et al. (1997) documented that  
15 the concentration of nitrate plus nitrite was undetectable in adjacent waters of the Nansha  
16 Islands in winter, and proposed that nitrogen was the limiting factor for the primary  
17 production.

18 We propose that nutrients in surface waters were influenced by both vertical mixing and  
19 phytoplankton consumption and release. In the summer, the low even depleted concentrations  
20 of phosphate and silicate could be resulted from phytoplankton consumption and less  
21 supplementation from deep water because of the strong thermocline formed in summer. In  
22 addition, the probably high nitrogen fixation in the SCS (Wong et al., 2002) may not only  
23 provide a large input of nitrogen to the surface water, supporting the growth of nitrogen fixers  
24 and other phytoplankton (Foster et al., 2011; Groszkopf et al., 2012; Mulholland and Bronk,  
25 2004) and preventing a depletion of the nitrate plus nitrite in the surface water, but may also  
26 lead to a more severe phosphorus-depleted condition, as nitrogen fixation consumes a large  
27 amount of phosphorus (Dyhrman et al., 2006; Zehr and Paerl, 2008). In the winter, the strong  
28 northeast monsoon could induce vertical mixing and large rainfall, both of them may cause  
29 community decay of nitrogen fixers. The harmful effects of low salinity on *Trichodesmium*

1 growth and nitrogen fixation, and the influence of rainfall on the occurrence of  
2 *Trichodesmium* blooms have been reported (Fu and Bell, 2003; Lugomela et al., 2002). As  
3 discussed in Section 4.3, after the passage of the strong northeast monsoon, nitrogen in the  
4 form of nitrate plus nitrite could be firstly depleted by phytoplankton consumption, leading to  
5 the extremely low concentration of nitrate plus nitrite observed during the winter cruise.

#### 6 **4.5 Decoupling between phytoplankton growth and microzooplankton grazing** 7 **influenced by the winter monsoon**

8 The different relationship between  $\mu$  and  $m$  suggested that coupling between  
9 phytoplankton and microzooplankton also varied between the two seasons. Close coupling  
10 between phytoplankton and microzooplankton grazing indicated by the positive correlation  
11 between  $\mu$  and  $m$  were broadly reported in previous studies (discussion in Zhou et al., 2011).  
12 Our results also showed that the microzooplankton grazing was closely coupled with the  
13 phytoplankton growth in the summer cruise, but was not in the winter cruise. We consider  
14 that the influence of the northeast monsoon could break the coupling between phytoplankton  
15 growth and microzooplankton grazing in winter. Firstly, the comings of strong northeast  
16 monsoon supply nutrients from deep water to the surface by enhancing vertical mixing. This  
17 episodic input of nutrients could break the coupling between phytoplankton and  
18 microzooplankton by stimulating  $\mu$  overwhelming corresponding  $m$  (Irigoien et al., 2005).  
19 Secondly, large rainfall and the resulted SSS decrease may decouple the phytoplankton  
20 (especially the pico-phytoplankton) growth and microzooplankton grazing through directly or  
21 indirectly influencing the phytoplankton growth and microzooplankton grazing as discussed  
22 in Section 4.3.

#### 23 **4.6 Implications of the low microzooplankton grazing impact on phytoplankton**

24 As discussed in Section 4.1.3, our data of the  $\mu$  and  $m$  were similar to most of the previous  
25 results observed in low latitude tropical waters, but the  $m/\mu$  in the SCS was relatively lower  
26 (< 50% on average) than those reported in the previous studies except that a similar value  
27 (49%) in the Arabian Sea during the northeast monsoon season has been reported by Caron  
28 and Denett (1999). Our  $m/\mu$  is also lower than the mean values in most of the global sea

1 regions (Schmoker et al., 2013). The low  $m/\mu$ , i.e. the high growth differential over grazing  
2 indicates low remineralization of organic matter mediated by microzooplankton and mismatch  
3 between the primary production and microzooplankton grazing. the increased importance of  
4 the phytoplankton-mesozooplankton grazing pathway (Landry et al., 1998). As a result,  
5 potentially high vertical biogenic particle fluxes via the formation of phytoplankton  
6 aggregates and consumption of those aggregates by mesozooplankton could occur (Legendre  
7 and Rassoulzadegan, 1996; Schmoker et al., 2013). Higher vertical biogenic particle fluxes in  
8 the prevailing periods of the monsoons than between the monsoons in the SCS was indeed  
9 observed (Wan et al., 2010). Our results suggest that the high growth differential over  
10 microzooplankton grazing may account for part of the high vertical biogenic particle fluxes in  
11 the SCS.

