

1 **Distribution of Arctic and Pacific copepods and their**  
2 **habitat in the northern Bering and Chukchi Seas**

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15

16 **Abstract**

17 The advection of warm Pacific water and the reduction in sea ice in the western Arctic Ocean  
18 may influence the abundance and distribution of copepods, a key component of food webs. To  
19 quantify the factors affecting the abundance of copepod in the northern Bering and Chukchi  
20 Seas, we constructed habitat models explaining the spatial patterns of large and small Arctic  
21 and Pacific copepods, separately. Copepods were sampled using NORPAC nets. The  
22 structures of water masses indexed by using principle component analysis scores, satellite-  
23 derived timing of sea ice retreat, bottom depth, and chlorophyll *a* concentration were  
24 integrated into generalized additive models as explanatory variables. The adequate models for  
25 all copepods exhibited clear continuous relationships between the abundance of copepods and  
26 the indexed water masses. Large Arctic copepods were abundant at stations where the bottom  
27 layer was saline; however they were scarce at stations where warm fresh water formed the  
28 upper layer. Small Arctic copepods were abundant at stations where the upper layer was  
29 warm and saline and the bottom layer was cold and highly saline. In contrast, Pacific

1 copepods were abundant at stations where the Pacific-origin water mass was predominant (i.e.  
2 a warm, saline upper layer and saline and a highly saline bottom layer). All copepod groups  
3 showed a positive relationship with early sea ice retreat. Early sea ice retreat has been  
4 reported to ~~cause~~ spring blooms in open water, allowing copepods to utilize more food while  
5 maintaining their high activity in warm water without sea ice and cold water. This finding  
6 indicates that ~~earlier~~ sea ice retreat has positive effects on the abundance of all copepod  
7 groups in the northern Bering and Chukchi Seas, suggesting a change from a pelagic–benthic-  
8 type ecosystem to a pelagic–pelagic type.

9

## 10 **1 Introduction**

11 Over the last decade, seasonal sea ice coverage ~~appears to have~~ changed dramatically in the  
12 northern Bering and Chukchi Seas (Comiso et al., 2008; Parkinson and Comiso, 2012),  
13 possibly because of an increase in the inflow of the Pacific water from the Bering Sea through  
14 the Bering Strait (Shimada et al., 2006). The Bering Strait is ~~very~~ shallow (<30 m) and has a  
15 gentle shelf extending to the Arctic Shelf break through the Chukchi Sea. This ~~shallow~~ shelf  
16 plays an important role in the Arctic  the shelf, the food webs are short and efficient, and  
17 even small changes in production pathways can affect organisms at higher trophic levels  
18 (Grebmeier et al., 2006). The recent change in sea ice melt timing contributes to stratification,  
19 nutrient trapping at the surface, and lower primary production with insufficient sunlight  
20 (Clement, 2004). In contrast, it has been suggested that the timing of the phytoplankton bloom  
21 has also altered (Kahru et al., 2011) and that its annual primary production has increased  
22 (Arrigo et al., 2008). Changes in the timing and location of primary production and associated  
23 grazing by zooplankton have a direct influence on the energy and ~~material~~ transfer to benthic  
24 community (Grebmeier et al., 2010).

25 In the Bering and Chukchi Seas, several water masses have been identified on the  
26 basis of salinity and temperature (Table 1). The water masses include the relatively  
27 warm/low-salinity Alaskan coastal water (ACW; temperature 2.0–13.0 °C and salinity <31.8)  
28 that originates from the eastern Bering Sea; the warm/saline Bering shelf water (BSW; 0.0–  
29 10.0 °C and 31.8–33.0) from the middle Bering shelf; and the cold/higher-salinity Anadyr  
30 water (AW; –1.0–1.5 °C and 32.3–33.3) originating from the Gulf of Anadyr at depth along  
31 the continental shelf of the Bering Sea. The BSW and AW merged ~~to~~ form the Bering Sea  
32 Anadyr water (BSAW; Coachman et al., 1975; Springer et al., 1989). In addition, cold/lower-

1 salinity ice-melt water (IMW; <2.0 °C and <30.0) originates from sea ice, and colder/high-  
2 salinity dense water (DW; less than -1.0 °C and 32.0–33.0) forms in the previous winter  
3 during freezing of both the Bering and Chukchi Seas (Weingartner et al., 2013). These water  
4 masses often show vertical consistency both geographically and seasonally (Iken et al., 2010;  
5 Eisner et al., 2013; Weingartner et al., 2013).

6 In the northern Bering and Chukchi Seas, copepods are primary consumers of  
7 phytoplankton and are the main prey of foraging fish (e.g., polar cod *Boreogadus saida*,  
8 Nakano et al., 2015), seabirds (e.g., phalaropes, shearwaters and crested auklets *Aethia*  
9 *crisatella*, Piatt and Springer, 2003; Hunt et al., 2013), and baleen whales (e.g., bowhead  
10 whale *Balaena mysticetus*, Lowry et al., 2004). Therefore, copepods are a key component of  
11 the Arctic marine food webs (Lowry et al., 2004). In this region, large Arctic copepods  
12 (*Calanus glacialis*) and small Arctic copepods (e.g., *Acartia hudsonica*, *Centropages*  
13 *abdominalis*, *Eurytemora herdmani* and *Pseudocalanus acuspes*) are abundant (Springer et al.,  
14 1996). In addition, Pacific copepods (*Calanus marshallae*, *Eucalanus bungii*, *Metridia*  
15 *pacifica*, *Neocalanus cristatus*, *N. flemingeri*, and *N. plumchrus*) are often transported from  
16 the Bering Sea (Lane et al., 2008; Hopcroft et al., 2010). Copepod communities are associated  
17 with the distribution of water masses (e.g., Springer et al., 1989; Hopcroft et al., 2010; Eisner  
18 et al., 2013): *Pseudocalanus* species are abundant in the ACW and Pacific species are  
19 abundant in the AW, because they are transported from the Bering Sea. Pacific copepod  
20 species (e.g., *Eucalanus bungii*) expanded their distribution into the Chukchi Sea in 2007  
21 (Matsuno et al., 2011). *C. glacialis* is abundant in Arctic waters, and is considered to be  
22 native to the Arctic shelves (Canover and Huntley, 1991; Ashjian et al., 2003). Therefore, for  
23 copepod communities in this region, both the inflow of Pacific water and the ice-melt water  
24 from the sea ice melt may be important factors.

25 The objective of this study was to determine the factors affecting the spatial pattern of  
26 copepod abundance based on the data collected by the NORPAC net sampling conducted by  
27 T/S *Oshoro-maru* in the summers of 2007, 2008 and 2013. We categorized copepods into  
28 three groups; large Arctic, small Arctic, and Pacific copepods. The life cycles of large Arctic  
29 copepods are one or fewer generation per year, whereas small Arctic copepods have multiple  
30 generations in the Arctic (e.g., Dvoretzky and Dvoretzky, 2009; Falk-Petersen et al., 2009).  
31 Pacific copepods are only advected from the Pacific Ocean through the Bering Strait and are  
32 not established in the Arctic Ocean (Springer et al., 1989; Matsuno et al., 2015).

