

# **Iron encrustations on filamentous algae colonized by *Gallionella*-related bacteria in a metal-polluted freshwater stream**

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1 **Abstract**

2 Filamentous macroscopic algae were observed in slightly acidic to circumneutral (pH 5.9~6.5)  
3 metal-rich stream water that leaked out from a former uranium-mining district (Ronneburg,  
4 Germany). These algae differ in color and morphology and were encrusted with Fe-deposits. To  
5 elucidate the potential interaction with Fe(II)-oxidizing bacteria (FeOB), we collected algal  
6 samples at three time points during summer 2013 and studied the algae-bacteria-mineral  
7 compositions via confocal laser scanning microscopy (CLSM), scanning electron microscopy  
8 (SEM), Fourier transform infrared (FTIR) spectra, and a 16S and 18S rRNA gene based bacterial  
9 and algae community analysis. Surprisingly, sequencing analysis of 18S rRNA gene regions of  
10 green and brown algae revealed high homologies with the freshwater algae *Tribonema*  
11 (99.9~100%). CLSM imaging indicates a loss of active chloroplasts in the algae cells, which  
12 may be responsible for the change in color in *Tribonema*. Fe(III)-precipitates on algal cells  
13 identified as ferrihydrite and schwertmannite by FTIR were associated with microbes and  
14 extracellular polymeric substances (EPS)-like glycoconjugates. SEM imaging revealed that while  
15 the green algae were fully encrusted with Fe-precipitates, the brown algae often exhibited  
16 discontinuous series of precipitates. This pattern was likely due to the intercalary growth of algal  
17 filaments which allowed them to avoid detrimental encrustation. 16S rRNA gene targeted studies  
18 revealed that *Gallionella*-related FeOB dominated the bacterial RNA and DNA communities  
19 (70-97% and 63-96%, respectively) suggesting their capacity to compete with the abiotic Fe-  
20 oxidation under the putative oxygen-saturated conditions that occur in association with  
21 photosynthetic algae. Quantitative PCR revealed even higher *Gallionella*-related 16S rRNA gene  
22 copy numbers on the surface of green algae compared to the brown algae. The latter harbored a  
23 higher microbial diversity, including some putative predators of algae. A loss of chloroplasts in

24 the brown algae could have led to lower photosynthetic activities and reduced EPS production  
25 which is known to affect predator colonization. Collectively, our results suggest the coexistence  
26 of oxygen-generating algae *Tribonema* sp. and strictly microaerophilic neutrophilic FeOB in a  
27 heavy metal-rich environment.

## 28 **1. Introduction**

29 Algae are known to inhabit all freshwater ecosystems including rivers, streams, lakes and even  
30 small water volumes present in pitcher plants (Stevenson et al., 1996; Cantonati and Lowe, 2014;  
31 Gebühr et al., 2006). Macroscopic algae often bloom rapidly in rivers and in small freshwater  
32 streams, such as groundwater effluents (Stevenson et al., 1996), through germination of spores,  
33 vegetative growth and reproduction (Transeau, 1916). As primary producers, these algae provide  
34 benefits for other organisms by supplying them with organic matter and oxygen via  
35 photosynthesis and are often surrounded by associated microbes (Haack and McFeters, 1982;  
36 Geesey et al., 1978; Cole, 1982; Azam, 1998). Unicellular and multicellular algae can produce  
37 polysaccharides like extracellular polymeric substances (EPS) as a shunt for carbon produced in  
38 excess during photosynthesis (Wotton, 2004; Liu and Buskey, 2000). Due to these functions,  
39 algae likely affect the activities of co-existing microbes and play important roles in microbial  
40 ecology in streams.

41 Some algal species have been detected in metal-polluted streams, such as hot spring effluents  
42 (Wiegert and Mitchell, 1973) and mining-impacted sites (Reed and Gadd, 1989; Warner, 1971).  
43 These algae are known to be tolerant or resistant to high concentration of metals such as Zn, Cu,  
44 Cd, Pb, Fe, and As (Reed and Gadd, 1989; Foster, 1977, 1982) and some are capable of  
45 accumulating metals (Fisher et al., 1998; Yu et al., 1999; Greene et al., 1987) which makes them  
46 ideal candidates for bio-remediation of metal-polluted sites (Yu et al., 1999; Malik, 2004). Green  
47 algae, such as *Ulothrix*, *Microspora*, *Klebsormidium*, and *Tribonema*, occur in acid mine  
48 drainage (AMD)-impacted sites (Warner, 1971; Winterbourn et al., 2000; Das et al., 2009),  
49 sometimes forming heterogeneous streamer communities (Rowe et al., 2007). Although some of

50 these algae show iron ochre depositions, their interactions with Fe(II)-oxidizing bacteria are not  
51 well characterized.

52 A group of prokaryotes called Fe(II)-oxidizing bacteria (FeOB) mediates the oxidation of Fe(II)  
53 to Fe(III) to conserve energy for growth (Colmer and Hinkle, 1947; Hanert, 2006). Most FeOB  
54 are autotrophs (Johnson and Hallberg, 2009; Kappler and Straub, 2005). Biogenic Fe(III)  
55 subsequently hydrolyzes and precipitates from solution forming various Fe(III)-oxides when the  
56 pH exceeds 2 (Johnson et al., 2014). Aerobic acidophilic Fe(II)-oxidizers are the main drivers of  
57 Fe(II)-oxidation in acidic and iron-rich freshwater environments due to low rates of chemical  
58 Fe(II)-oxidation under acidic conditions (Leduc and Ferroni, 1994; Hallberg et al., 2006; Tyson  
59 et al., 2004; López-Archilla et al., 2001; Senko et al., 2008; Kozubal et al., 2012). In contrast,  
60 neutrophilic FeOB, such as *Gallionella* spp., *Sideroxydans* spp., or *Leptothrix* spp., have to  
61 compete with a rapid chemical Fe(II)-oxidation at circumneutral pH and thus often inhabit oxic-  
62 anoxic transition zones, such as sediment-water surfaces (Emerson and Moyer, 1997; Peine et al.,  
63 2000; Hedrich et al., 2011b) or the rhizosphere of wetland plants, where the plant roots leak  
64 oxygen and FeOB deposit Fe-minerals (known as 'Fe-plaques') on plant root surfaces (Neubauer  
65 et al., 2002; Johnsongreen and Crowder, 1991; Emerson et al., 1999). *Gallionella* spp. are  
66 chemolithoautotrophs that prefer microoxic conditions (Emerson and Weiss, 2004; Lüdecke et  
67 al., 2010).

68 We observed macroscopic streamer-forming algae in slightly acidic to circumneutral (pH  
69 5.9~6.5), metal-rich stream water flowing out of passively flooded abandoned underground mine  
70 shafts in the former Ronneburg uranium mining district in Germany. This seeping groundwater  
71 creates new streams and iron-rich terraces at an adjacent drainage creek bank. The filamentous  
72 algae present during the summer months differed mainly in color, but all types showed iron

73 ochre deposits. Since high abundances of *Gallionella*-related FeOB were detected in the seeping  
74 water and the drainage creek in previous studies (Fabisch et al., 2013, 2015), potential  
75 interactions between these neutrophilic FeOB and the streamer-forming algae communities were  
76 suggested.

77 Few studies have addressed the relationship between Fe(II)-oxidation and algae. A previous  
78 study reported that oxygen production by cyanobacteria appeared to control Fe(II)-oxidation in  
79 iron-rich microbial mats at Chocolate Pots in Yellowstone despite co-existence of anoxygenic  
80 photosynthetic FeOB (Trouwborst et al., 2007), but there was no evidence of biogenic Fe(II)-  
81 oxidation by chemolithotrophic neutrophilic FeOB. Another study examining a bicarbonate  
82 Fe(II)-rich spring in the Swiss Alps showed the co-existence but physical separation of  
83 cyanobacteria and *Gallionellaceae* (Hegler et al., 2012). Since the presence and activity of  
84 neutrophilic FeOB close to oxygen-generating photosynthetic organisms has not been  
85 documented, we applied different microscopic techniques to localize the Fe-minerals and  
86 microorganisms on the algal surfaces and compared the bacterial community structure of  
87 different algal samples to learn more about these multi-species interactions in metal-polluted  
88 environments.