#### 12 **4.7 Size-selective grazing contributes to the pico-phytoplankton dominance in** 13 **the oligotrophic waters of the SCS**

14 Size selectivity of microzooplankton grazing have been proposed in previous studies (e.g.,  
15 Burkill et al., 1987; Froneman and Perissinotto, 1996; Huang et al., 2011; Kuipers and Witte,  
16 1999; Sun et al., 2004), and varied patterns of the size selectivity have been reported. For  
17 example, higher grazing rate on smaller phytoplankton has been reported accounting for the  
18 larger-sized phytoplankton dominance in eutrophic waters (e.g. Strom et al., 2007), but no  
19 such pattern were found in other studies (Lie and Wong, 2010; Safi et al., 2007; Strom and  
20 Fredrickson, 2008; Zhou et al., 2011). In contrast, Zhou et al. (2015a) found that  
21 microzooplankton selectively grazed on nano-phytoplankton (3–20  $\mu\text{m}$ ) in the oligotrophic  
22 waters of the SCS in summer, and proposed that the size-selective grazing on  
23 nano-phytoplankton contributes to the pico-phytoplankton dominance there. Higher  
24 microzooplankton grazing rate on the large-sized phytoplankton ( $> 5 \mu\text{m}$ ) than the smaller  
25 one ( $< 5 \mu\text{m}$ ) has also been recently reported in the oligotrophic subtropical Northeast  
26 Atlantic (Cáceres et al., 2013).

27 The higher RPI (Fig. 3) for and  $m$  (Table 1 and Table 2) on the larger-sized phytoplankton  
28 than pico-phytoplankton suggests that microzooplankton selectively grazed on the

1 larger-sized phytoplankton in the SSCS both in the summer and winter. The size-selective  
2 grazing balanced part of the relative higher growth rate of the larger-sized phytoplankton ( $> 3$   
3  $\mu\text{m}$ ), and led to the nearly equal grazing impact ( $m/\mu$ ) on both the larger-sized phytoplankton  
4 and pico-phytoplankton in the winter (Table 3). As a result, microzooplankton grazing  
5 maintained the pico-phytoplankton dominance in the study waters during the winter.  
6 Therefore, on the basis of the results observed in the present study and those reported by Zhou  
7 et al. (2015a) and Cáceres et al. (2013), we propose that microzooplankton grazing  
8 contributes to the pico-phytoplankton dominance in oligotrophic subtropical and tropical  
9 waters such as that of the SCS.

10

## 11 **5 Conclusions**

12 Significant seasonal variations in  $\mu$  and  $m$  as well as environmental variables under the  
13 influence of the East Asian monsoon were observed in the SSCS. Nutrient supply to the  
14 surface influenced by vertical mixing and SSS decrease related to large rainfall were  
15 considered as the main factors accounting for the significant low  $\mu$  and  $m$ , and the decoupling  
16 between the  $\mu$  and  $m$  in the SSCS in the winter.

17 The  $m/\mu$  did not significantly vary between the two seasons. The low  $m/\mu$  ( $< 50\%$  on average),  
18 i.e. the high growth differential over microzooplankton grazing indicates low remineralization  
19 of organic matter mediated by microzooplankton and ~~the increased importance of the~~  
20 ~~phytoplankton-mesozooplankton-grazing pathway~~ mismatch between the  $\mu$  and  $m$ , and may  
21 account for part of the high vertical biogenic particle fluxes in the prevailing periods of the  
22 monsoons in the SSCS.

23 Significant size-selective grazing on the larger-sized ( $> 3 \mu\text{m}$ ) phytoplankton was observed in  
24 the SSCS both in the summer and winter, which indicates that microzooplankton grazing  
25 contributes to the pico-phytoplankton dominance in the oligotrophic tropical waters of the  
26 SCS.