## 1 2 Materials and methods

### 2 2.1 Field sampling

3 We sampled copepods and water onboard of T/S *Oshoro-maru* (Hokkaido University) during  
4 ~~July 30–August 24, 2007~~ (21 stations), ~~June 30–July 13, 2008~~ (26 stations), and ~~July 4–17,~~  
5 2013 (31 stations; Fig. 1). Zooplankton samples were collected during the day or at night by  
6 vertical tows with a NORPAC net (mouth diameter 45 cm, mesh size 335  $\mu\text{m}$ ) from 5 m  
7 above the bottom to the surface (the depths of most stations were approximately 50 m). The  
8 volume of water filtered through the net was estimated using a flow-meter mounted in the  
9 mouth of the net. Zooplankton samples were immediately preserved with 5 % v/v borax-  
10 buffered formalin. In a laboratory on the land, the identification and enumeration of taxa were  
11 performed on the zooplankton samples under a stereomicroscope. For the dominant taxa  
12 (calanoid copepods), the identification was made at the species level. Falk-Petersen et al.  
13 (2009) and Dvoretzky and Dvoretzky (2009) listed the characteristic of distribution,  
14 generation length and reproductive characteristics of copepods. Following these two sources,  
15 we summarized the copepod species into three groups: large Arctic (Cop<sub>Larc</sub>, generation  
16 length more than one year and reproduction occurs once); small Arctic (Cop<sub>Sarc</sub>, generation  
17 length less than one year and reproduction occurs multiple times in a year) and Pacific  
18 copepods (Cop<sub>pac</sub>, generation length more than one year and reproduction occurs once; Table  
19 2). At the zooplankton sampling stations, vertical profiles of temperature and salinity were  
20 measured using a conductivity-temperature-depth (CTD: Sea-Bird Electronics Inc., SBE 911  
21 Plus) casts. Water samples for chlorophyll *a* were obtained with Niskin bottles on the CTD  
22 rosette from the bottom (21–56 m) to surface. Water samples were gently filtered onto GF/F  
23 filters (~~<100 mmHg~~). Phytoplankton pigments on the filters were extracted with *N,N*-  
24 dimethylformamide (Suzuki and Ishimaru, 1990), and chlorophyll *a* concentrations were  
25 determined by the fluorometric method using a Turner Designs 10-AU fluorometer  
26 (Welschmeyer, 1994). In order to investigate the relationships between the abundance of  
27 copepods and sea ice condition, we used SSM/I Daily Polar Gridded Sea Ice Concentration  
28 (SIC) data obtained from the National Snow and Ice Data Center (<http://nsidc.org/>).

### 29 2.2 Data analysis

30 The relationships between the abundance of copepods and traditionally defined water masses  
31 have been reported (Hopcroft and Kosobokova, 2010; Eisner et al., 2013) where the surface

1 and bottom water masses were identified on the basis of temperature and salinity. However,  
2 the quantitative evaluation of the effects of ~~complicated~~ water properties ~~quantitatively~~ on the  
3 copepod abundance is difficult. To quantify the factors affecting the spatial pattern of  
4 abundance of each copepod groups using the Generalized Additive Models (GAMs; See Sect.  
5 2.3), explanatory variables that are correlated with other variable must be removed to avoid  
6 the problem of multicollinearity. This procedure may fail to recover the important  
7 oceanographic features such as the combination of water masses in the upper and bottom  
8 layers because water temperature and salinity in both layers are often strongly correlated. In  
9 this study, to delineate the combination of water masses in the upper and bottom layers, we  
10 summarized the water-mass properties in these layers as scores using principal component  
11 analysis (PCA). These scores can be used as continuous explanatory variables in GAMs.

12 As the vertical structure of the water mass in our focused region basically forms a one-  
13 or two-layered structure because of its shallow bathymetry, we can divide the water column  
14 into a maximum of two layers (i.e., the layers above and below the pycnocline are defined as  
15 the upper and bottom layers, respectively). The density ( $\rho$ ) was calculated from the  
16 temperature and salinity measured by CTD profiles with a vertical data resolution of 1 m. We  
17 calculated the vertical density gradient ( $\frac{d\rho}{dD}$ ) at a specific depth using 2 m-mean densities  
18 immediately above and below the specific depth.  $\frac{d\rho}{dD}$  was calculated for all depths except the  
19 top, second-top, bottom, and second-bottom depths. The depth of the maximum density  
20 gradient ( $\frac{d\rho}{dD_{max}}$ ) was defined as the pycnocline of each sampled site. Then environmental  
21 variables (temperature, salinity, and log-transformed chlorophyll *a*) were vertically averaged  
22 within the upper and bottom layers and defined as  $T_{UPP}$ ,  $T_{BOT}$ ,  $S_{UPP}$ ,  $S_{BOT}$ ,  $Chl.a_{UPP}$  and  
23  $Chl.a_{BOT}$ , respectively (see Table 3 and Figures A1–A4 in Supplementary Materials). PCA  
24 was applied to determine the water-mass structure using  $\frac{d\rho}{dD_{max}}$ ,  $T_{UPP}$ ,  $T_{BOT}$ ,  $S_{UPP}$  and  $S_{BOT}$  at  
25 all 88 stations. As the principal water masses in the Bering and Chukchi Seas are  
26 characterized by the temperature and salinity of the water column (Coachman et al., 1975),  
27  $Chl.a_{UPP}$ ,  $Chl.a_{BOT}$  and SIC were not used in the PCA to determine the water-mass structure.  
28 These five parameters ( $\frac{d\rho}{dD_{max}}$ ,  $T_{UPP}$ ,  $T_{BOT}$ ,  $S_{UPP}$  and  $S_{BOT}$ ) were standardized prior to the PCA  
29 to reduce the biases between the units of the variables. Several principal components and their  
30 factor loadings (correlations of factors to the derived principal components) are presented.  
31 The PCA scores were used as covariates of the water-mass structures in the habitat models. In

1 addition, we used the anomaly of timing of sea ice retreat (aTSR) at each sampling station as  
2 the index of sea ice condition. The values of aTSR were calculated using satellite-derived sea  
3 ice images for 1991–2013. Although sea ice concentration images had been projected using  
4 polar stereographic coordinates with 25km spatial resolution, we interpolated them using the  
5 nearest-neighbour method and resampled them into 9km spatial resolution. Considering the  
6 missing values and land contamination, we defined SIC <50 % as non-ice-covered pixels, and  
7 aTSR was defined as the anomalous last date when the SIC fell below 50 % prior to the date  
8 of the annual sea ice minimum in the Arctic Ocean.

### 9 **2.3 Statistical analysis**

10 Before producing the habitat models, we examined the multicollinearity between the  
11 explanatory variables by correlation analysis. To examine the relationships between copepod  
12 abundance (Cop<sub>Larc</sub>, Cop<sub>Sarc</sub>, and Cop<sub>pac</sub>) and the environmental variables, we constructed  
13 habitat models using GAMs. GAMs are a non-parametric extension of Generalized Linear  
14 Models (GLMs) such as multiple-regression models (Eq. (1)), with the only underlying  
15 assumption that the functions are additive and that the components are smooth (Eq. (2)). The  
16 basic concept is the replacement of the parametric GLM structure:

$$17 \quad g(\mu) = \alpha + \beta_1 x_1 + \beta_2 x_2 + \beta_3 x_3 + \dots + \beta_i x_i \quad (1)$$

18 with the additive smoothing function structure:

$$19 \quad g(\mu) = \varepsilon + s_1(x_1) + s_2(x_2) + s_3(x_3) + \dots + s_i(x_i) \quad (2)$$

20 where  $\alpha$  and  $\varepsilon$  are the intercepts and  $\beta_i$  and  $s_i$  are the coefficients and smooth functions of  
21 covariates, respectively (Wood, 2006). To select the most adequate model in our approach,  
22 we used Akaike's Information Criterion. Model validation was applied to the optimal models  
23 to verify the assumptions and reproducibility. Specifically, we plotted original values versus  
24 fitted values and judged the adequacy of our optimal models based on  $R^2$ . Deviance explained  
25 (Eq. (3)) indicates how many percent can explain the variance of the most adequate model  
26 and is calculated as follows:

$$27 \quad \text{Deviance explained (\%)} = (1 - \text{Residual Deviance/Null Deviance}) \times 100 \quad (3)$$

28 where residual deviance denotes the deviance produced by the model that includes  
29 explanatory variables and null deviance is the deviance produced by the model without

1 explanatory variables. All statistical analyses were undertaken using R (version.2.15.0  
2 <http://www.r-project.org>).

