

**Dear editor Jia**

We thank you for the time and efforts to review our manuscript. We appreciate your comments, suggestions, and positive decision on our manuscript. We have addressed the issues raised according to your suggestions.

Sincerely yours,

Dr. Ting Ma

**Authors' responses to editor Zhongjun Jia****Initial Decision: Publish subject to technical corrections (10 May 2015)****Comments to the Author:**

The manuscript has been reviewed by two external experts and the Editor (myself). All reviewers find that your study is of interest for general readers, and the comments have been adequately addressed.

Before publication, there are few minor concerns for your attention as follows:

- (1) Line 28. I guess it is not “injected” and “produced”, but it is water in injection well and production. Please be careful about the usage of “produced” throughout the manuscript.
- (2) Figure 6. please note that the circle should have been within box.
- (3) Figure 6. please replace “I” with “bacteria”, and replace “II” with “archaea”

**Authors' response:** Thank you for your comments and suggestions. We have made corrections in the revised manuscript. The changes were highlighted with color in the revised manuscript.

1 Differences in microbial community composition between injection and  
2 production water samples of water-flooding petroleum reservoirs

3

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16

17 **Conflict of interest**

18 The authors declare that there is no conflict of interest regarding the publication of  
19 this article.

20

21 **Abstract.** Microbial communities in injected water are expected to have significant  
22 influence on those of reservoir strata in long-term water-flooding petroleum reservoirs.  
23 To investigate the similarities and differences in microbial communities in injected  
24 water and reservoir strata, high-throughput sequencing of microbial partial 16S rRNA  
25 of the water samples collected from the wellhead and downhole of injection wells,  
26 and from production wells in a homogeneous sandstone reservoir and a heterogeneous  
27 conglomerate reservoir were performed. The results indicate that a small number of  
28 microbial populations are shared **between the water samples from the injection and**  
29 **production wells** in the sandstone reservoir, whereas a large number of microbial  
30 populations are shared in the conglomerate reservoir. The bacterial and archaeal  
31 communities in the reservoir strata have high concentrations, which are similar with  
32 those in the injected water. However, microbial population abundance exhibited large  
33 differences **between the water samples from the injection and production wells.** The  
34 number of shared populations reflects the influence of microbial communities in  
35 injected water on those in reservoir strata to some extent, and show strong association  
36 with the unique variation of reservoir environments.

37

38 **Keywords** 16S rRNA • Microbial community • Pyrosequencing • Miseq • MEOR

39

40 **1 Introduction**

41 Water-flooding is an efficient and least expensive oil recovery process that is  
42 employed worldwide. Water-flooding is believed to be a continued reinoculation of  
43 reservoir with surface microorganisms. After long-term water-flooding, microbial  
44 populations possessing exceptional survival abilities in injected water are expected to  
45 flow into oil-bearing strata, in where, exogenous and indigenous microbial  
46 populations form a new complex ecosystem (Zhang et al., 2012a). When injecting  
47 nutrients and air into reservoir strata, these microbial populations can be stimulated,  
48 and produce metabolites, such as polysaccharide, surfactants, acid, alcohol, and  
49 biogas. Because these metabolites can improve reservoir properties by blocking  
50 preferred water flow paths, lowering interfacial tension between brines and the oil  
51 phase, and decreasing oil viscosity (Youssef et al., 2009), microbial enhanced oil  
52 recovery (MEOR) have been applied to petroleum industry, and is currently studied  
53 extensively (Abdel-Waly, 1999;Zhang et al., 2012b;Bao et al., 2009;Gao et al.,  
54 2013;Li et al., 2014).