27

1 **Author contribution**

2 Linbin Zhou designed the experiments and carried them out. Yehui Tan and Liangmin Huang  
3 supervised the projects. Zifeng Hu provided the monthly rainfall data and the Figure 5. Zhixin  
4 Ke provided part of the nutrient data. Linbin Zhou prepared the manuscript with contributions  
5 from all authors.

6

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1 **Table 1** Summary of environmental variables, phytoplankton growth rates with ( $\mu_n$ ,  $d^{-1}$ ) and ( $\mu$ ,  $d^{-1}$ ) without nutrient amendment, and  
 2 microzooplankton grazing rates ( $m$ ,  $d^{-1}$ ) in the southern South China Sea in May–June 2009. SST, surface seawater temperature ( $^{\circ}C$ );  
 3 SSS, surface seawater salinity; 25-T (S) seawater temperature (salinity) at 25 m in depth; Si, silicate ( $\mu\text{mol L}^{-1}$ ); P, phosphate ( $\mu\text{mol}$   
 4  $\text{L}^{-1}$ ); N, nitrate plus nitrite ( $\mu\text{mol L}^{-1}$ ); Chla, chlorophyll *a* ( $\mu\text{g L}^{-1}$ ); n, the number of data points in the linear part;  $R^2$ , the determination  
 5 coefficient of the regression of the linear part; the superscript ‘a’ denotes a saturated feeding response; the superscript ‘b’ denotes a  
 6 saturated-increased feeding response. The significant level ( $p$ ) of regressions when saturated or saturated-increased feeding responses  
 7 occurred was in some occasions  $> 0.05$  owing to the few points included in the regression.

Station	Date	Depth	SST	SSS	25-T	25-S	Si	P	N	Chla	$\mu_n$	$m$	$\mu$	$R^2$	n	$p$	$\mu/\mu_n$	$m/\mu$
KJ28	21-May	2899	29.90	32.90	28.02	33.07	2.0	0.04	0.67	0.087	1.01	0.34	1.09	0.88	6 <sup>a</sup>	0.006	1.08	0.31
KJ35	22-May	3243	29.60	32.41	29.05	32.91	1.6	0.02	0.71	0.086	1.11	0.30	0.85	0.83	4 <sup>a</sup>	0.086	0.77	0.35
YS	23-May	28	29.10	32.77	-	-	0.3	0.07	0.53	0.082	0.74	0.40	0.82	0.50	8	0.052	1.12	0.49
KJ41	25-May	1724	29.93	32.83	28.60	33.25	1.7	0.06	2.26	0.079	1.04	0.57	0.84	0.76	8	0.005	0.80	0.68
KJ47	26-May	468	31.03	32.60	29.63	32.90	1.8	0.04	0.74	0.049	1.10	0.61	0.90	0.87	6 <sup>b</sup>	0.007	0.82	0.67
NS25	28-May	2025	30.12	32.72	29.65	32.74	6.8	0.06	1.46	0.036	1.16	0.71	1.30	0.66	8	0.015	1.12	0.55
NS19	30-May	2057	30.28	32.94	29.18	33.20	1.0	0.04	1.02	0.050	1.51	0.79	1.20	0.61	4 <sup>b</sup>	0.216	0.79	0.66
KJ39	31-May	2001	29.60	32.89	29.11	33.03	9.0	0.01	0.61	0.050	1.50	0.49	0.83	0.85	8	0.001	0.55	0.59
NS16	31-May	1589	29.88	32.94	29.77	33.01	5.2	0.00	0.82	0.044	1.00	0.46	1.04	0.56	8	0.033	1.05	0.44
KJ65	02-Jun	2999	28.95	32.82	28.78	32.90	3.4	0.05	1.46	0.096	0.64	0.21	0.19	0.90	6 <sup>b</sup>	0.004	0.29	1.13
KJ69	05-Jun	1522	29.02	32.86	28.93	32.93	6.5	0.03	0.49	0.057	2.30	0.66	1.50	0.99	8	<0.001	0.65	0.44
KJ73	06-Jun	1785	29.50	32.84	29.24	32.90	6.4	0.05	0.80	0.045	0.78	0.48	0.87	0.30	4 <sup>b</sup>	0.450	1.11	0.55
NS12	07-Jun	920	29.26	33.05	28.90	33.12	4.6	0.01	0.86	0.062	1.19	0.39	0.88	0.82	6 <sup>b</sup>	0.013	0.73	0.45
KJ32	09-Jun	4229	28.84	32.97	28.85	33.00	3.2	0.11	0.20	0.105	0.54	0.09	0.54	0.99	4 <sup>a</sup>	0.006	0.99	0.16