3

### 4 **3 Results**

#### 5 **3.1 Principal component analysis and water mass**

6 The first principal component (PC1) explained 47.1 % of the total variability. In the PC1  
7 score, the coefficient of loading was positive for  $\frac{d\rho}{dD}_{\max}$ , indicating that the magnitude of  
8 stratification increased with an increase in PC1. In contrast, PC1 was strongly negative for  
9  $T_{\text{UPP}}$  and  $T_{\text{BOT}}$ , indicating that lower temperatures in the whole water mass resulted in smaller  
10 PC1 (Table 4). Additionally, PC1 was negative for  $S_{\text{UPP}}$ , indicating a low-salinity water mass  
11 in the surface layer with higher PC1, but weakly positive for  $S_{\text{BOT}}$ . According to Fig. 2a,  
12 which shows the T-S diagram colored according to the PC1 score, a higher PC1 value (>1)  
13 value indicated a combination of the cold/lower salinity IMW in the upper layer and the  
14 colder/high-salinity DW in the bottom layer. In contrast, a low value of PC1 denoted a warm  
15 water mass in both layers and/or low-salinity water at the surface (Table 4). From Fig. 2a, a  
16 lower PC1 (less than -1.5) value indicated a combination of warmer/low-salinity ACW in the  
17 upper layer and warm/saline BSW or cold/higher-salinity AW or BSAW in the bottom layer.  
18 A low-medium PC1 score (-1.5-0.5), indicated a combined water mass with both BSW and  
19 AW/BSAW (Fig. 2a). PC1 was higher at the stations north of 69°N than the ones to the south  
20 in 2008 and 2013 and low for all stations in 2007 (Fig. 3), suggesting that the combination of  
21 IMW and DW was dominant in the northern stations in 2008 and 2013, and ACW was  
22 dominant at almost all stations in 2007.

23 The second principal component (PC2) explained 34.8 % of the total variability. In the  
24 PC2 score, the coefficient of loading was negative for  $\frac{d\rho}{dD}_{\max}$  and temperature and positive for  
25 salinity in both the upper and bottom layers (Table 4). These results indicated that there was  
26 highly saline water in both layers that tended to decrease the magnitude of stratification and  
27 form a single layered structure with higher PC2. As illustrated in Fig. 2b, medium-high PC2  
28 values (>0.5) indicated waters with a single-layered structure, BSW, AW or BSAW. Low-  
29 medium values of PC2 (<0.5) denoted waters with a two-layered structure, with warmer-  
30 temperature and lower-salinity waters in the upper layer compared with the bottom layer. ~~this~~

1 ~~could be~~ IMW in the upper layer and DW in the bottom layer, or ACW in the upper layer and  
2 BSW/AW/BSAW in the bottom layer. PC2 tended to be high at stations <69°N in all years  
3 and low at stations in the east of the survey area in 2007 (Fig. 4), implying that a single-  
4 layered structure with BSW/AW/BSAW was dominant in the Bering Strait; however, a  
5 combination of ACW with BSW/AW/BSAW was observed in the northeast of the survey  
6 area in 2007.

7 The third principal component (PC3) explained 14.2 % of the total variability. The  
8 PC3 score was correlated positively with all physical variables (Table 4), especially with  $T_{UPP}$   
9 and  $S_{BOT}$ . According to the T-S diagram colored according to the PC3 values (Fig. 2c),  
10 relatively high PC3 values (>0.5) with relatively warm  $T_{UPP}$  (>4.0°C) and/or high  $S_{BOT}$   
11 (>32.0) suggested that the water columns were composed of ACW in the upper layer and/or  
12 high-salinity BSW/AW at the bottom. PC3 was higher in 2007 than in 2008 and 2013,  
13 particularly at the stations in the north of the Bering Strait (Fig. 3), indicating that relatively  
14 warm BSW/ACW made up the upper layer and/or higher salinity AW/BSAW/DW the  
15 bottom layer.

### 16 3.2 Copepod abundance

17 The abundance of copepods at each station ranged between 150 and 146,323 inds.  $m^{-2}$   
18 (median: 14,488). Cop<sub>Larc</sub> included only *Calanus glacialis* (Table 2), which represented  
19 0.00 %–48.2 % of the total abundance and was found over almost all the study area. Cop<sub>Larc</sub>  
20 were more abundant in 2013 than in 2007 and 2008 (Fig. 4). Cop<sub>Sarc</sub> made up 1.47 %–55.6 %  
21 in numerical terms at each station and included *Pseudocalanus* spp, *P. minutus*, *P. mimus*, *P.*  
22 *newmani*, and *P. acuspes* (Table 2). Cop<sub>Sarc</sub> were dominant throughout the study area in all  
23 study seasons (Fig. 4). Cop<sub>pac</sub> included *C. marshallae*, *N. cristatus*, *N. flemingeri*, *N.*  
24 *plumchrus*, *E. bungii*, and *M. pacifica*. Cop<sub>pac</sub> were more abundant in the south (<69°N) than  
25 in the north during all studied time intervals (Fig. 4).

### 26 3.3 Copepod habitats

27 We constructed habitat models using aTSR, the quantitative index of the water masses (PC1,  
28 PC2, and PC3), bottom depth (Bdepth), and averaged log-transformed chlorophyll *a* in the  
29 upper layer ( $Chl.a_{UPP}$ ) and bottom layer ( $Chl.a_{BOT}$ ) as potential explanatory variables.  
30 Averaged physical factors in the upper layer and bottom layers were excluded from potential

1 explanatory variables, as these were already included in the quantitative index of the water  
2 masses.

3 The most adequate model explaining the abundance of CopL<sub>arc</sub> included all  
4 explanatory variables (Table 5). CopL<sub>arc</sub> were abundant at stations with lower aTSR (<0 days)  
5 and with deeper Bdepth, especially in the area with bottom depths greater than 45 m (Fig. 5).  
6 CopL<sub>arc</sub> appeared to be abundant at stations with medium–higher PC1 (greater than –0.5),  
7 low–high PC2 (–1 to 1), and low–medium PC3 (–1 to 0). The abundance of CopL<sub>arc</sub> was  
8 relatively high in the water with low (less than –0.5) and high (0.2–0.5) Chl.a<sub>UPP</sub>, however,  
9 the effects of Chl.a<sub>UPP</sub> and Chl.a<sub>BOT</sub> on CopL<sub>arc</sub> were not clear.

10 The most adequate model explaining the abundance of CopS<sub>arc</sub> included all  
11 explanatory variables except PC2 (Table 5). CopS<sub>arc</sub> were abundant at stations with lower  
12 aTSR (< 5days) and with deeper Bdepth, especially in the area in which the sea depth was  
13 greater than 40 m (Fig. 5). The abundance of CopS<sub>arc</sub> was high for low–high PC1 (between  
14 –1.5 and 2) and medium PC3 (0–1.2) and medium–high Chl.a<sub>UPP</sub> (>0; Fig. 5). The effect of  
15 Chl.a<sub>BOT</sub> was unclear.

16 The most adequate model explaining the abundance of Cop<sub>pac</sub> included all explanatory  
17 variables except Chl.a<sub>UPP</sub> (Table 5). Cop<sub>pac</sub> were abundant at stations with low aTSR (<0  
18 days), deeper Bdepth with clear positive effects in waters deeper than 35 m, low–medium  
19 PC1 (–2 to 0.5) and PC3 (–0.5 to 1) and PC2 (less than –0.5), and less abundant at stations  
20 with medium–high PC2 (greater than –0.5) and high PC1 (>0.5; Fig. 5). The abundance of  
21 Cop<sub>pac</sub> was high in the water with low (less than –0.2) and high (>0.5) Chl.a<sub>BOT</sub>; however, the  
22 effect of Chl.a<sub>BOT</sub> on Cop<sub>pac</sub> was not clear.