89

## 90 **2. Materials and Methods**

### 91 **2.1. Field site and sampling**

92 Algal samples were taken in the outflow water in the former Ronneburg uranium-mining district  
93 (Thuringia, Germany) in 2013. This district in eastern Germany was one of the largest uranium  
94 mining operations in the world which produced 113,000 metric tons of uranium primarily  
95 through heap-leaching with sulfuric acid between 1945 and German reunification in 1990. After

106 the mines were closed, the open pit was filled with waste rock from the leaching heaps to prevent  
107 further acid mine drainage (AMD). The underground mines were flooded and treated with alkali  
108 to buffer the water to a more neutral pH. The mine water outflow began in 2010 when the water  
109 table rose and contaminated water from the underground mine reached the surface of  
110 surrounding grassland. The mine water outflow flowed 20 m down a hillside into the creek (Fig.  
111 1) where red-orange terraces enriched with the Fe-oxyhydroxides goethite and ferrihydrite  
112 formed (Johnson et al., 2014; Fabisch et al., 2015).

113 We sampled algae of green and brown color in July, August and September from four different  
114 sites beginning at the outflow water (site O) and three sites further downstream (A, B, C) which  
115 were separated from O by some artificial impoundments; the distance between A and C was 8.8  
116 m (Fig. 1). In July 2013, we could not reach site O because it was fenced due to construction  
117 work. Chemical parameters of water (pH, temperature, Eh, and oxygen concentration) were  
118 measured in situ at every sampling time, using respective electrodes and meters (Mettler Toledo;  
119 WTW, Switzerland). In addition, water collected from each site was filtered with 0.45  $\mu\text{m}$  poly  
120 vinylidene fluoride (PVDF) and acidified with HCl or  $\text{HNO}_3$  on site and stored at 4°C until the  
121 measurements of metals, sulfate, and organic carbon (DOC) concentrations. Algae and sediment  
122 samples were taken from the stream with a sterilized spatula and stored at 4°C for microscopic  
123 analyses or at -80°C for molecular biological experiments, respectively.

## 114 **2.2. Geochemical characterization of the stream**

115 Concentration of Fe(II) in water was detected using the phenanthroline method (Tamura et al.,  
116 1974) and total Fe was determined following the addition of ascorbic acid (0.6% final  
117 concentration). Sulfate concentration was determined using the barium chloride method  
118 (Tabatabai, 1974). DOC in water was measured by catalytic combustion oxidation using TOC

119 analyzer (TOC-V CPN, Shimadzu, Japan). Dissolved metals (Fe, Mn, Ni, and U) in stream water  
120 were measured using inductively coupled mass spectrometry (ICP-MS; X-Series II, Quadrupol,  
121 Thermo Electron, Germany). Metals which accumulated on the sediments and the algae were  
122 determined by ICP-MS and ICP-optical emission spectrometry (ICP-OES, 725ES, Varian,  
123 Germany) after digestion. The algae sample taken at site C in August 2013 and stored at 4°C was  
124 washed with deionized water on a petri dish to remove big sediment particles, then followed by  
125 drying (200°C, overnight), grinding and microwave digestion (Mars XPress, CEM, Germany)  
126 using HNO<sub>3</sub> for ICP-MS/OES measurements. The sediment samples taken at each sampling site  
127 were also dried and ground, and then 0.1-0.5 g of sediments were digested using 2 ml HNO<sub>3</sub>, 3  
128 ml HF, and 3 ml HClO<sub>4</sub> for ICP-MS/OES measurements.

### 129 **2.3. Observation of algae under light microscope**

130 The fresh algal samples were observed on the same day as sampling under light microscope  
131 (Axioplan, Zeiss, Germany). Small pieces (~5 mm) of algal bundles were picked, placed on a  
132 glass slide with small amount of stream water, and then covered with a glass coverslip.  
133 Microscopic images in bright field were taken with digital camera ProgRes CS (Jenoptik,  
134 Germany).

### 135 **2.4. CLSM imaging**

136 The algal samples collected in September were examined by confocal laser scanning microscopy  
137 (CLSM) using a TCS SP5X (Leica, Germany). The upright microscope was equipped with a  
138 white laser source and controlled by the software LAS AF version 2.4.1. Samples were mounted  
139 in a 0.5 µm deep CoverWell™ (Lifetechnologies) chamber and examined with a 63× NA 1.2  
140 water immersion lens. Algal-associated bacteria were stained with Syto9, a nucleic acid specific  
141 fluorochrome. Fluorescently labelled lectin (AAL-Alexa448, Linaris), which preferentially binds

142 to fucose linked ( $\alpha$ -1, 6) to *N*-acetylglucosamine or to fucose linked ( $\alpha$ -1, 3) to *N*-  
143 acetyllactosamine related structures and can be applied for detection of algal cell walls  
144 (Sengbusch and Müller, 1983) and the microbial EPS complex (Neu et al., 2001) was used to  
145 stain and detect glycoconjugates. The recording parameters were as follows: excitation at laser  
146 lines 488, 568, 633 nm; emission recorded at 483-493 (reflection), 500-550 (Syto9), 580-620  
147 (possible autofluorescence), 650-720 (chlorophyll A). Optical sections were collected in the Z-  
148 direction with a step of 1  $\mu$ m. Images were deconvolved using the option 'classic maximum  
149 likelihood estimation' from Huygens version 14.06 (SVI). Lastly, image data sets were projected  
150 by Imaris version 7.7.2 (Bitplane).

## 151 **2.5. SEM-EDX**

152 Scanning electron microscopy (SEM) was used to study the morphology of mineral precipitates  
153 on algal surfaces. Droplets of sample suspensions were placed on silicon wafers and subjected to  
154 air drying. High-resolution secondary electron (SE) images and energy dispersive X-ray  
155 spectroscopy (EDX) were taken with an ULTRA plus field emission scanning electron  
156 microscope (Zeiss).

## 157 **2.6. FTIR measurement for mineral precipitates on algae**

158 Fourier transform infrared (FTIR) spectra of algae encrusted with Fe-minerals were recorded  
159 using a Nicolet iS10 spectrometer (Thermo Fisher Scientific, Dreieich, Germany). Mortared  
160 samples were mixed with KBr (FTIR grade, Merck, Darmstadt, Germany) at a ratio of 1:100 and  
161 pressed into pellets. The pellets were studied in transmission mode in the mid-infrared range  
162 between 4000 and 400  $\text{cm}^{-1}$  for a total of 16 scans at a resolution of 4  $\text{cm}^{-1}$ . Spectra were baseline  
163 corrected by subtracting a straight line running between the two minima of each spectrum and  
164 normalized by dividing each point by the spectrum's maximum.

## 165 **2.7. Total nucleic acids extraction from algae-microbial communities**

166 Total nucleic acids of algae-microbial communities were extracted from ~1.4 g wet weight of  
167 algal bundle via bead beating in NaPO<sub>4</sub> buffer (pH 8.0) with TNS solution (500 mM Tris-HCl  
168 pH 8.0, 100 mM NaCl, 10% SDS wt/vol). The supernatant was taken after centrifugation,  
169 followed by extraction with equal volumes of phenol-chloroform-isoamyl alcohol [PCI, 25:24:1  
170 (vol:vol:vol), AppliChem] and chloroform-isoamyl alcohol [CI, 24:1 (vol:vol), AppliChem].  
171 Nucleic acids were precipitated with two volumes of polyethylene glycol (PEG) by  
172 centrifugation at 20,000 g and 4°C for 90 min. The pellets were washed with ice-cold 70%  
173 ethanol and suspended in 50 µl elution buffer (EB, Qiagen).

## 174 **2.8. 18S rRNA gene-based identification of algal species**

175 The 18S rRNA gene region of the DNA extracted from algae-microbial communities was  
176 amplified by PCR employing the universal primer pair Euk20F/Euk1179R (Euringer and  
177 Lueders, 2008) or the *Chlorophyta*-targeting primer pair P45/P47 (Dorigo et al., 2002). The PCR  
178 reactions using both primer pairs were as follows: initial denaturing at 94°C for 5 min, 25-30  
179 cycles of denaturing at 94°C for 30 s, annealing at 57°C for 30 s, and extension at 72°C for 90 s,  
180 and followed by final extension at 72°C for 10 min. Amplified products were purified through a  
181 spin column (NucleoSpin Gel and PCR Clean-up, Macherey-Nagel, Germany) and sequenced  
182 using Sanger technology (Macrogen Europe, Amsterdam, The Netherlands). Sequences were  
183 processed using Geneious 4.6.1 for trimming and assembling, followed by the BLAST homology  
184 search.

## 185 **2.9. Quantitative PCR**

186 Quantitative PCR was performed to elucidate the 16S rRNA gene copy numbers of *Gallionella*  
187 colonizing the algae surface using 16S rRNA gene-targeted primers specific for *Gallionella* spp.