55

56 Microbial populations inhabiting petroleum reservoirs play critical roles in the  
57 microbial enhancing of the oil recovery process. As a result, an increasing number of  
58 studies, especially those based on culture-independent methods, have been performed,  
59 and broaden our knowledge of microbial diversity in oil reservoirs (Al-Bahry et al.,  
60 2013;Kumaraswamy et al., 2011;Lenchi et al., 2013;Okoro et al., 2014;Wang et al.,  
61 2012). However, to our best knowledge, detailed studies about the effects of the  
62 microbial communities in injected water on those in reservoir strata remains poorly  
63 understood. Based on the 16S rRNA gene clone library method, several studies to date  
64 have suggested that despite being flooded by the same injected water, there is a

65 significant difference in the communities between each production well (Tang et al.,  
66 2012;Ren et al., 2011). Zhang et al. compared microbial communities in samples of  
67 injection and production wells from reservoirs with different in situ temperatures, and  
68 pointed that the effects of microorganisms in the injected waters on microbial  
69 community compositions in produced waters are strong associated with reservoir  
70 temperature (Zhang et al., 2012a). However, because of the low throughput of the  
71 clone library method, many infrequent microbial taxa may not be detected, making it  
72 difficult to compare microbial communities in detail.

73

74 If microbial populations in injected water can flow into reservoir strata and reach  
75 production wells, is the microbial community in the injected water expected to have a  
76 similar community composition to those in the production wells? If there is a large  
77 difference in community composition, what is the difference and how many microbial  
78 populations are shared? To explore these issues, we investigated the microbial  
79 populations and their abundance in injection and production wells in a homogeneous  
80 sandstone petroleum reservoir with a permeability of  $522 \times 10^{-3} \mu\text{m}^2$  and inter-well  
81 spacing of 300–425 m using 16S rRNA pyrosequencing and real-time fluorescent  
82 quantitative PCR (qPCR). At the same time, we analyzed microbial communities in  
83 water samples collected from the wellhead and downhole of injection wells, and from  
84 production wells in a heterogeneous conglomerate water-flooding petroleum reservoir  
85 with a permeability of  $362 \times 10^{-3} \mu\text{m}^2$  and inter-well spacing of 100–150 m.  
86 High-throughput sequencing provides the opportunity to compare microbial  
87 populations with unprecedented levels of coverage and detail. The variation  
88 in permeability, inter-well spacing, and heterogeneity of the reservoirs is benefit for  
89 exploring the influence of reservoir physical properties on microbial distribution in

90 injected water and reservoir strata. The similarity among microbial communities was  
91 investigated using hierarchical clustering and Principal Coordinate Analysis.  
92 Microbial populations were also clustered according to injection and production wells  
93 to highlight the populations that showed the highest variability.

94

## 95 **2 Materials and methods**

### 96 **2.1 Sampling locations**

97 The Lu and Liu field block reservoirs are located in the Xinjiang Oil Field, in the  
98 Junggar Basin of Xinjiang Uygur Autonomous Region, Northwest China. The  
99 Lu field block is a homogeneous sandstone reservoir that has been water-flooded  
100 since 2001. The depth of the sampling horizon is approximately 1200 m with  
101 a temperature of 37°C. The porosity of the reservoir is 29.9%, with an  
102 average permeability of  $522 \times 10^{-3} \mu\text{m}^2$ . The density of the crude oil is  $0.846 \text{ g/cm}^3$ ,  
103 with an oil viscosity of 18 mPa·s. In the investigated well group (an injection well and  
104 four production wells), injection well Lu3084, located in the center of the production  
105 wells, has a direct influence on the neighboring producers, with inter-well distances of  
106 300–425 m. The Liu field block is a heterogeneous conglomerate reservoir that has  
107 been water-flooded for approximately 30 years. The depth of the block horizon is  
108 approximately 1088 m, with a temperature of 22.6°C. The porosity of the reservoir is  
109 18.96 %, with an average permeability of  $362 \times 10^{-3} \mu\text{m}^2$ . The oil density is  
110  $0.912 \text{ g/cm}^3$ , with an oil viscosity of 80 mPa·s. The selected well group includes two  
111 injection and three production wells, with an inter-well spacing of 100–150 m. The  
112 production well T90 is located at the center of injection wells T86 and T93, while  
113 production wells T95 and T96 are located at the edge of the field block and are mainly  
114 flooded by injection well T93 (Fig. 1).