23

## 24 **4 Discussion**

### 25 **4.1 Effect of sea ice on copepod abundance**

26 The models most adequate to explain the abundance of copepods included aTSR as an  
27 explanatory variable (Table 5). As shown in GAM plot, earlier sea ice retreat had positive  
28 effects on the abundance of all copepod groups (Fig. 5); in particular, the effect of early sea  
29 ice retreat was more obvious for Cop<sub>arc</sub> than for the other two groups. The Cop<sub>pac</sub> typified by  
30 *C. marshallae* and *N. cristatus*, are often transported from the Bering Sea through the Bering  
31 Strait (Lane et al., 2008; Hopcroft et al., 2010; Matsuno et al., 2011). Sea ice reduction is

1 strongly related to an increase in the inflow of Pacific water from the Bering Sea through the  
2 Bering Strait (Shimada et al., 2006). Increasing water-mass transportation into the Chukchi  
3 Sea (Woodgate et al., 2012) and sea ice retreat enhances the ~~invasion~~ northward invasion of  
4 larger Pacific water species. Our results reflect that future increases in advection from the  
5 Bering Sea will carry more Pacific zooplankton through the Bering Strait with even further  
6 penetration into the Arctic.

7 Temperature and food are important for the growth of Cop<sub>Larc</sub> and Cop<sub>Sarc</sub> that  
8 reproduce in Arctic. There is a strong relationship between the mean developmental stage of  
9 *C. glacialis* and the surface temperature (Ershova et al., 2015). Early sea ice retreat leads to a  
10 longer ice-free period and warmer surface temperature. In our study, aTSR was negatively  
11 correlated with T<sub>UPP</sub> and T<sub>BOT</sub> ( $\rho = -0.59$  and  $-0.69$ , respectively; Spearman's correlation test  
12  $p < 0.001$ ), i.e., the sampling stations with early sea ice retreat have relatively high  
13 temperature and favorable conditions for copepod growth. The spring bloom inevitably forms  
14 at the ice edge and its timing is controlled by the timing of sea ice retreat in the northern  
15 Bering Sea (Brown and Arrigo, 2013). In the shelf regions of the Bering and Chukchi Seas,  
16 early sea ice retreat causes spring blooms in open water (Fujiwara et al., 2016). For copepods,  
17 the spring bloom resulting from early sea ice retreat is important as their energy source,  
18 because they can utilize more food while maintaining their high activity in warm water  
19 without sea ice and cold water. Thus, earlier sea ice retreat might have positive effects on the  
20 growth and reproduction of copepods without using sea ice in the northern Bering and  
21 Chukchi Seas.

## 22 4.2 Effects of water mass on copepod abundance

23 The abundance of all copepods was variably related to the combination of water masses in the  
24 northern Bering and Chukchi Seas. In these seas, it has been well documented that the  
25 community structure and abundance of zooplankton species differ in different water masses  
26 (e.g., Lane et al., 2008; Hopcroft et al., 2010; Matsuno et al., 2011) such as the major six  
27 water masses, ACW, IMW, DW, BSW, AW, and BSAW (e.g., Coachman et al., 1975;  
28 Springer et al., 1989). These water masses and their combinations have mostly been described  
29 by cluster analysis using temperature and salinity (e.g., Norcross et al., 2010; Eisner et al.,  
30 2013; Ershova et al., 2015). In the present study, we quantitatively characterized these water  
31 masses using PCA incorporating the combination of water masses, the number of layers

1 (single- or double-layered), and the occurrence of high-salinity water in the bottom layer  
2 and/or warm water in the upper layer (Fig. 2).

3 CopL<sub>arc</sub> were relatively abundant in the northern part of the Chukchi Sea (>69°N),  
4 which is dominated by the ~~water with~~ cold/lower-salinity IMW in the upper layer and the  
5 colder/high salinity DW in the bottom layer (PC1 > 1, -1 < PC2 < -0.8, and -1 < PC3 < 0;  
6 Figs. 3, 4). This combination of water masses positively affects the abundance of CopL<sub>arc</sub> (Fig.  
7 5). ~~Calanus glacialis, which represents CopL<sub>arc</sub> in this study,~~ is considered to be native to  
8 Arctic shelves (Conover and Huettley, 1991; Ashujian et al. 2003). The Arctic population ~~on~~  
9 *C. glacialis* is distributed in winter water (Ershova et al., 2015). Our results reflected these  
10 CopL<sub>arc</sub> habitats. Previous findings have reported that *C. glacialis* were also abundant in water  
11 masses with ACW in the upper layer and BSAW in the bottom layer (Eisner et al., 2013). In  
12 this study, CopL<sub>arc</sub> were relatively abundant in the Bering Strait, in areas dominated by  
13 cold/high to higher-salinity BSAW and AW in both layers (-1.5 < PC1 < 1, -0.8 < PC2 < 1.2,  
14 and PC3 < -1) in 2013. However, CopL<sub>arc</sub> in this study were less abundant in the water off  
15 Point Hope (southern part of the Chukchi Sea): this area was characterized by ACW in the  
16 upper layer and BSAW in the bottom layer (-2.5 < PC1 < -1.5 and PC3 > 0; Fig. 5) during  
17 the summer of 2007. Our results slightly contradict those of previous study; however, the  
18 presence of BSAW/AW is important for CopL<sub>arc</sub>.

19 In contrast to CopL<sub>arc</sub>, CopS<sub>arc</sub> were common through the study area. This copepod  
20 group was abundant in waters with medium PC1 and PC3, indicating that they were  
21 distributed in waters with a wide range of temperature and salinity, i.e., warm/saline BSW.  
22 However, CopS<sub>arc</sub> were less abundant in waters with higher PC1, i.e., colder/low-salinity  
23 IMW in the upper layer and cold/high-salinity DW in the bottom layer. These support the  
24 previous findings that small Arctic copepods (e.g., *Pseudocalanus* spp., *A. hudsonica* and *A.*  
25 *longiremis*) were abundant in warm BSW and relatively warm ACW in the upper and/or  
26 bottom layers (Eisner et al., 2013; Ershova et al., 2015). In this study, the CopS<sub>arc</sub> were  
27 dominated by *Pseudocalanus* such as *Pseudocalanus acuspes*, *P. mimus*, *P. minutus*, *P.*  
28 *newman* and undefined *Pseudocalanus* spp. (mean 72 % of CopS<sub>arc</sub> abundance).  
29 *Pseudocalanus* occurs throughout the Bering Sea shelf and Arctic area (Frost, 1989). This  
30 distribution is thought to result from *Pseudocalanus* being initially abundant in the warm  
31 water originating from the Bering Sea, and so is significantly abundant in the warm water  
32 masses such as ACW and BSW. The abundance of CopL<sub>arc</sub> could be associated with cold  
33 water masses in which CopS<sub>arc</sub> are less abundant.

1 Pacific zooplankton are advected into the western Arctic Ocean through the Bering  
2 Strait (Springer et al., 1989). Previous studies demonstrated that Pacific zooplankton  
3 communities occurred in high-salinity water (BSW/AW) in the northern Bering and Chukchi  
4 Seas (Springer et al., 1989; Lane et al., 2008; Hopcroft et al., 2010; Matsuno et al., 2011;  
5 Eisner et al., 2013). In this study, Pacific copepods ( $Cop_{pac}$ ) were abundant in the Bering  
6 Strait and the Chukchi Sea south of Point Hope, which have low–medium PC1 and PC2,  
7 associated with warmer/low-salinity ACW in the upper layer and cold/higher-salinity AW and  
8 warm/saline BSW or BSAW in the bottom layer, or single-layered AW, BSW, and BSAW,  
9 supporting these previous observations. Our study further confirmed the effects of the  
10 interannual water-mass variation on copepod abundance. During the summer of 2007, Pacific  
11 water masses (ACW, BSW and BSAW) extended to the north of 69°N (Fig. 3) and  
12 transported  $Cop_{pac}$  into the Chukchi Sea (Matsuno et al., 2011). In contrast, in the summer of  
13 2008 and 2013, when IMW and colder/high-salinity DW were dominant, few  $Cop_{pac}$  were  
14 collected in the northern part of the Chukchi Sea (Fig. 4).