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1 **Table 2** Summary of environmental variables, phytoplankton growth rates with ( $\mu_n$ ,  $d^{-1}$ ) and without ( $\mu$ ,  $d^{-1}$ ) nutrient amendment, and  
 2 microzooplankton grazing rates ( $m$ ,  $d^{-1}$ ) in the southern South China Sea in November 2010. SST, surface seawater temperature ( $^{\circ}C$ );  
 3 SSS, surface seawater salinity; 25-T (S) seawater temperature (salinity) at 25 m in depth; Si, silicate ( $\mu mol L^{-1}$ ); P, phosphate ( $\mu mol$   
 4  $L^{-1}$ ); N, nitrate plus nitrite ( $\mu mol L^{-1}$ ); Chla, chlorophyll *a* ( $\mu g L^{-1}$ ); n, the number of data points in the linear part;  $R^2$ , the determination  
 5 coefficient of the regression of the linear part; the superscript ‘a’ denotes a saturated feeding response; the superscript ‘b’ denotes a  
 6 saturated-increased feeding response. The significant level ( $p$ ) of regressions when saturated-increased feeding responses occurred was  
 7 in some occasions  $> 0.05$  owing to the few points included in the regression.

8

Station	Date	Depth	SST	SSS	25-T	25-S	Si	P	N	> 3 $\mu m$ Chla	< 3 $\mu m$ Chla	$\mu_n$	$m$	$\mu$	$R^2$	n	$p$	$\mu/\mu_n$	$m/\mu$
KJ28	09-Nov	2538	28.89	32.35	28.74	33.33	8.4	0.37	0.03	0.013	0.120	0.66	0.17	0.45	0.77	8	0.009	0.69	0.38
KJ32	09-Nov	4229	29.07	32.20	28.93	33.50	6.5	0.36	0.02	0.024	0.085	1.30	0.16	0.74	0.37	8	0.108	0.57	0.22
KJ35	10-Nov	2903	28.97	31.86	28.05	32.15	5.9	0.41	0.02	0.013	0.084	1.28	0.43	0.96	0.67	6	0.047	0.75	0.45
KJ39	11-Nov	1996	29.22	31.70	29.41	33.11	20.2	0.34	0.04	0.015	0.091	0.77	0.26	0.28	0.89	4 <sup>b</sup>	0.059	0.37	0.92
KJ42	12-Nov	1460	29.62	31.46	29.32	32.66	7.3	0.36	0.02	0.017	0.088	0.39	0.13	0.50	0.38	4 <sup>b</sup>	0.383	1.27	0.25
KJ47	13-Nov	511	29.45	32.31	29.33	32.36	9.7	0.28	0.07	0.037	0.121	1.84	0.46	0.60	0.12	6	0.497	0.33	0.77
KJ50	14-Nov	1259	29.22	31.69	29.18	32.98	6.0	0.36	0.02	0.020	0.069	0.90	0.13	0.43	0.94	4 <sup>b</sup>	0.030	0.48	0.30
KJ53	14-Nov	145	29.62	32.06	28.92	33.20	3.8	0.36	0.07	0.017	0.050	-2.03	1.01	-2.06	0.65	8	0.015	-	-
KJ65	16-Nov	2100	29.26	31.83	29.27	32.93	6.6	0.36	0.02	0.014	0.077	0.97	0.33	0.28	0.52	6	0.106	0.29	1.15
KJ73	18-Nov	1672	29.42	31.72	29.50	32.84	4.4	0.34	0.07	0.017	0.077	0.87	0.34	0.57	0.94	4 <sup>a</sup>	0.030	0.66	0.59

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1 **Table 3** Comparison of phytoplankton growth and mortality rates between two size fractions in  
 2 the southern South China Sea in November 2010.  $\mu_n$ , phytoplankton growth rates with nutrient  
 3 amendment ( $d^{-1}$ );  $\mu$ , phytoplankton growth rates without nutrient amendment ( $d^{-1}$ );  $m$ ,  
 4 microzooplankton grazing rates or phytoplankton mortality rates caused by microzooplankton  
 5 grazing ( $d^{-1}$ ); the superscript ‘a’ denotes a saturated feeding response; the superscript ‘b’ denotes  
 6 a saturated-increased feeding response. The significant level ( $p$ ) of regressions when saturated or  
 7 saturated-increased feeding responses occurred was in some occasions  $> 0.05$  owing to the few  
 8 points included in the regression.