188 (Gal122F, 5'-ATA TCG GAA CAT ATC CGG AAG T -3'; Gal384R, 5'- GGT ATG GCT GGA  
189 TCA GGC -3') (Heinzel et al., 2009). Aliquots of 1.25 ng DNA were used in triplicate as the  
190 template for qPCR using the Mx3000P real-time PCR system (Agilent, USA) and Maxima  
191 SYBR Green qPCR Mastermix (Fermentas, Canada). Standard curves were prepared by serial  
192 dilution of plasmid DNA containing the cloned 16S rRNA gene sequence of *Gallionella*  
193 (accession no. JX855939). Melting curve analysis was used to confirm the specificities of the  
194 qPCR products. PCR grade water and TE buffer were included as non-template controls.  
195 Detailed qPCR conditions were described by Fabisch et al. (Fabisch et al., 2013).

## 196 **2.10. Amplicon pyrosequencing**

197 16S rRNA gene-targeted amplicon pyrosequencing was performed to reveal the population  
198 structures of bacteria on the algae. To determine the bacterial community composition based on  
199 RNA, cDNA samples were prepared as follows: 3.3-6.0 µg of total nucleic acids extracted from  
200 algae-microbial communities were treated with DNase using TURBO DNA-*free*<sup>TM</sup> Kit (Ambion,  
201 USA) to remove all DNA, and then 0.3-0.5 µg of DNase-treated RNA samples were transcribed  
202 to cDNA using RETROscript® Kit (Life Technologies, CA) and stored at -20°C. The total  
203 nucleic acid samples (as DNA samples) and cDNA samples were sent to the Research and  
204 Testing Laboratory (Lubbock, TX, USA) for pyrosequencing of the V4-V6 region. Samples were  
205 sequenced on a Roche 454 FLX system using tags, barcodes and forward primers listed in Table  
206 S1. Sequence reads were processed in Mothur 1.33.0 (Schloss et al., 2009) for trimming, quality  
207 checking, screening, chimera removal, and alignment based on the Silva reference alignment  
208 files provided on the Mothur website ([http://www.mothur.org/wiki/Silva\\_reference\\_files](http://www.mothur.org/wiki/Silva_reference_files)).  
209 Dendrograms were constructed in Mothur using unweighted pair group method arithmetic  
210 averages (UPGMA) based on Bray-Curtis index (Bray and Curtis, 1957) to estimate similarity

211 among bacterial DNA and RNA community compositions in each sample. Sequences originating  
212 from algal chloroplasts were removed for statistical analysis of community composition. Gini-  
213 Simpson index was calculated using Mothur.

214

### 215 **3. Results**

#### 216 **3.1. Characterization of algae-bacterial assemblage**

217 Abundant macroscopic filamentous algae up to 10 cm length appeared at the outflow site (O)  
218 (Fig. 1) and further downstream at sites A, B, and C during the summer months. Algae were  
219 often covered by orange-colored minerals. The outflow water was suboxic (1.3-2.0 mg l<sup>-1</sup>  
220 oxygen) at site O with a slightly acidic pH of 5.9, however, water became more oxygenated (6.2-  
221 6.9 mg l<sup>-1</sup> oxygen) and had a higher pH (6.4-6.5) further downstream (Fig. 2). The increase in  
222 oxygen could be caused by both turbulent mixing with air and photosynthetic activities of the  
223 algae and increase of pH likely resulted from a combination of CO<sub>2</sub> outgassing from the initial  
224 anoxic outflow water and draw down of CO<sub>2</sub> via algal growth. The water temperature was  
225 approximately 14-17°C at site O during sampling. Dissolved iron in the water was primarily in  
226 the form of Fe(II) with maximum concentrations of 3.3 mM and decreased in concentration (to  
227 2.1 mM) as the water moved downstream towards sites A, B, and C. The other parameters  
228 measured did not indicate distinct differences between the sites O, A, B, and C (Eh, 140-180  
229 mV; conductivity, 4.8-4.9 ms cm<sup>-1</sup>; DOC, 3.0-4.5 mg l<sup>-1</sup>; sulfate concentration, 30-35 mM; Fig.  
230 2). The stream water was also enriched with other metals including Mn, Ni, Zn and U.

231 In July 2013, we sampled green algae from sites A and B (algae at site O could not be reached),  
232 and brown algae from site C. During a subsequent sampling during August 2013, the algae  
233 collected from site B changed in color from green to brown, while algae samples collected from

234 sites O and A still appeared green. By September 2013, most algae had disappeared; only small  
235 amounts of green algae were left at site O and some brown algae at site A (Table 1). Sequencing  
236 analysis of 18S rRNA gene regions amplified from DNA extracts of green and brown algae  
237 showed that all algae had high homologies with *Tribonema* spp. (*T. viride*, *T. minus*, *T.*  
238 *ulotrichoides*, 99.9~100%; Table S2), a genus of freshwater algae belonging to the class of  
239 *Xanthophyceae*.

240 Microscopic observations revealed unbranched filamentous algae with a single cell length of 30-  
241 50  $\mu\text{m}$  and a cell diameter of 8-10  $\mu\text{m}$  (Fig. 3C, D, 4A, B, C). Green algae cells yielded 10-15  
242 visible chloroplasts which exhibited strong autofluorescence, whereas brown algae cells  
243 contained only 5-7 countable chloroplasts and displayed weaker autofluorescence. The brown  
244 algae often showed green autofluorescence under UV-light exposure (data not shown), which  
245 likely resulted from flavin-like molecules or luciferin compounds (Tang and Dobbs, 2007). This  
246 green autofluorescence was not detected in the green algae, likely due to stronger signals from  
247 chloroplasts. According to the cell morphology and number of chloroplasts per cell, the green  
248 and brown algae display a high degree of similarity to *T. viride* comparing to *T. minus* and *T.*  
249 *ulotrichoides* (Akiyama et al., 1977; Gudleifsson, 1984; H. Wang et al., 2014).

250 Minerals adhered to and were distributed in a regular discontinuous pattern on the surface of the  
251 brown algae. In contrast, the surface of the green algae was encrusted with minerals in irregular  
252 shape, size and location (Fig. 3C, D, 4A, B). CLSM images using Syto9 stain showed minerals  
253 adhered to the surface of both brown and green algae that were colonized by microorganisms  
254 (Fig. 4A, B). These microbial cells primarily colonized the minerals attached to the algae  
255 surfaces, while a smaller proportion of microbial cells were adhered directly to the algae bodies.  
256 Neither stalks of *Gallionella* nor other characteristic extracellular structures of FeOB were found

257 on the algae. CLSM images with lectin staining showed the cell sections in algal filaments were  
258 distributed between regularly located Fe-minerals. In addition, algal or bacterial EPS-like  
259 glycoconjugates were likely associated with the minerals (Fig. 4C), whereas the amount of EPS  
260 could not be quantified or compared between the green and brown algae.

### 261 **3.2. Component analysis of mineral precipitates on the algae**

262 Secondary electron (SE) images with EDX analyses showed sulfur-containing Fe-oxides almost  
263 completely covered the surface of the green algae (Fig. 5A, 6A), whereas some areas on the  
264 surface of the brown algae were not encrusted (Fig. 5B, 6B). The non-encrusted parts of the  
265 brown algae primarily displayed background signal (i.e. Si signal of the sample holder). Weak  
266 signals of C, Mg, Ca and P were also detected by EDX. The elemental composition of Fe-oxides  
267 not associated with algae was almost identical to those of the encrusted algae, suggesting mineral  
268 composition was not affected by biological activity.

269 FTIR spectra exhibited signals of ferrihydrite and schwertmannite (Fig. 6C). Their presence was  
270 also confirmed by high resolution SE images. Spherical aggregates with nano-needles on the  
271 surface edges are defining characteristics for schwertmannite (Fig. S1), while aggregates with no  
272 single crystallites are often composed of ferrihydrite (Carlson et al., 2002). The FTIR spectra of  
273 minerals on the green algae also showed weak signals of Si-O bonding at  $1030\text{ cm}^{-1}$ , which  
274 might be due to residual clay minerals.

275 Total extractions of the brown algae collected at site C revealed that in addition to Fe, Mn, Ni,  
276 Zn and U accumulated on the algae surface similarly to the underlying sediments at site C (Fig.  
277 S2); Fe and U even showed higher concentrations on the surface of the algae in comparison to  
278 the sediment (540 mg of Fe and 910  $\mu\text{g}$  of U in 1 gdw algae and 390-660 mg of Fe and 90-750  
279  $\mu\text{g}$  of U in 1 gdw sediment).