115

116 The concentrations of potential nutrient factors, including crude oil properties, total  
117 nitrogen (TN), total phosphorus (TP), and ion concentration of formation brines, are  
118 listed in Table 2. The differences in geochemical parameters between crude oil  
119 samples from the two blocks are not obvious, indicating similar oil formation  
120 characteristics and maturity. The crude oil in both blocks had a higher content of  
121 saturates and aromatics, which favor the growth of hydrocarbon-degrading bacteria  
122 (HDB), and some anaerobes, such as sulfate-reducing bacteria. The cations and anions  
123 of the water samples in the two blocks were similar, with lower nitrogen and  
124 phosphorus content, which are essential for the survival and growth of  
125 microorganisms. The lack of nitrogen and phosphorus implies a low metabolism level  
126 of microorganisms in reservoir.

127

## 128 **2.2 Water samples collection and DNA extraction**

129 Based on tracer techniques, the time interval for injected water to flow from an  
130 injection well into neighboring production wells was approximately 30–45 days in the  
131 sandstone reservoir, and 7–10 days in the conglomerate reservoir (data provided by  
132 the Xinjiang Oil Field Company). Injected water from the sandstone reservoir was  
133 collected on three occasions every 15 days between October 2012 and November  
134 2012, and the produced water samples (from the reservoir strata) were collected along  
135 with the second injected water sample on three occasions at a 30-day interval. All the  
136 injected and produced water samples were collected randomly from sampling valves  
137 located on the wellhead. In the conglomerate reservoir, the injected water samples  
138 were collected in November 2011 from the wellhead and the zone close to downhole  
139 (obtained by backflow, that is, the injected water flowed upward through the injection

140 well) of the injection wells. Seven days later, the produced water samples were  
141 collected from neighboring production wells on three occasions at a 7-day  
142 interval. The collected water samples were completely filled into 15 L sterilized  
143 plastic bottles, which were immediately capped and sealed to avoid contamination and  
144 oxygen intrusion.

145

146 For DNA extraction, the residual oil was first removed by heating the sample to 60°C  
147 for 30 min and by phase separation in sterilized separatory funnels. Microbial cells  
148 were then collected from 5 L of each water sample by centrifugation at 4°C for 15  
149 min at 10,000 × g in a high-speed centrifuge (Beckman, CA 92821, USA). The cell  
150 deposits collected from the same sampling location were mixed and resuspended with  
151 TE buffer (Tris 80 mM, EDTA 40 mM, pH 8.0), and then lysed using a mini  
152 bead-beater (BioSpec, Bartlesville, OK 74005, USA) at 200 rpm for 1 min at room  
153 temperature with 0.1 mm glass beads. After bead beating, lysozyme was added (final  
154 concentration of 1 mg/ml), and the samples were incubated at 37°C for 1 h. Following  
155 the lysozyme treatment, 120 µL sodium-dodecyl sulphate (20% SDS, W/V) was  
156 added and the samples were incubated at 65°C for 60 min. Total genomic DNA was  
157 then extracted from the suspension solution using an AxyPrep™ Genomic DNA  
158 miniprep kit (Axygen Biosciences, Tewksbury, MA 01876, USA) according to the  
159 manufacturer's instructions and stored at -80°C for subsequent study.