9

Size fraction	Station	$\mu_n$	$m$	$\mu$	$R^2$	n	$p$	$\mu/\mu_n$	$m/\mu$
< 3 $\mu m$	KJ28	0.57	0.15	0.39	0.57	8	0.050	0.69	0.37
	KJ32	1.29	0.16	0.78	0.23	8	0.231	0.60	0.21
	KJ35	1.30	0.50	1.01	0.65	6	0.052	0.78	0.50
	KJ39	0.50	0.12	0.05	0.89	4 <sup>a</sup>	0.058	0.10	2.42
	KJ42	0.26	0.05	0.33	0.04	4 <sup>b</sup>	0.791	1.24	0.16
	KJ47	1.27	0.10	0.18	0.00	4 <sup>a</sup>	0.958	0.14	0.55
	KJ50	0.73	0.01	0.32	0.96	4 <sup>b</sup>	0.020	0.43	0.03
	KJ53	-2.64	0.89	-2.55	0.59	8	0.027	0.96	-0.35
	KJ65	0.85	0.29	0.15	0.42	6	0.167	0.18	1.88
	KJ73	0.80	0.39	0.58	0.94	6 <sup>a</sup>	0.001	0.73	0.67
> 3 $\mu m$	KJ28	1.20	0.22	0.77	0.68	8	0.012	0.64	0.29
	KJ32	1.34	0.17	0.62	0.65	8	0.015	0.46	0.28
	KJ35	1.14	0.09	0.62	0.26	8	0.192	0.54	0.14
	KJ39	1.71	1.31	1.76	0.86	4 <sup>b</sup>	0.075	1.03	0.75
	KJ42	0.89	0.38	1.14	0.23	4 <sup>b</sup>	0.520	1.28	0.34
	KJ47	2.32	0.45	0.69	0.14	8	0.365	0.30	0.65
	KJ50	1.34	0.68	0.97	0.91	4 <sup>a</sup>	0.046	0.72	0.70
	KJ53	-1.17	1.10	-1.39	0.65	8	0.016	1.18	-0.79
	KJ65	1.23	0.22	0.56	0.16	8	0.328	0.46	0.40
	KJ73	1.03	0.35	0.77	0.92	4 <sup>b</sup>	0.041	0.75	0.46

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11

1 **Table 4** Pearson correlation analyses between dilution experimental parameters and  
 2 environmental variables.  $\mu$ , phytoplankton growth rates without nutrient amendment;  $\mu_n$ ,  
 3 phytoplankton growth rates with nutrient amendment;  $m$ , microzooplankton grazing rates; SST,  
 4 surface seawater temperature; SSS, surface seawater salinity; Si, silicate; P, phosphate; N, nitrate  
 5 plus nitrite; Chla, chlorophyll *a*

6

	$\mu$	$m$	SST	SSS	Si	P	N	Chla	$m/\mu$	$\mu/\mu_n$	$\mu-m$
$\mu$	1	.762**	.425*	.547**	-.348	-.570**	.369	-.646**	-.356	.496*	.827**
$m$		1	.617**	.477*	-.206	-.536**	.539**	-.660**	.252	.163	.266
SST			1	.225	-.289	-.426*	.450*	-.542**	.093	.332	.098
SSS				1	-.465*	-.893**	.649**	-.519*	-.061	.293	.396
Si					1	.484*	-.433*	.304	.239	-.406	-.336
P						1	-.721**	.690**	.002	-.357	-.383
N							1	-.541**	.246	.221	.079
Chla								1	-.007	-.404	-.389
$m/\mu$									1	-.597**	-.748**
$\mu/\mu_n$										1	.596**
$\mu-m$											1

7 \*\*, Significant correlation at the level of 0.01; \*, Significant correlation at the level of 0.05.