### 280 3.3. Elucidating the bacterial community structure associated with algae

281 Quantitative PCR detected high gene copy numbers (per gram wet weight algae) for *Gallionella*-  
282 related 16S rRNA with slightly higher numbers for the green algae ( $1.72 \times 10^9 - 7.08 \times 10^9$ )  
283 compared to brown algae (Table 1). Similarly, 16S rRNA gene-targeted amplicon  
284 pyrosequencing revealed that members of the *Gallionellaceae* were the dominant bacterial group  
285 within these algae-microbial communities when comparing both DNA and RNA samples from  
286 the green and brown algae collected at all four different sites and all time points (Fig. 7, Table  
287 S3). The relative percentage of *Gallionellaceae* was highest in RNA and DNA extracts of the  
288 green algae with 89.4-96.5% and 79.5-96.4%, respectively, of the total number of sequence reads  
289 compared to 70.4-82.9% and 62.7-81.0% in RNA and DNA extracts of the brown algae. Algal  
290 samples collected from sites O, A, B, and C during September showed the lowest fraction of  
291 *Gallionellaceae*. The *Gallionellaceae* group comprised of 2 OTUs related to the FeOB  
292 *Gallionella capsiferriformans* ES-2 (CP002159) and *Sideroxydans lithotrophicus* ES-1  
293 (CP001965) (Table S3). The relative fraction of OTU-1-related FeOB was highest at site O,  
294 whereas OTU-2-related FeOB was more abundant downstream at sites A, B, and C. The  
295 dendrograms for each DNA and RNA community also showed that the bacterial community  
296 structures in site O were separated from those in other sites (Fig. 7). Other bacterial groups  
297 detected with less than 10% relative abundance were ‘*Candidatus Odysella*’  
298 (*Alphaproteobacteria*), *Actinomycetales* (*Actinobacteria*), *Desulfobulbaceae*, and  
299 *Geobacteraceae* (*Deltaproteobacteria*). Triplicate extractions of DNA and RNA from the brown  
300 algae collected at site C in August showed little variation between bacterial community  
301 structures (Fig. 7), which allows for the identification of a representative algae surface-associated  
302 microbial community in this metal-contaminated site. The brown algae were colonized by a

303 higher diversity of bacterial groups than the green algae, showing higher average Gini-Simpson  
304 index values (0.862 in RNA and 0.884 in DNA) than those of the green algae (0.641 in RNA and  
305 0.645 in DNA). Interestingly, some of the sequences detected from the microorganisms adhered  
306 to the brown algae surface were identified as putative predators of algae, such as ‘*Candidatus*  
307 *Odysella*’ (intracellular parasite of *Acanthamoeba*, up to 8.1% and 6.0% of OTUs in RNA and  
308 DNA extracts) and *Cystobacteraceae* (*Myxobacteria*, 2.0% and 0.2% in RNA and DNA extracts).  
309

#### 310 **4. Discussion**

311 Members of the genus *Tribonema* are known as common freshwater algae (Machova et al., 2008;  
312 H. Wang et al., 2014). *Tribonema* species have been detected in other metal-rich and acidic  
313 freshwater environments such as acidic brown water streams (pH <4) in New Zealand (Collier  
314 and Winterbourn, 1990), acidic coal mine drainage-contaminated sites (pH 2.6-6.0)  
315 (Winterbourn et al., 2000), as well as acidic rivers (pH 2.7-4.0) with iron-rich ochreous deposits  
316 of schwertmannite-like Fe-minerals on algal surfaces (Courtin-Nomade et al., 2005), suggesting  
317 their tolerance to high concentrations of metals and low pH. In this study, *T. viride* colonized  
318 metal-rich (Fe, Mn, Ni, Zn and U) and less acidic (pH 5.9 to 6.5) mine-water outflow which  
319 showed variation in geochemistry over time and along the flow paths from site O to C. The algae  
320 ostensibly changed its color from green to brown and disappeared completely from sites B and C  
321 at the end of the summer. The change in algae color occurred simultaneously with the loss of  
322 active chloroplasts per cell, as observed via CLSM imaging. These results correspond with lower  
323 numbers of sequences originating from chloroplasts based on sequences analysis. The  
324 encrustation with Fe-minerals presumably inhibits algal photosynthetic activities and may be an  
325 underlying cause for the disappearance of *Tribonema* at the end of the summer when light

326 intensity diminished. The observed water temperatures (14-17°C) may have also contributed to  
327 the decline in algae numbers, since optimal growth temperatures of two genera of *Tribonema* are  
328 higher (*T. fonticolum*, 19-27°C; *T. monochloron*, 15.5-23.5°C) (Machova et al., 2008), however,  
329 *T. viride* has been detected in lake water with low temperature (0-5.6°C) (Vinocur and Izaguirre,  
330 1994).

331 Deposition of Fe-minerals and colonization of “iron bacteria” on *Tribonema* was reported more  
332 than 70 years ago (Chapman, 1941), but identification of the deposited minerals, the FeOB, and  
333 their interaction with the alga has not been characterized in detail. A symbiotic relationship has  
334 been suggested in which microbes living on the surface of *Tribonema* form ferric carbonate,  
335 which controls water pH and acts as local buffer for the algae. We could not detect ferric  
336 carbonates on *Tribonema*, however, poorly crystalline iron minerals ferrihydrite and  
337 schwertmannite that are also present in the underlying sediments in addition to goethite were  
338 detected (Johnson et al., 2014). These iron minerals have a high reactive surface area for  
339 metal(loid) uptake, and particularly As and Zn appear to be associated with these minerals in the  
340 sediments (Johnson et al., 2014). Brown algae showed similar metal(loid) uptake to the  
341 sediments collected at the outflow downstream to site C with even higher concentrations for Fe  
342 and U suggesting a high affinity of *Tribonema* for these compounds. Thus, these iron coatings  
343 could also act as buffers to help prevent the plant from taking up these heavy metals, similar the  
344 mechanism suggested to aid in protection from root plaque (Tripathi et al., 2014 and references  
345 therein). However, since there was no pristine system without metal load around our study site,  
346 we could not assess the effects of heavy metals on development of the algae-bacteria-mineral  
347 communities.

348 Our microscopic investigation did not reveal a preferential colonization of microbes on the algal  
349 surface but on the minerals. According to both pyrosequencing and qPCR results,  
350 microaerophilic *Gallionella*-related FeOB were the dominant colonizers on *Tribonema* which  
351 might be due to the presence of large populations of *Gallionella* sp. (29-58% of the total  
352 bacterial community) in the outflow water reaching cell numbers of  $10^5$  to  $10^6$  cells per mL water  
353 (Fabisch et al., 2015). These bacteria seem to be able to cope with the high levels of oxygen  
354 produced during photosynthesis, but these oxygen concentrations may be lower within the EPS  
355 matrix and ochre deposits. *G.capsiferriformans*-related FeOB predominated at the outflow site  
356 whereas *S. lithotrophicus*-related FeOB dominated algae further downstream which can be  
357 explained by differences in the water geochemistry such as pH or heavy metal concentrations.  
358 Based on genome information, *G. capsiferriformans* ES-2 should be more resistant to heavy  
359 metals than *S. lithotrophicus* ES-1 (Emerson et al., 2013) and thus should dominate the outflow  
360 site which showed the highest metal loads in the water. Unfortunately, we could not link the  
361 dominance of these species with the heavy metals precipitated on the algae due to shortage of the  
362 present sample amount for ICP-MS/OES.

363 16S rRNA gene copy numbers of *Gallionella* on the algae surfaces (Table 1) were much higher  
364 than numbers found in the sediments of the stream ( $3.1 \times 10^8$  copies per gram wet weight  
365 sediment) (Fabisch et al., 2015). The high relative RNA-derived fraction of *Gallionellaceae*  
366 suggested not only passive or active colonization of the algal surface but also participation in Fe-  
367 oxidation followed by ferrihydrite and schwertmannite formation. *Gallionella*-related FeOB  
368 appeared to be more abundant and active on the green algae, which indicates higher Fe-oxidizing  
369 activity on the surface of green algae. The surface of photosynthetic algae is presumable a highly  
370 oxygen-saturated environment, and the occurrence of neutrophilic microaerophilic FeOB under

371 such conditions has not been reported before to the best of our knowledge. However, it is  
372 possible that at night the oxygen level go to a much lower level allowing an opportunity for  
373 FeOB to grow under low oxygen. In water treatment systems and dewatering wells in open cast  
374 mines, *Gallionella* have been also reported to grow at surprisingly high oxygen concentrations at  
375 the low temperature of 13°C or even higher which slows down abiotic Fe(II)-oxidation (de Vet et  
376 al., 2011; J. Wang et al., 2014).