160

### 161 **2.3 Pyrosequencing of partial 16S rRNA genes and sequence analysis**

162 Broadly conserved primers 27F (5'-AGA GTT TGA TCC TGG CTC AG-3') and  
163 533R (5'-TTA CCG CGG CTG CTG GCA C-3') were used to amplify the bacterial  
164 16S rRNA gene, while primers 344F (5'-ACG GGG YGC AGC AGG CGC GA-3')

165 and 915R (5'-GTG CTC CCC CGC CAA TTC CT-3') were used to amplify the  
166 archaeal 16S rRNA gene. PCR reactions were performed following the protocol  
167 described in the Supporting Information (SI). Replicate PCR products of the same  
168 sample were mixed in a PCR tube. Then, the amplicons from each reaction mixture  
169 were pooled in equimolar ratios based on concentration and subjected to emulsion  
170 PCR to generate amplicon libraries. Amplicon pyrosequencing was performed on a  
171 Roche Genome Sequencer GS FLX+ platform at Majorbio Bio-Pharm Technology,  
172 Shanghai, China.

173

174 Sequences generated from pyrosequencing were analyzed using default settings in the  
175 open source software package mothur (Schloss et al., 2009). The detailed process is  
176 described in the SI. Alpha diversity analyses, including rarefaction and computation  
177 of the Shannon, Simpson, Chao1 metric, and phylogenetic diversity (PD), were used  
178 to assess biodiversity. The similarity among microbial communities was determined  
179 using UniFrac analysis in which weighted and unweighted Principal Coordinate  
180 Analysis (PCoA) were performed based on OTUs abundance or phylogenetic  
181 relationships. Specific differences in community composition of samples were  
182 visualized using heatmaps, ggplot, and Venn diagrams using the R software package.

183

#### 184 **2.4 Miseq-sequencing of partial 16S rRNA genes and sequence analysis**

185 The bacterial and archaeal 16S rRNA gene V4 region (300–350 bp) were amplified  
186 using primer set 515f (GTG CCA GCM GCC GCG GTAA) and 806r (GGA CTA  
187 CHV GGG TWT CTA AT) with the protocol described by Caporaso et al. (Caporaso  
188 et al., 2011; Caporaso et al., 2012). A composite sample for sequencing was created by  
189 combining equimolar ratios of amplicons from the individual samples, followed by

190 gel purification and ethanol precipitation to remove any remaining contaminants and  
191 PCR artifacts. Amplicon sequencing was conducted on an Illumina MiSeq platform at  
192 Novogene Co., Beijing, China.

193

194 Pairs of reads from the original DNA fragments were merged using FLASH (Magoc  
195 and Salzberg, 2011). Sequences were then analyzed using the Quantitative Insights  
196 Into Microbial Ecology (QIIME) software package (Caporaso et al., 2010), and the  
197 UPARSE pipeline (Edgar, 2013). The detailed process is described in the SI. The  
198 similarity among microbial communities was determined using UniFrac analysis in  
199 which weighted PCoA was performed based on OTUs composition and phylogenetic  
200 relationships. Specific differences in community composition of samples were  
201 visualized using heatmaps, ggplot, and Venn diagrams using the R package.

202

### 203 **2.5 Quantification of community abundance**

204 Evaluation of community abundance by real-time fluorescent qPCR was performed  
205 using the 16S rRNA gene as a molecular marker. Reactions were performed using the  
206 FastStart Universal SYBR Green Master PCR mix in a Bio-Rad iQ5 Sequence  
207 detection system. The primer set 8F (5'-AGA GTT TGA T(CT)(AC) TGG  
208 CTC-3')/338R (5'-GCT GCC TCC CGT AGG AGT-3') were used to quantify  
209 bacterial community, while 806F (5'-ATT AGA TAC CCS BGT AGT CC-33')/958R  
210 (5'-YCC GGC GTT GAM TCC AAT T-3') were used to quantify archaeal community  
211 (Gittel et al., 2009). Ten-fold serial dilutions of a known copy number of plasmid  
212 DNA containing the target gene were subjected to real-time PCR in triplicate to  
213 generate an external standard curve. The PCR efficiency and correlation coefficients  
214 for the standard curves were higher than 95%, and  $R^2$  values were greater than 0.99

215 for the curves. The specificity of the PCR amplification was determined by the  
216 melting curve. Gene copy numbers in unknown samples were determined based on  
217 standard curves.