15 The combinations and distributions of water masses are known to be affected by  
16 Pacific inflow (Weingartner et al., 2005) and related to sea ice retreat (Coachman et al., 1975;  
17 Day et al., 2010). The inflow of warmer Pacific ACW was dominant in 2007 (Woodgate et al.,  
18 2010), and this strong inflow was believed to have triggered the sea ice retreat in the western  
19 Arctic Ocean (Woodgate et al., 2012). Thus, the variability of water masses and the  
20 combinations as illustrated by PCA were in good agreement with the conventional description  
21 of the dynamics of water masses. Our index can be used for the quantitative evaluation of the  
22 effects of water-mass combinations with multiple components of water properties and so may  
23 be useful for predicting copepod distributions with climate changes.

### 24 **4.3 Effects of phytoplankton and bottom depth**

25 The species categorized as  $CopS_{arc}$  (e.g., *Pseudocalanus* spp.) graze phytoplankton and  
26 reproduce in the surface layer during day and night in summer (Norrbin et al., 1996; Plourde  
27 et al., 2002; Harvey et al., 2009). We therefore expected positive effects of  $Chl.a_{UPP}$  on  
28  $CopS_{arc}$  abundance; however, the models did not yield obvious relationships between the  
29 abundance of any copepods and  $Chl.a_{UPP}$ . ~~Although there is~~ the possibility that ~~copepods at~~  
30 young copepodite stages could not be sampled by using a coarse net (> 300  $\mu$ m) such as the  
31 NORPAC net used for our sampling, a plausible explanation is that the sampling period  
32 (June–August) did not coincide with the high-grazing and reproduction season when copepod

1 require a large amount of food intake. CopL<sub>arc</sub> reproduce during the spring phytoplankton  
2 bloom (e.g., Falk-Petersen et al., 2009), so our sampling period was not the time of their  
3 reproduction. Phytoplankton cells sinking to the bottom water layers are important food for  
4 copepods (Sameoto et al., 1986). Thus we also expected a positive effect of bottom  
5 chlorophyll *a* concentration (Chl.<sub>aBOT</sub>) on all copepod groups; however, clear positive effects  
6 were not observed (Fig. 5). It is difficult to link the chlorophyll *a* concentration to the  
7 copepod abundance using the time lag between the blooms of phytoplankton and copepods.

8 A few previous studies have reported associations between the copepod abundance  
9 and the bottom depth of the shelf in the northern Bering and Chukchi Seas (e.g., Ashjian et al.,  
10 2003). The reason for copepod groups being less abundant in waters shallower than 32 m  
11 bottom depth was unclear. In this survey, because the shallower area is correlated with  
12 longitude ( $\rho = -0.73$ ; Spearman's rank correlation test of longitude (°E) vs. Bdepth,  $p <$   
13 0.001), the result reflects that copepods are less abundant near the land. As shown in Figure 5,  
14 the smallest numbers of copepods were recorded at sampling stations of 25 m Bdepth. Except  
15 for these two stations, CopL<sub>arc</sub> is not obviously related to Bdepth, whereas Cop<sub>pac</sub> and CopS<sub>arc</sub>  
16 gradually increase with depth.

17 The associations between environmental factors and the abundance of copepods have  
18 been well documented (e.g., Springer et al., 1989; Lane et al., 2008; Matsuno et al., 2011).  
19 Recently these relationships have been analyzed using clustered water masses (Eisner et al.,  
20 2013; Ershova et al., 2015). In the present study, we indexed the water masses and then  
21 quantitatively modeled the relationships between the water-mass characteristics and the  
22 spatial patterns of copepod abundance ~~quantitatively~~. Our evaluation of the effect of changes  
23 in the timing of sea ice retreat on copepod abundance confirms that suitable environments for  
24 copepods are formed by early sea ice retreat. The influence of the changes in sea ice on the  
25 Arctic ecosystem has been ~~well~~ documented; however, to the best of our knowledge, this is  
26 the first quantitative study to describe the relationships between the early sea ice retreat and  
27 copepod abundance. Quantitative analyses using the habitat models are useful for  
28 understanding various phenomena and risks faced by organisms (e.g., sea ice loss,  
29 temperature ~~warming~~, and ~~increase of fresh water content~~). Furthermore, this type of analysis  
30 can be adapted to predict ecosystem changes in the future by incorporating climate and  
31 predicted environmental data, and can also be used to understand the responses of organisms  
32 to environmental change in the northern Bering and Chukchi Seas.

33

1 **Author contributions**

2 T.K. designed and coordinated this research project. K.M. and A.Y. collected the zooplankton  
3 samples, performed species identification and enumeration of the zooplankton samples in the  
4 land laboratory. A.F. operated and calculated sea-ice concentration data. H.U. and M.O.  
5 calculated the stratification index by using CTD profiles. H.S. and Y.W. wrote the manuscript  
6 with contributions from all co-authors.

7

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16

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1 **Figure captions**

2 **Figure 1.** Study area and sampling stations in the northern Bering and Chukchi Seas during  
3 the summers of 2007, 2008 and 2013. The symbols denote the sampling stations  
4 where NORPAC net and CTD water samplings were conducted. ~~The color scale~~  
5 ~~indicates bottom water depth (m).~~ Modified from figure presented in Spall et al.  
6 (2014) and Grebmeier et al. (2015).

7 **Figure 2.** T-S diagrams of principal component scores (a) PC1, (b) PC2 and PC3 (c). Colored  
8 circle indicated the magnitude of each PC. ~~Water mass designations are Alaskan~~  
9 ~~coastal water (ACW; temperature 2.0–13.0 °C and salinity < 31.8), Bering Shelf~~  
10 ~~Water (BSW; 0.0–10.0 °C and 31.8–32.5), Anadyr Water (AW; 1.0–1.5 °C and~~  
11 ~~32.3–33.3), Bering Shelf Anadyr water (BSAW; BSW and AW combined), ice~~  
12 ~~melt water (IMW; < 2.0 °C and < 30.0) and dense water (DW; < 1 °C and 31.0–~~  
13 ~~33.0).~~

14 **Figure 3.** ~~The~~ distribution of main principal component score (PC1–3) in 2007, 2008 and  
15 2013. Colored circles ~~indicted the~~ magnitude of PC.

16 **Figure 4.** ~~The~~ distribution of copepods abundance in 2007, 2008 and 2013. ~~Colored circles~~  
17 ~~indicted the abundance of copepods:~~ large Arctic (Cop<sub>L<sub>arc</sub></sub>), small Arctic (Cop<sub>S<sub>arc</sub></sub>)  
18 and Pacific (Cop<sub>pac</sub>) copepods.

19 **Figure 5.** GAM plot of the best model in each copepod groups: large Arctic (Cop<sub>L<sub>arc</sub></sub>), small  
20 Arctic (Cop<sub>S<sub>arc</sub></sub>) and Pacific (Cop<sub>pac</sub>) copepods. The horizontal axes show the  
21 explanatory variable: the anomaly of the timing of sea-ice retreat (aTSR), principal  
22 component score (PC1–3) averaged log-transformed chlorophyll *a* concentration  
23 within the layer above and below pycnocline, (Chl *a*<sub>UPP</sub> and Chl *a*<sub>BOT</sub>) and bottom  
24 depth (Bdepth). Shade area represents 95% confidence intervals. The vertical axes  
25 indicate the estimate smoother for the abundance of copepods. The estimated  
26 smoother converts the explanatory variable to fit the models, so it shows positive  
27 effects for response variables and the magnitude of its effects when estimated  
28 smoother is positive, and vice versa. Short vertical lines located on the *x* axes of  
29 each plot indicate the values at which observations were made.