377 In an Fe(II)-rich and oxygenated environment, bacteria potentially face the problem of highly  
378 reactive oxygen species due to the reaction of hydrogen peroxide with Fe(II) (Imlay, 2008). Both  
379 *G. capsiferriiformans* ES-2 and *S. lithotrophicus* ES-1 were reported to encode enzymes that  
380 presumably act as catalase or peroxidase to prevent production of reactive oxygen species  
381 (Emerson et al., 2013). Most bacteria associated with the Fe-minerals on algae surfaces were also  
382 localized to areas where EPS-like glycoconjugates were detected. EPS forms a suitable  
383 microenvironment for microbial Fe-oxidation due to its ability to bind dissolved Fe(II) resulting  
384 from the negatively charged EPS matrix. This activity leads to the inhibition of chemical Fe-  
385 oxidation by lowering the availability of Fe(II) (Neubauer et al., 2002; Jiao et al., 2010; Roth et  
386 al., 2000). In addition, the EPS can prevent bacterial cells from being encrusted with insoluble  
387 Fe(III)-oxides (Neubauer et al., 2002; Hedrich et al., 2011a; Schädler et al., 2009). Unfortunately,  
388 with the methods used, we could not determine if the EPS-like matrix on the algae was produced  
389 by the alga or by bacteria. *Tribonema* is known to produce EPS mainly composed of glucans and  
390 xylans (Cleare and Percival, 1972), however, based on genome sequencing both *G.*  
391 *capsiferriiformans* ES-2 and *S. lithotrophicus* ES-1 are predicted to also produce EPS (Emerson et  
392 al., 2013). In an effort to prevent encrustation, other *Gallionella* species form long stalks which  
393 are mainly composed of polysaccharides and long-chain saturated aliphatic compounds during

394 Fe(II)-oxidation with the purpose of deposition of Fe-oxides apart from the cells (Chan et al.,  
395 2011; Suzuki et al., 2011; Fabisch et al., 2015; Picard et al., 2015). Stalk-forming *Gallionella*  
396 have been isolated in sediment environments, but not on the surface of algae, thus implicating an  
397 important role of EPS in microbial Fe-oxidation by the algae-associated bacteria. Our results  
398 cannot exclude the possibility that FeOB utilize algal EPS as organic carbon source, whereas *G.*  
399 *capsiferriformans* and *S. lithotrophicus* were reported to be unable to grow heterotrophically  
400 (Emerson et al., 2013). The variations in color of the *Tribonema* species were accompanied with  
401 a variation in encrustation patterns. The green *Tribonema* was fully encrusted whereas the brown  
402 *Tribonema* showed an irregular encrustation pattern. Although *Tribonema* appears to be adapted  
403 to high metal loads, excess encrustations with Fe-minerals should be detrimental due to  
404 inhibition of photosynthesis and decreased access to nutrients. The lower number of chloroplast  
405 pointed to decreased photosynthetic activity of the brown *Tribonema*. The discontinuous  
406 encrustation might be caused by intercalary growth of the filamentous algae, which occurs by  
407 generating H-shaped parts in the middle of each cell (Smith, 1938). Intercalary growth was  
408 confirmed by CLSM images with lectin staining which showed algal cell sections alternating  
409 with Fe-minerals. The new cell sections were thin with only a few chloroplasts suggesting that  
410 energy was used primarily for elongation. Thus, intercalary growth could be interpreted as a  
411 defense strategy during later stages of encrustation when photosynthetic activity diminishes due  
412 to surface coverage by Fe-precipitates and to provide the algae with new uncovered cell surfaces.  
413 Production of EPS as a shunt mechanism should decline if less carbon is fixed during  
414 photosynthesis (Wotton, 2004) which provides a potential link between EPS production and  
415 *Gallionella* colonization. Brown algae contained fewer chloroplasts, suggesting reduced  
416 photosynthetic activity and EPS production which might be linked to a decrease in *Gallionella*

417 cell number and Fe(II) oxidation on the algae surface. This study showed higher microbial  
418 diversity on the surface of brown *Tribonema* when lower numbers of *Gallionella* were detected.  
419 Some putative predators of algae, such as ‘*Candidatus Odysella*’ and *Cystobacteraceae* were  
420 also identified on the surface of the brown *Tribonema*. These predators colonize algae in order to  
421 consume material released upon cell lysis as a natural senescence process or under stress  
422 conditions (Levy et al., 2009). Algal EPS has been shown to function as a cell defense  
423 mechanism to protect cells from colonization of predators or pathogens (Steinberg et al., 1997),  
424 thus a reduced rate of EPS formation may lead to predator colonization.

425

## 426 **5. Summary and Conclusion**

427 Filamentous algae (*Tribonema* sp.) were observed in the metal-contaminated groundwater  
428 outflow in the former Ronneburg uranium mining district, suggesting the algae has a tolerance to  
429 high metal concentrations and metal deposits. Cells of green algae were fully encrusted with Fe-  
430 oxides. The Fe-precipitates on the algae surfaces were predominantly colonized by *Gallionella*-  
431 related FeOB. *Gallionella*-related FeOB were abundant in the stream water and these bacteria  
432 appeared to be actively involved in Fe(II) oxidation. Thus, both sunlight and Fe(II) served as  
433 energy sources for primary producers in this slightly acidic stream promoting complex microbial  
434 interactions in the ochre deposits on the algal cells. EPS-like polymeric matrices, likely produced  
435 as a shunt for carbon during photosynthesis, provided a suitable microenvironment for the  
436 microaerophilic FeOB due to its high affinity for metal(loid)s and reduced oxygen diffusion.  
437 However, excess deposition of Fe-oxides appeared to be detrimental to photosynthetic activities  
438 forcing intercalary elongation of the filaments. This defense response caused discontinuous  
439 deposition patterns of Fe-oxides as observed on the brown colored algae which showed lower

440 number of chloroplasts. The reduced EPS production could have favored growth of algal  
441 predators on the brown algae and together with ochre deposition contributed to algal decline.

442 **Author contribution**

443 J. F. Mori and K. Küsel designed and J. F. Mori performed the experiments. T. R. Neu conducted  
444 CLSM imaging analysis. S. Lu carried out sampling and microscopic analysis with J. F. Mori. M.  
445 Händel and K. U. Totsche performed SEM-EDX and FTIR analysis. J. F. Mori prepared the  
446 manuscript with contributions from all co-authors.

447

448 **Acknowledgements**

449 The authors thank the graduate research training group “Alternation and element mobility at the  
450 microbe-mineral interface” (GRK 1257), which is part of the Jena School for Microbial  
451 Communication (JSMC) and funded by the Deutsche Forschungsgemeinschaft (DFG) for  
452 financial support. We would also like to thank Denise M. Akob and Georg Büchel for help  
453 during sampling. We appreciate Martina Herrmann for sequence analysis, Maren Sickinger for  
454 qPCR works, Dirk Merten for ICP measurements, Gundula Rudolph for DOC analysis, Steffen  
455 Kolb, Juanjuan Wang, and Maria Fabisch for helpful discussions and Rebecca Cooper for  
456 manuscript proofreading.