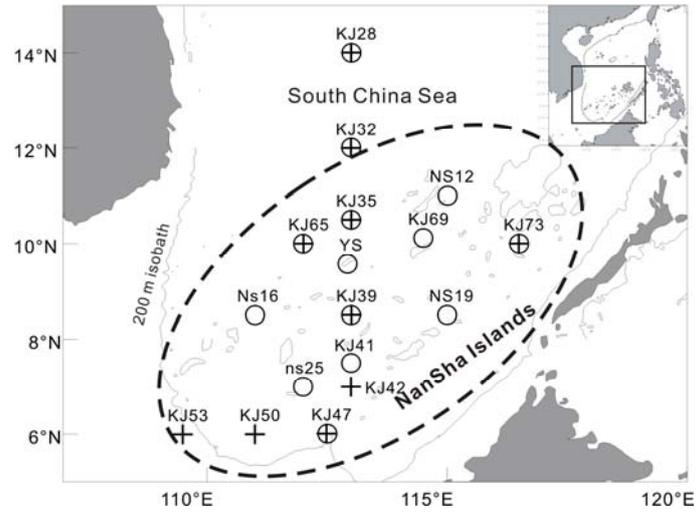
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1 **Table 5** Comparisons of environmental variables, and phytoplankton growth and microzooplankton grazing between May–June 2009  
 2 and November 2010 in the southern South China Sea. SST, surface seawater temperature ( $^{\circ}\text{C}$ ); SSS, surface seawater salinity; Si,  
 3 silicate ( $\mu\text{mol L}^{-1}$ ); P, phosphate ( $\mu\text{mol L}^{-1}$ ); N, nitrate plus nitrite ( $\mu\text{mol L}^{-1}$ ); Chla, chlorophyll *a* ( $\mu\text{g L}^{-1}$ );  $\mu_n$ , phytoplankton growth  
 4 rates with nutrient amendment ( $\text{d}^{-1}$ );  $\mu$ , phytoplankton growth rates without nutrient amendment ( $\text{d}^{-1}$ ); *m*, microzooplankton grazing  
 5 rates ( $\text{d}^{-1}$ ); *p*, the significant level. The mean ratio values of  $\mu/\mu_n$  and  $m/\mu$  were compared based on the Mann-Whitney test, while the  
 6 other mean values were compared based on the independent t-test.

Season	SST	SSS	Si	P	N	Chla	$\mu/\mu_n$	<i>m</i>	$\mu$	<i>m</i> / $\mu$
Summer	29.64 ± 0.60	32.82 ± 0.16	3.8 ± 2.6	0.04 ± 0.03	0.90 ± 0.52	0.066 ± 0.022	0.80 ± 0.25	0.46 ± 0.20	0.92 ± 0.32	0.49 ± 0.23
Winter	29.27 ± 0.25	31.92 ± 0.30	7.9 ± 4.7	0.35 ± 0.03	0.04 ± 0.02	0.104 ± 0.024	0.54 ± 0.30	0.27 ± 0.13	0.54 ± 0.22	0.48 ± 0.33
<i>p</i>	0.055	< 0.01	< 0.05	< 0.01	< 0.01	< 0.01	< 0.05	< 0.01	< 0.01	> 0.1

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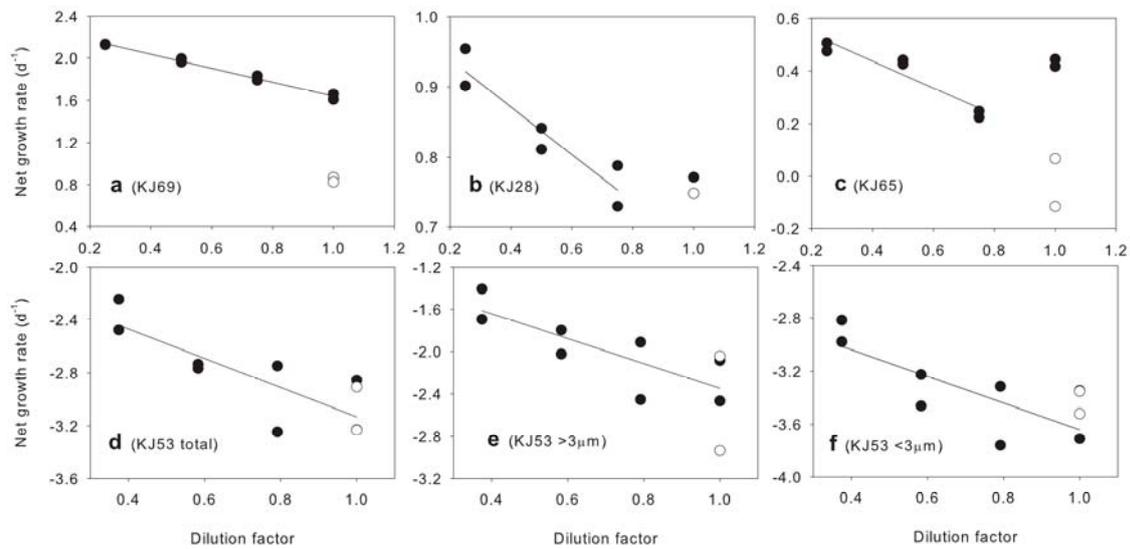
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2