30 **Supplementary materials**

31 **Figure A1.** Maximum density gradient (10<sup>-3</sup> kg m<sup>-1</sup>) at each sampling station.

- 1 **Figure A2.** Horizontal distributions of temperature ( $^{\circ}\text{C}$ ) averaged within the upper ( $T_{\text{UPP}}$ , top  
2 panels) and the bottom ( $T_{\text{BOT}}$ , bottom panels) layers at each sampling station in  
3 2007 (left panels), 2008 (middle panels) and 2013 (right panels).
- 4 **Figure A3.** Same as figure A2 but for salinity ( $S_{\text{UPP}}$  and  $S_{\text{BOT}}$ ).
- 5 **Figure A4.** Same as figure A2 but for Chlorophyll-*a* concentration ( $\text{Chla}_{\text{UPP}}$  and  $\text{Chla}_{\text{BOT}}$ ).
- 6

1 **Table 1.** Water mass properties in the northern Bering and Chukchi Seas.

Water mass	Temperature	Salinity	Reference	2
Alaskan coastal water (ACW)	relatively warm (2.0–13.0 °C)	low (< 31.8)	Coachman et al. (1975)	
Bering Shelf Water (BSW)	warm (0.0–10.0 °C)	saline (31.8–32.5)	Coachman et al. (1987) Grebmeier et al. (1988) Springer et al. (1989)	
Anadyr water (AW)	cold (-1.0–1.5 °C)	high (32.5–33.3)	Coachman et al. (1987) Grebmeier et al. (1988) Springer et al. (1989)	
Bering Shelf Anadyr water (BSAW)	cold (-1.0–2.0 °C)	high (31.8–33.0)	Grebmeier et al. (1989) Eisner et al. (2013)	
ice melt water (IMW)	cold ( < 2.0 °C)	low ( < 30.0)	Weingartner et al. (2005)	
dense water (DW)	cold ( < -1.0 °C)	high (32.0–33.0)	Coachman et al. (1975) Feder et al. (1994)	

1 **Table 2.** The copepods species included in each copepod groups: large Arctic (CopL<sub>arc</sub>), small  
 2 Arctic (CopS<sub>arc</sub>) and Pacific (Cop<sub>pac</sub>) copepods.

Response Variables	Description	Species
CopL <sub>arc</sub>	large Arctic copepods	<i>Calanus glacialis</i>
CopS <sub>arc</sub>	small Arctic copepods	<i>Acartia hudsonica</i>
		<i>Acartia longiremis</i>
		<i>Acartia tumida</i>
		<i>Centropages abdominalis</i>
		<i>Eurytemora herdmani</i>
		<i>Epilabidocera amphitrites</i>
		<i>Microcalanus pygmaeus</i>
		<i>Pseudocalanus acuspes</i>
		<i>Pseudocalanus mimus</i>
		<i>Pseudocalanus minutus</i>
		<i>Pseudocalanus newmani</i>
		<i>Pseudocalanus spp.</i>
		<i>Scolecithricella minor</i>
		<i>Tortanus discaudatus</i>
		Cyclopoid copepods
Cop <sub>pac</sub>	Pacific copepods	<i>Calanus marshallae</i>
		<i>Eucalanus bungii</i>
		<i>Metridia pacifica</i>
		<i>Neocalanus cristatus</i>
		<i>Neocalanus flemingeri</i>
		<i>Neocalanus plumchrus</i>

3

1 **Table 3.** The covariates for principal component analysis and explanatory variables for  
 2 Generalize Additive Models (GAMs).

Explanatory variables in GAMs	Environmental Variables	Description	Unit
The principal components (PC1, PC2 and PC3)	$\frac{d\rho}{dD_{\max}}$	Magnitude of the maximum potential density gradient	$10^{-3} \text{ g m}^{-1}$
	$T_{\text{UPP}}$	Vertical averaged temperature above the depth of the maximum potential density gradient	$^{\circ}\text{C}$
	$T_{\text{BOT}}$	Vertical averaged temperature under the depth of the maximum potential density gradient	$^{\circ}\text{C}$
	$S_{\text{UPP}}$	Vertical averaged salinity above the depth of the maximum potential density gradient	
	$S_{\text{BOT}}$	Vertical averaged salinity under the depth of the maximum potential density gradient	
BDepth	Depth	Bottom depth	m
Chl. $a_{\text{UPP}}$	Chl. $a_{\text{UPP}}$	Vertical averaged log-transformed Chlorophyll- <i>a</i> concentration above the depth of the maximum potential density gradient	
Chl. $a_{\text{BOT}}$	Chl. $a_{\text{BOT}}$	Vertical averaged log-transformed Chlorophyll- <i>a</i> concentration under the depth of the maximum potential density gradient	
aTSR	aTSR	Temporal difference from the Timing of Sea ice Retreat (TSR) anomaly to TSR between 1991 and 2013	days

3

1 **Table 4.** Eigenvalue and factor loadings of principle component analysis. The variances and  
 2 eigenvalue of each principal component (PC) are also given. Descriptions of  
 3 elements are same as Table 3 (See Table 3).