457

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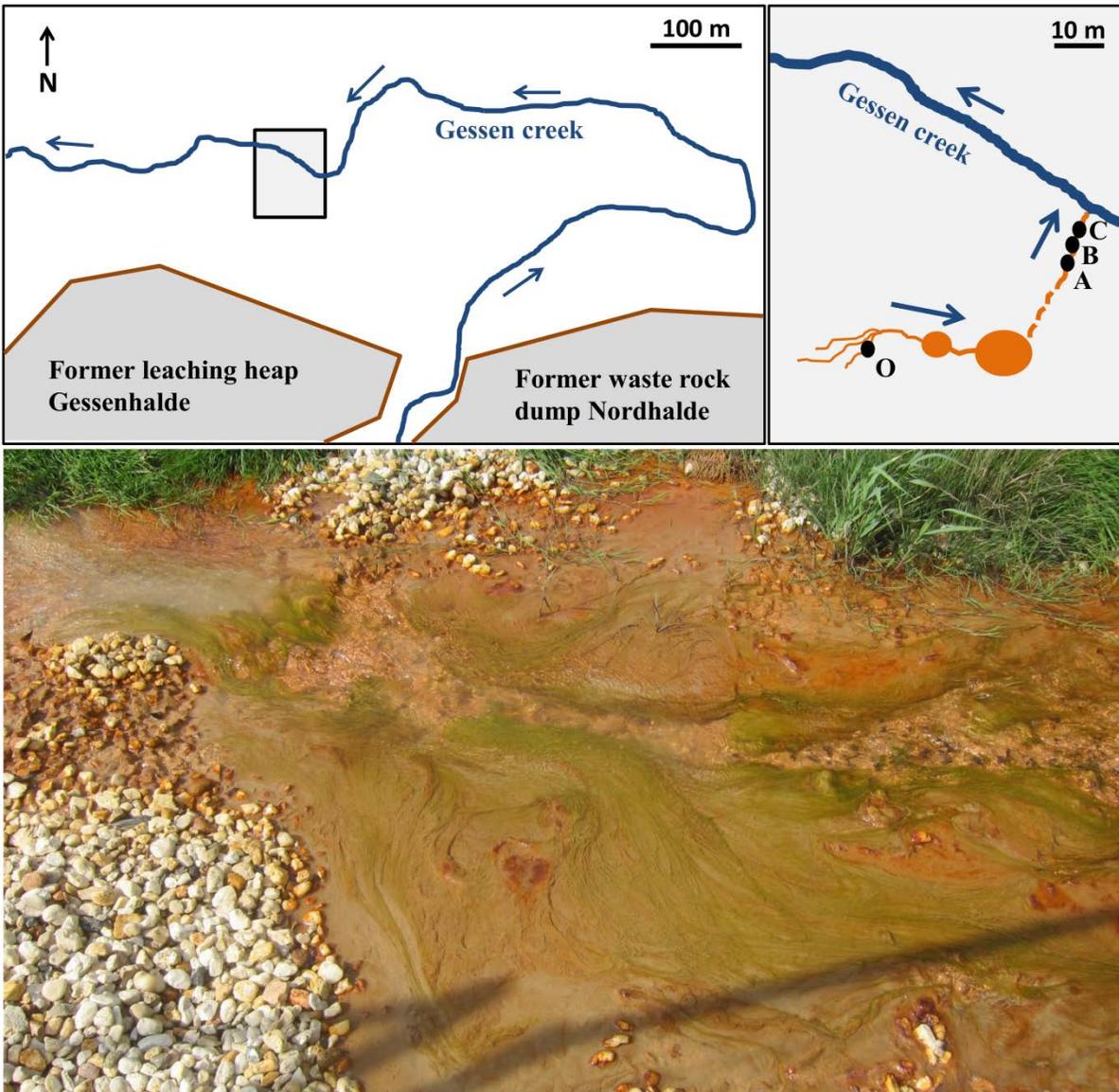
677 **Tables & Figures**

678

679 **Table 1.** Average 16S rRNA gene copy numbers of *Gallionella* detected per gram wet weight  
 680 algae sampled at sites O, A, B, and C, and at three sampling times in 2013 and measured by  
 681 quantitative PCR (n=3,  $\pm$  SD).

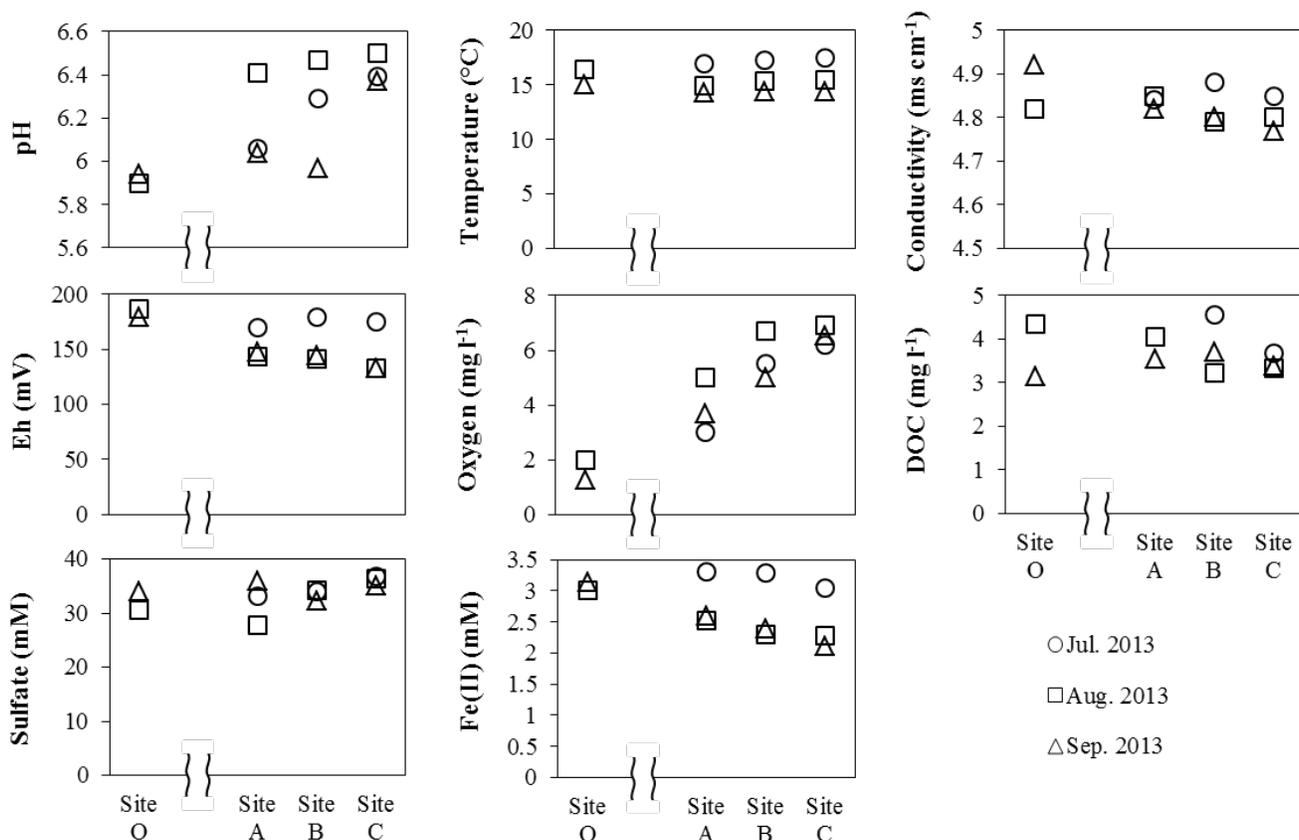
	Site O	Site A	Site B	Site C
<b>July 2013</b>	Not reachable	Green $1.85 \times 10^9 \pm 1.86 \times 10^7$	Green $1.72 \times 10^9 \pm 1.62 \times 10^8$	Brown $0.95 \times 10^9 \pm 6.66 \times 10^7$
<b>August 2013</b>	Green $6.78 \times 10^9 \pm 2.36 \times 10^8$	Green $7.08 \times 10^9 \pm 3.76 \times 10^8$	Brown $1.45 \times 10^9 \pm 1.07 \times 10^8$	Brown $1.25 \times 10^9 \pm 1.62 \times 10^7$
<b>September 2013</b>	Green $2.25 \times 10^9 \pm 1.19 \times 10^7$	Brown $1.10 \times 10^9 \pm 3.47 \times 10^7$	No algae	No algae