3 **Figure 1.** Stations for dilution experiments in the southern South China Sea during May to June  
4 2009 and November 2010. The open circle indicates experimental stations during May to June  
5 2009; the cross indicates experimental stations during November 2010.

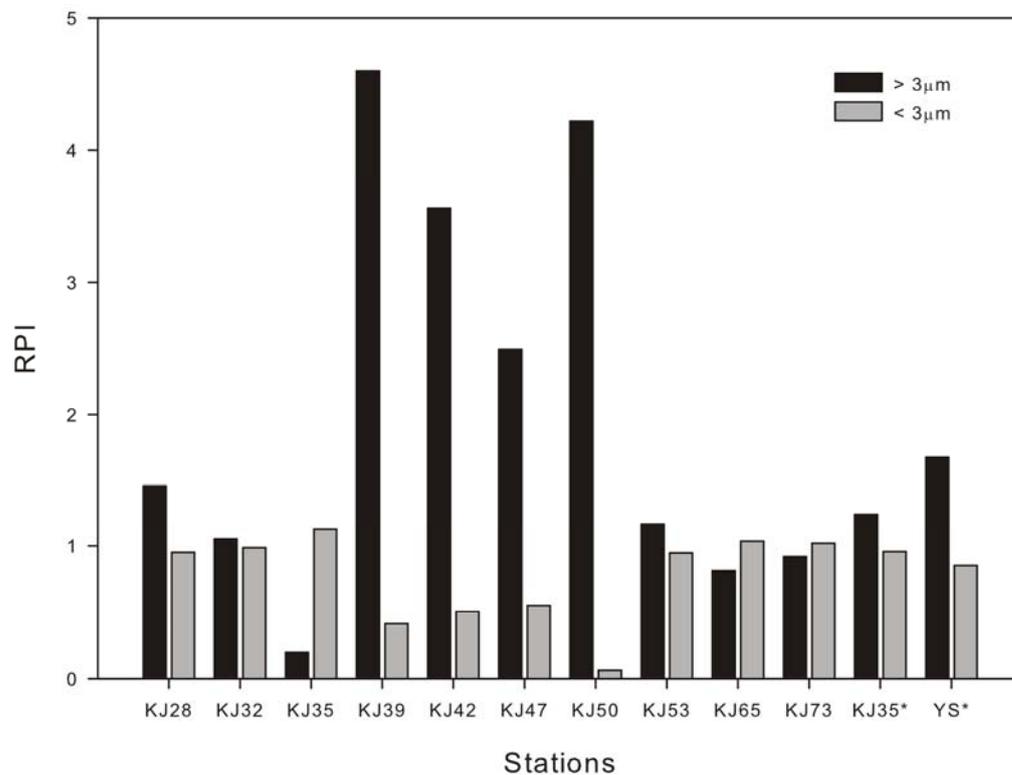
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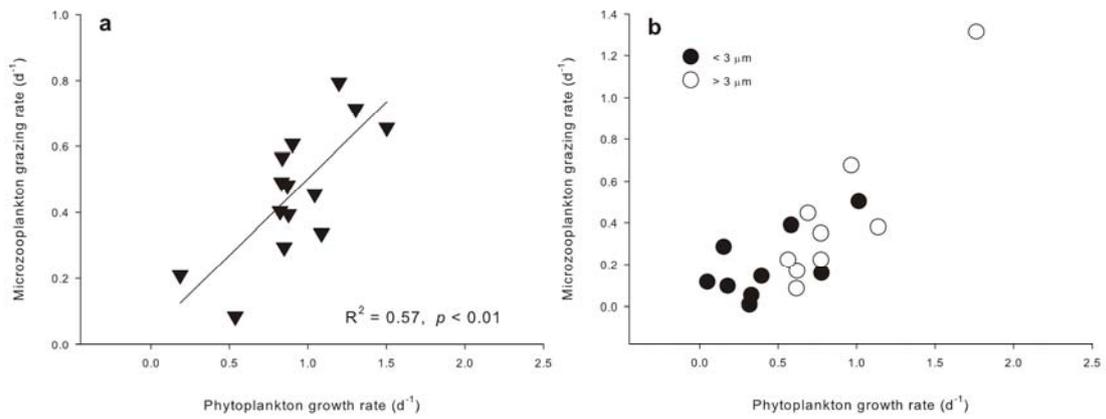
2 **Figure 2.** Examples of dilution experiment plots of net phytoplankton growth rate as a function  
 3 of dilution factor. (a) Linear feeding responses in the dilution experiment at KJ69; (b) saturated  
 4 feeding responses in the dilution experiment at KJ28; (c) saturated-increasing feeding responses  
 5 in the dilution experiment at KJ65; (d–f) negative phytoplankton growth rates at KJ53, (d) for  
 6 total phytoplankton, (e) for the size fraction > 3 μm and (f) for the size fraction < 3 μm; the black  
 7 circle indicates experimental bottles enriched with addition nutrients; the open circle indicates  
 8 experimental bottles without nutrient amendment.