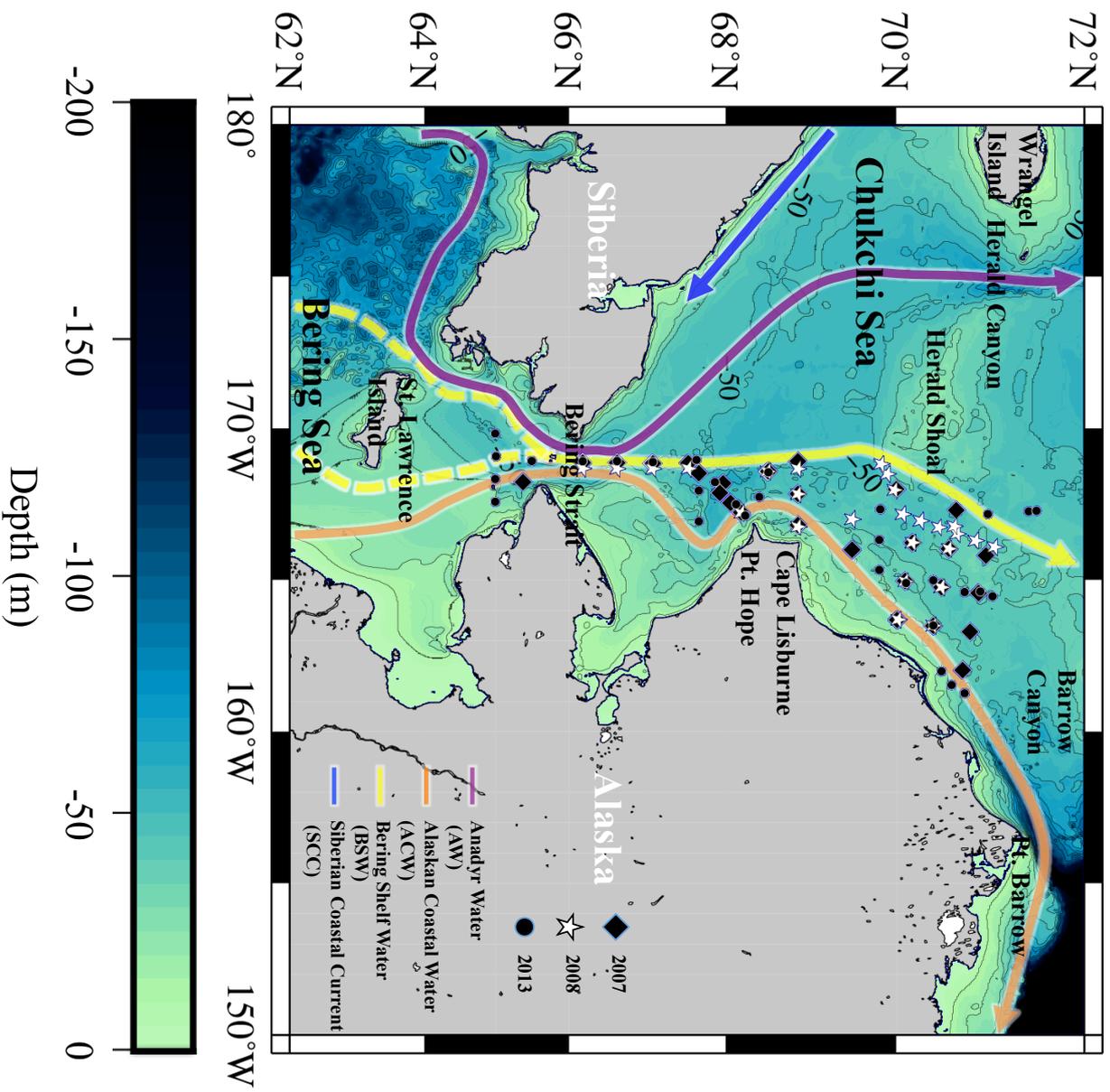
Elements	Eigenvector (Factor loadings)									
	PC1		PC2		PC3		PCA4		PCA5	
$\frac{d\rho}{dD_{\max}}$	0.36	(0.55)	-0.55	(-0.73)	0.45	(0.38)	-0.27	(-0.10)	0.54	(0.15)
T <sub>UPP</sub>	-0.51	(-0.78)	-0.38	(-0.50)	0.38	(0.32)	-0.38	(-0.13)	-0.56	(-0.15)
S <sub>UPP</sub>	-0.43	(-0.66)	0.54	(0.71)	0.11	(0.09)	-0.54	(-0.19)	0.47	(0.13)
T <sub>BOT</sub>	-0.60	(-0.92)	-0.18	(-0.24)	0.21	(0.18)	0.65	(0.23)	0.37	(0.10)
S <sub>BOT</sub>	0.27	(0.41)	0.48	(0.63)	0.77	(0.65)	0.24	(0.08)	-0.21	(-0.06)
Eigenvalue	2.66		1.74		0.71		0.12		0.07	
Standard deviation	1.54		1.32		0.84		0.35		0.27	
Proportion of variance (%)	47.13		34.79		14.17		2.43		1.49	
Cumulative proportion (%)	47.13		81.92		96.08		98.51		100.00	

4

1 **Table 5.** Best models of each copepod groups: large Arctic (CopL<sub>arc</sub>), small Arctic (CopS<sub>arc</sub>)  
 2 and Pacific (Cop<sub>pac</sub>) copepods.

Response variables	Best models	Deviance Explained (%)	Observed vs. Fitted <hr/> R <sup>2</sup>
CopL <sub>arc</sub>	s(aTSR)+s(PC1)+s(PC2)+s(PC3)+s(Chl.a <sub>UPP</sub> )+s(Chl.a <sub>BOT</sub> )+s(Bdepth)+ ε	92.4	0.94
CopS <sub>arc</sub>	s(aTSR)+ s(PC1)+s(PC3)+s(Chl.a <sub>UPP</sub> )+s(Chl.a <sub>BOT</sub> )+s(Bdepth)+ ε	89.9	0.88
Cop <sub>pac</sub>	s(aTSR)+ s(PC1)+s(PC2)+s(PC3)+s(Chl.a <sub>BOT</sub> )+s(Bdepth)+ ε	75.3	0.38

3



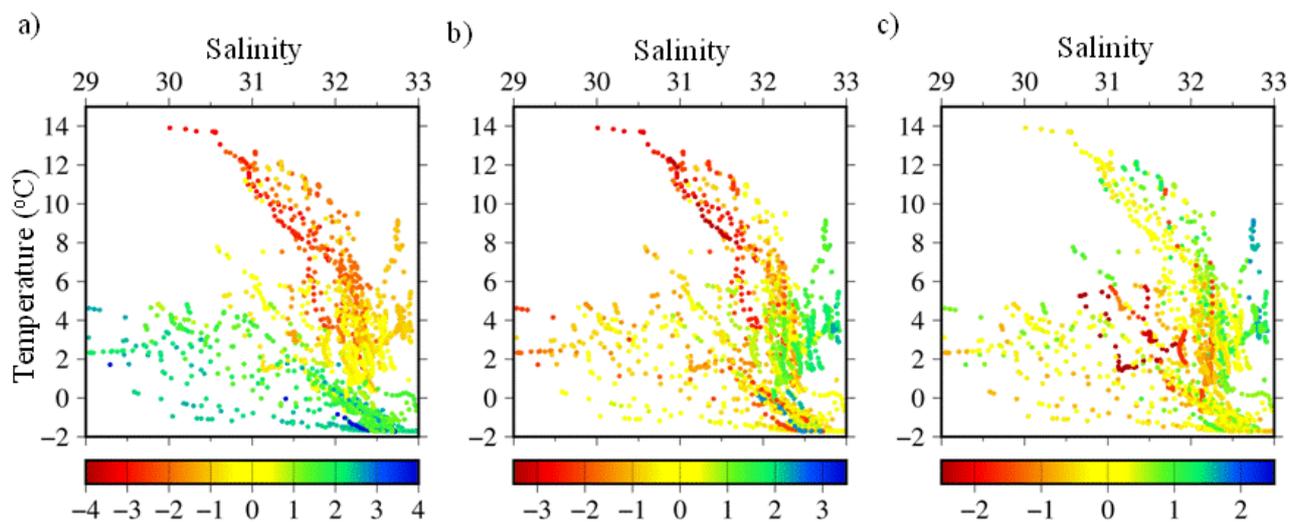


Fig. 2. (Sasaki et al.)