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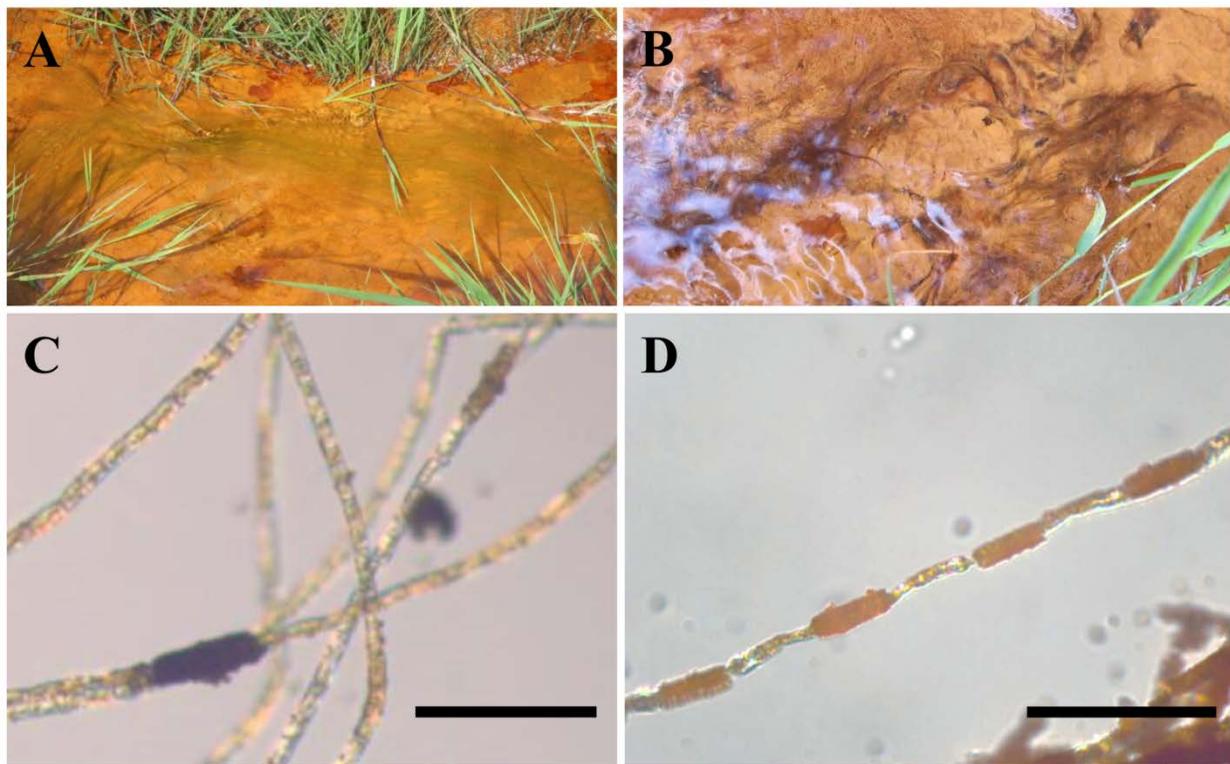
684 **Figure 1.** Schematic maps of the study site and photograph of the site A in the former  
 685 Ronneburg uranium mining district (Thuringia, Germany). Maps show the locations of sampling  
 686 sites O, A, B and C on the grassland close to Gessen creek. Blue arrows indicate the flow  
 687 direction of the creek and outflow streams. The photograph was taken in September 2011 and  
 688 shows the presence of conspicuous green filamentous algae.



689

690 **Figure 2.** Chemical parameters of water at each sampling site in the outflow water stream. Water  
 691 pH, oxygen, temperature, conductivity and Eh were measured in the field at site O, A, B and C in  
 692 July, August, and September 2013. Concentrations of organic carbon, sulfate and Fe(II) were  
 693 determined later in the laboratory.

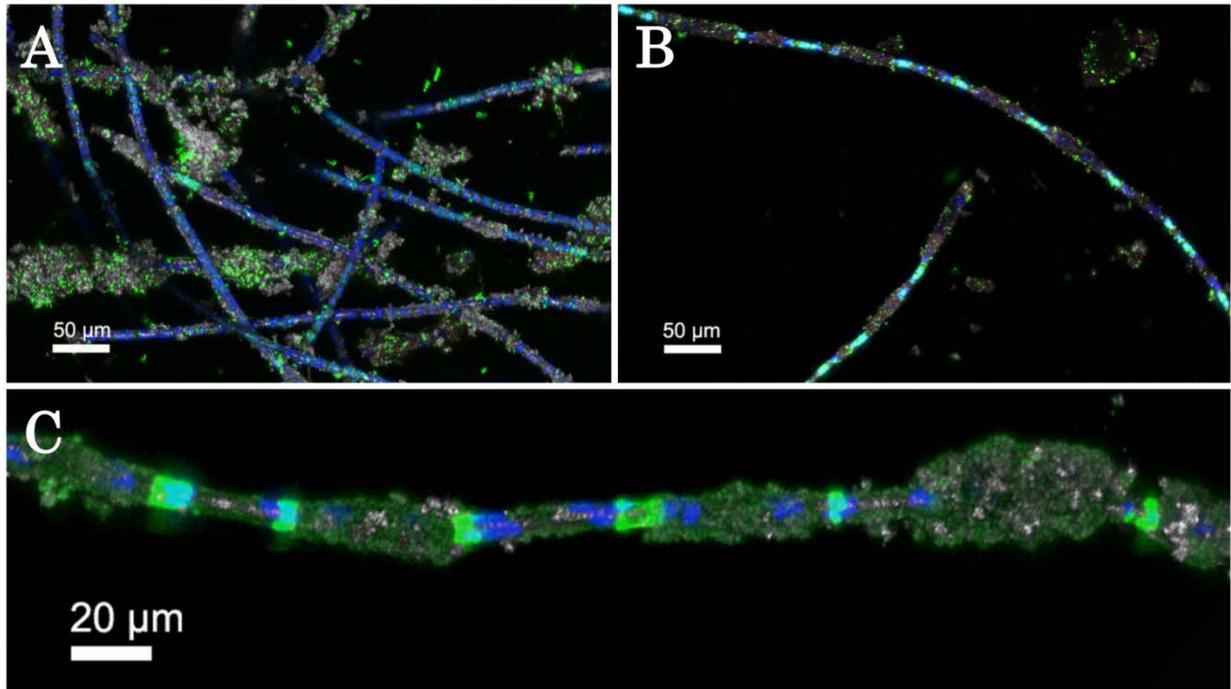
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696 **Figure 3.** Photographs (A, B) and light microscopic pictures (C, D) of the green algae in site A  
697 (A, C) and the brown algae in site C (B, D) taken in July 2013. The microscopic pictures show  
698 Fe-mineral precipitates on the algae. Scale bars indicate 100  $\mu\text{m}$ .

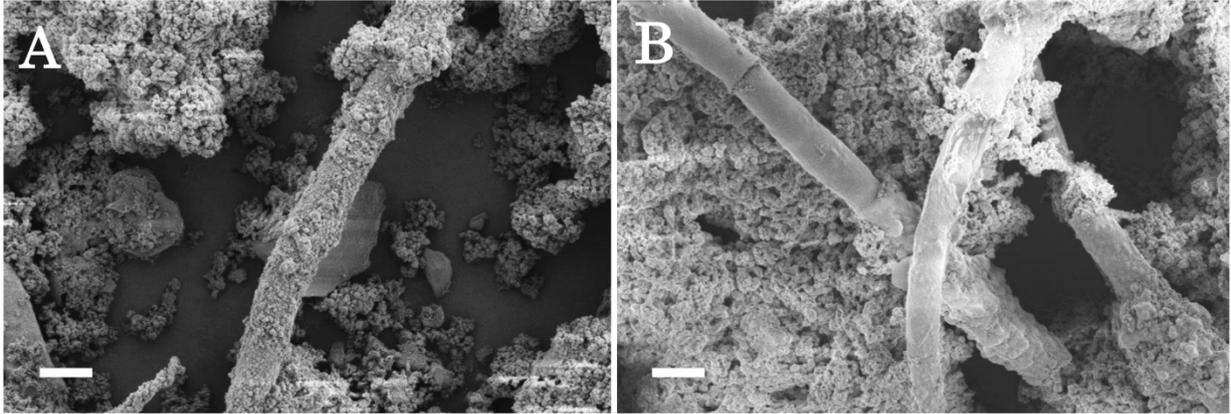
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701 **Figure 4.** Confocal laser scanning microscopy images of the algae-microbial communities  
702 collected at site O (outflow) of the stream in September 2013. Maximum intensity projection of  
703 the green algae (A) and the brown algae (B) stained with Syto9 were recorded (color allocation:  
704 green – nucleic acid stain; blue – autofluorescence of chlorophyll A; grey - reflection). Brown  
705 algae stained with AAL-Alexa448 (C) shows glycoconjugates (green), autofluorescence of  
706 chlorophyll A (blue), and reflection (grey).