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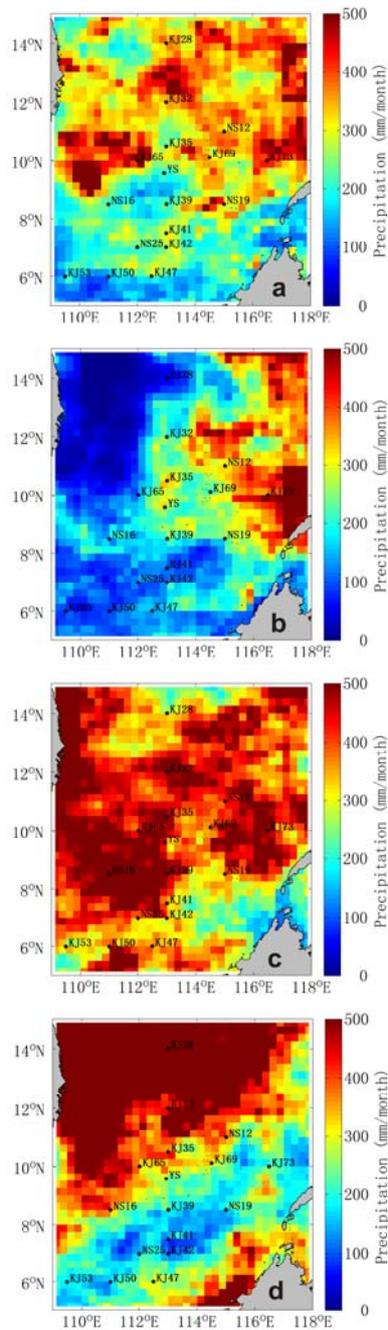


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 2 **Figure 3.** Relative preference index (RPI) for size-fractionated phytoplankton. > 3 μm, size  
 3 fraction larger than 3 μm; < 3 μm, size fraction smaller than 3 μm; the asterisk indicates  
 4 experiments conducted in May 2009, others were conducted in November 2010. RPI > 1  
 5 indicates positive selection and vice versa.

6



1  
 2 **Figure 4.** Correlation between phytoplankton growth and microzooplankton grazing rates. **(a)**  
 3 May to June 2009; **(b)** November 2010; > 3 μm, size fraction larger than 3 μm; < 3 μm, size  
 4 fraction smaller than 3 μm.  
 5



1

2 **Figure 5.** Monthly rainfall in the southern South China Sea estimated on the basis of data from  
 3 the Tropical Rainfall Measuring Mission. (a) May 2009; (b) June 2009; (c) October 2010; (d)  
 4 November 2010.

5