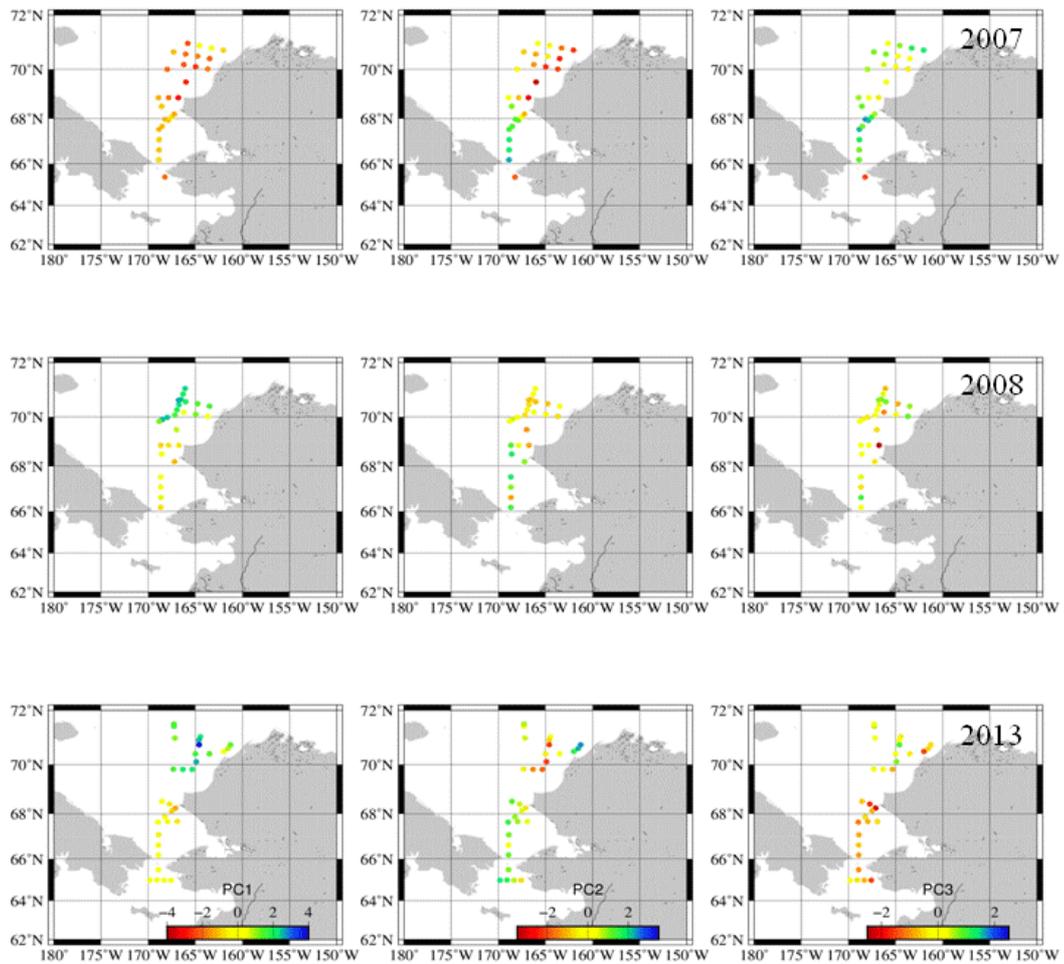


Fig. 3. (Sasaki et al.)

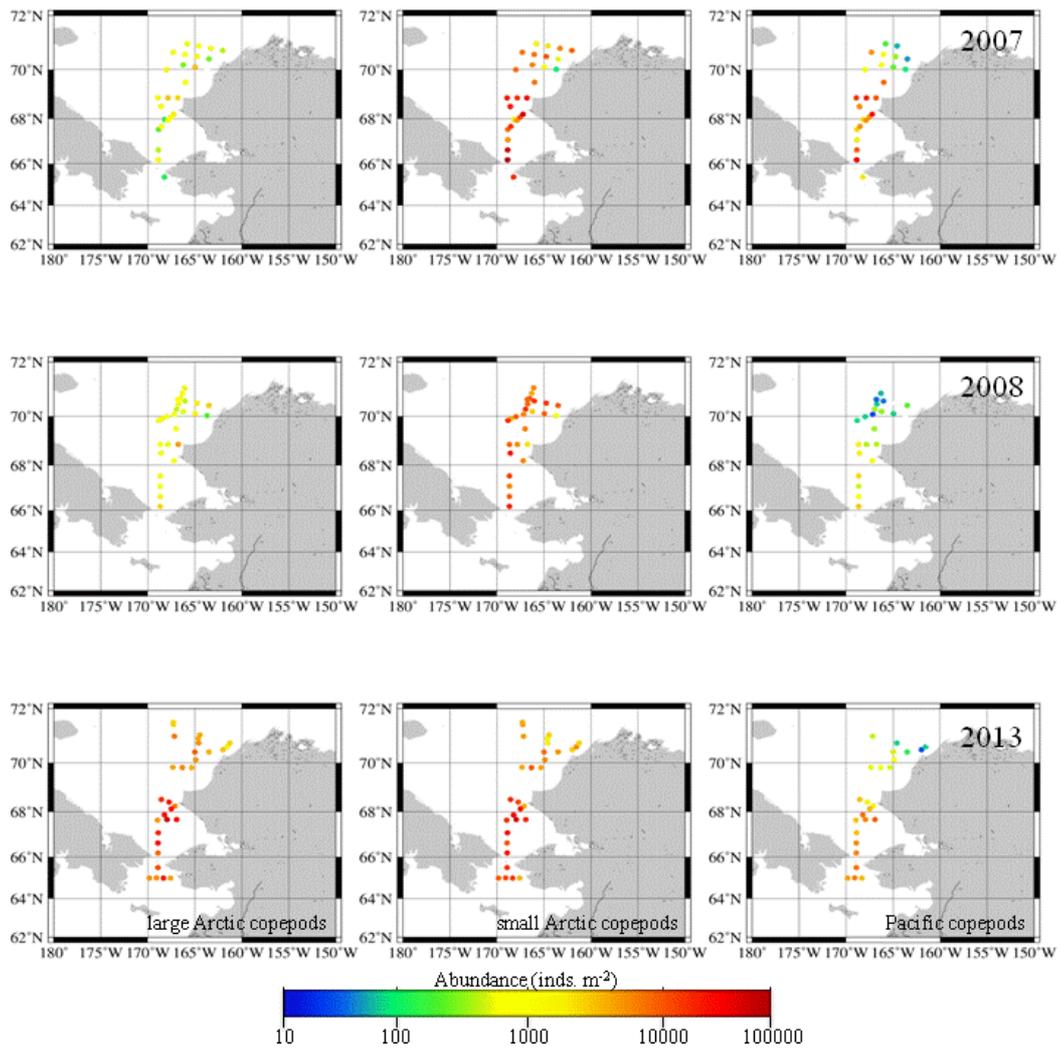


Fig. 4. (Sasaki et al.)

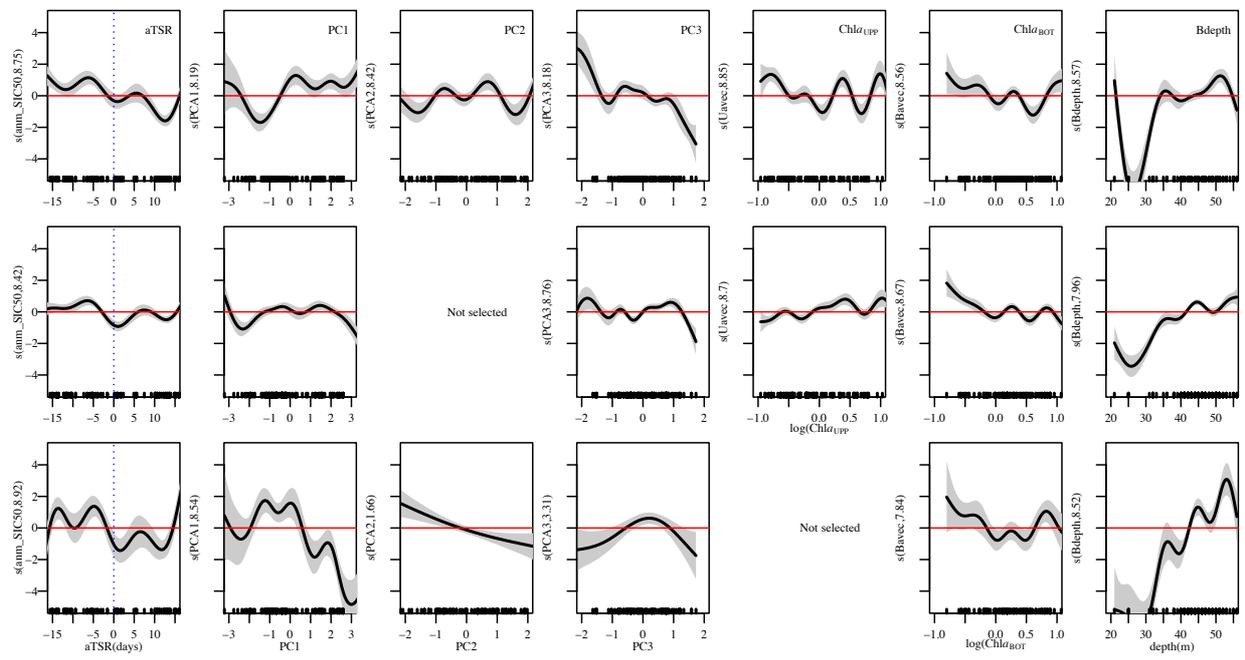


Fig. 5. (Sasaki et al.)