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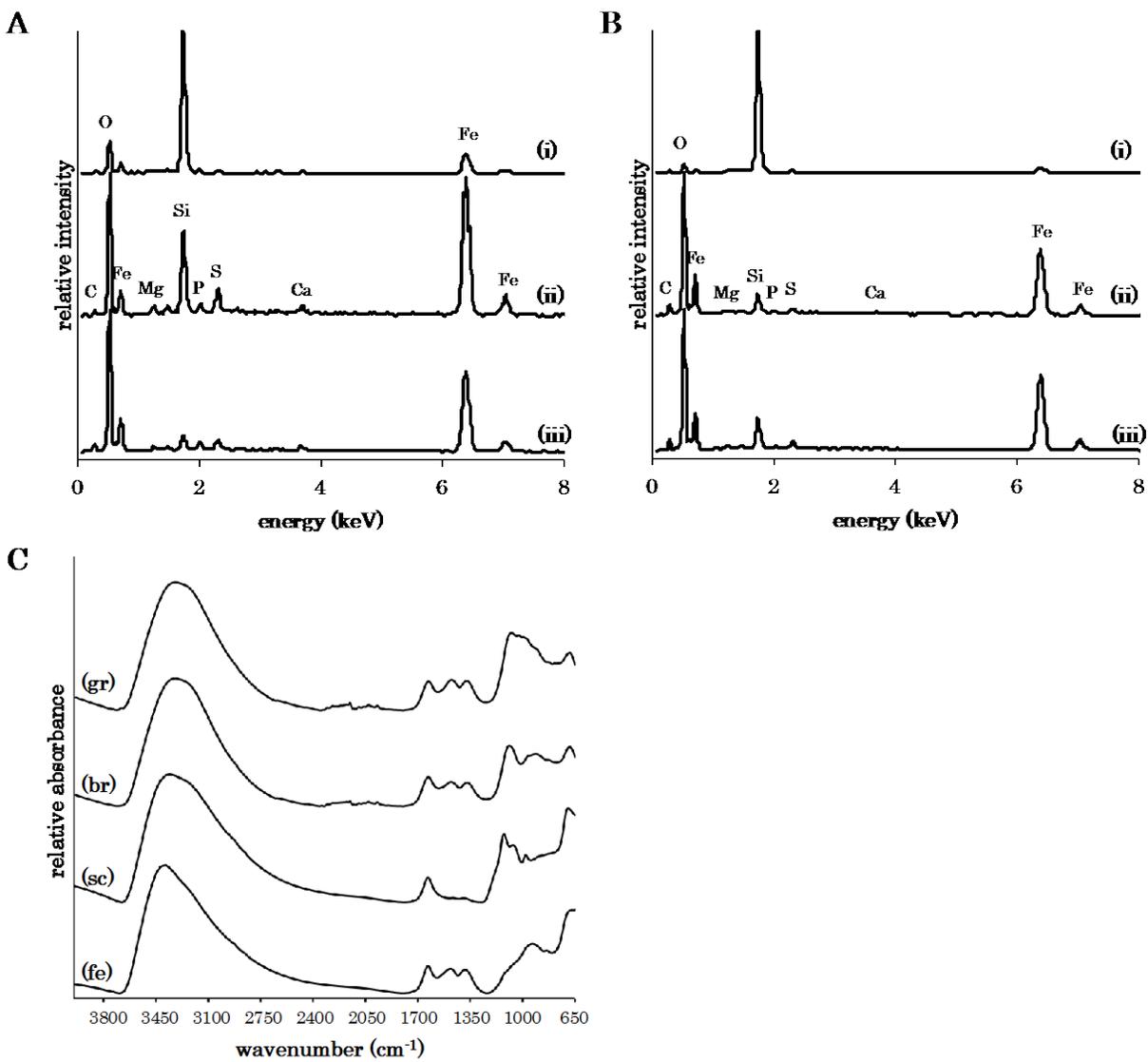


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709 **Figure 5.** Scanning electron microscopy images of the green algae in site O (A) and the brown

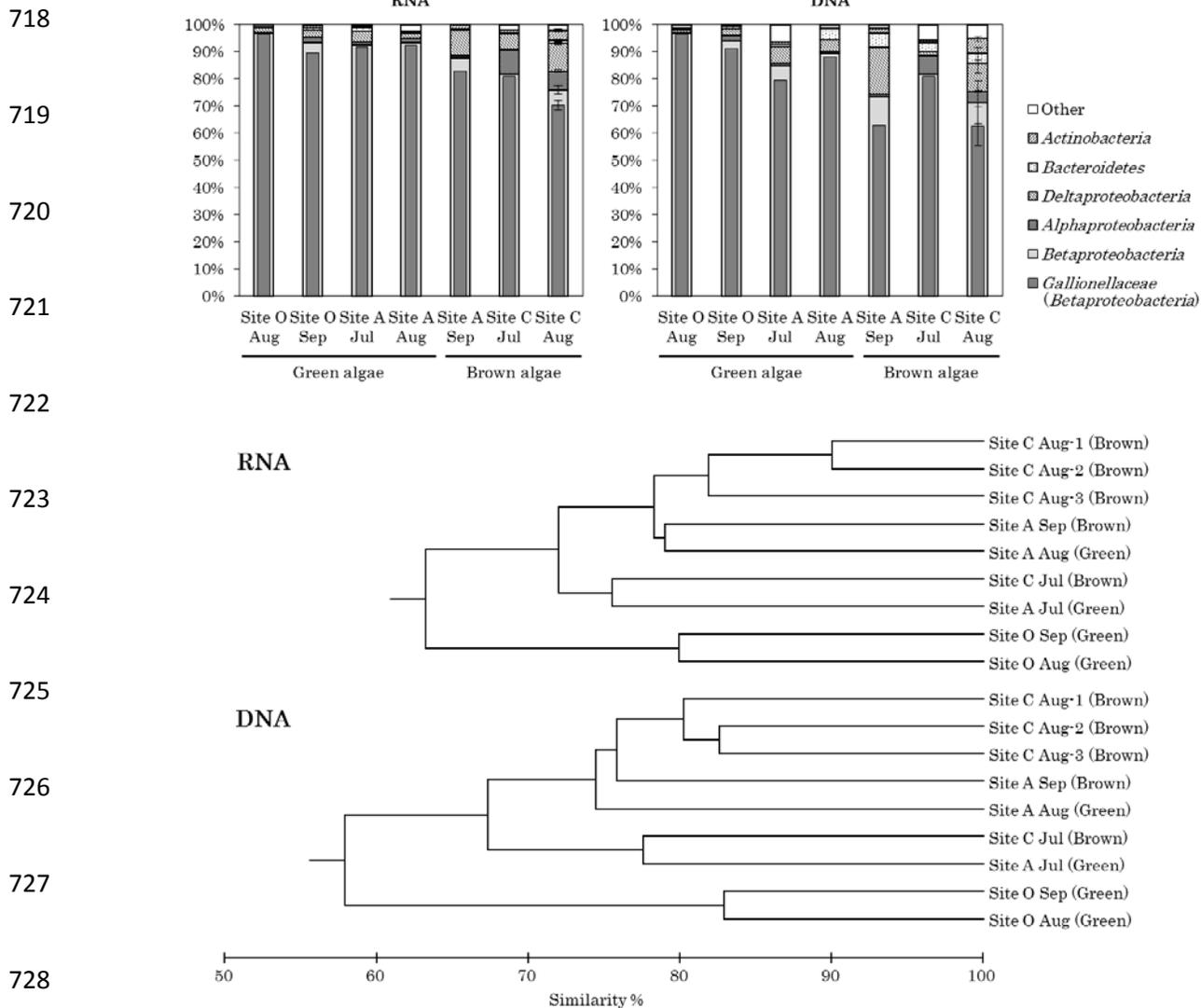
710 algae in site A (B) taken in September 2013. Scale bars indicate 10  $\mu\text{m}$ .

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712

713 **Figure 6.** EDX and FTIR spectra of minerals precipitated around the algae. EDX spectra of  
 714 minerals around the green algae (a) and the brown algae (b) were recorded on the non-encrusted  
 715 algal surface (i), the encrusted algal surface (ii) and Fe-oxides which were not connected to the  
 716 algae (iii). FTIR spectra of Fe-oxides (c) were recorded on the green algae (gr) and the brown  
 717 algae (br), comparing with spectra of schwertmannite (sc) and ferrihydrite (fe) as references.



729 **Figure 7.** Bacterial community compositions obtained from algal samples detected by 16S rRNA  
 730 gene-targeted amplicon pyrosequencing (above) and dendrograms indicating similarities of RNA  
 731 and DNA compositions (below). Calculations of the bacterial populations were based on the total  
 732 numbers of OTUs associated with phylotypes of sequenced representatives at the phylum level,  
 733 or class level for Proteobacteria. Percentages of *Gallionellaceae* (*Betaproteobacteria*) were also  
 734 shown. (n=1; Site C Aug, n=3, error bars indicate SD)

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