218

## 219 **2.6 Sequence accession numbers**

220 The raw reads were deposited in the National Center for Biotechnology Information  
221 (BioProject ID: PRJNA246768, <http://www.ncbi.nlm.nih.gov/bioproject/246768>).

222

## 223 **3 Results**

### 224 **3.1 Microbial community composition in the sandstone reservoir**

225 Up to 4016–5060 bacterial and 2688–2857 archaeal sequences were obtained by  
226 pyrosequencing in the sandstone reservoir. These sequences were assigned into  
227 249–538 bacterial and 45–130 archaeal OTUs at a 3% cutoff (Table S1). The  
228 individual rarefaction, Shannon, and Phylogenetic diversity curves tended to approach  
229 the saturation plateau (Fig. S1). The results of qPCR indicated that the copy number  
230 of bacterial 16S rRNA **in water samples of the injection well** was  $8.25 \times 10^6$  copies  
231  $\text{ml}^{-1}$ , while  $1.5 \times 10^6$  to  $2.75 \times 10^6$  copies  $\text{ml}^{-1}$  in the water samples **of the production**  
232 **wells**. Comparing with the bacteria, the number of archaea was about one percent of  
233 the bacterial number, with  $3.75 \times 10^4$  16S rRNA copies  $\text{ml}^{-1}$  in injection well and  
234  $8.5 \times 10^3$  to  $5.75 \times 10^4$  copies  $\text{ml}^{-1}$  in the **production wells**.

235

236 Phylogenetic analysis indicated that the injected water (Lu3084) was dominated by  
237 *Proteobacteria* (50.43%), *Cyanobacteria* (15.51%), and *Chloroflexi* (9.12%). Among  
238 the *Proteobacteria*, *Betaproteobacteria* (20.42%) and *Alphaproteobacteria* (19.63%)  
239 were numerically dominant, while a small quantity of *Deltaproteobacteria* (5.49%),

240 *Gammaproteobacteria* (4.44%), and *Epsilonproteobacteria* (0.32%) were detected (Fig.  
241 2a I). The produced water from Lu3073 was dominated by *Proteobacteria* (65.35%),  
242 *Spirochaetes* (13.38%), and *Bacteroidetes* (12.38%). *Gammaproteobacteria* (23.96%),  
243 *Deltaproteobacteria* (22.16%), *Alphaproteobacteria* (13.47%), and *Spirochaetes*  
244 (13.38%) dominated at class level (Fig. 2a I). In the produced water from Lu3095,  
245 Lu1039, and Lu2180, *Proteobacteria* composed 78.58%–95.75% of the bacterial  
246 communities. *Alphaproteobacteria* (15.43%, 26.77%, 53.54%), *Betaproteobacteria*  
247 (23.48%, 50.57%, 12.94%), and *Epsilonproteobacteria* (2.79%, 4.38%, 25.54%) were  
248 dominant (Fig. 2a I).

249

250 To archaeal communities, more than 95% of the archaeal sequences were assigned to  
251 *Methanobacteria*, *Methanococci*, and *Methanomicrobia* (Fig. 2a II). In the injected  
252 water, 87% sequences were classed into *Methanomicrobia*, and the dominant genera  
253 were *Methanosaeta* (42.39%), *Methanomethylovorans* (25.57%), and *Methanolobus*  
254 (10.96%). Among them, *Methanosaeta* uses only acetate to produce CH<sub>4</sub>.  
255 *Methanomicrobia* accounted for 84.03% in the produced water of Lu1039, and  
256 *Methanolobus* (83.46%) and *Methanococcus* (11.23%) were the dominant genera. The  
257 archaeal communities were much more conserved in the produced water at Lu2180,  
258 Lu3073, and Lu3095, with *Methanococcus* accounting for 95.34%, 90.79%, and  
259 86.79%, respectively. The *Methanolobus* and *Methanococcus* are methylotrophic and  
260 hydrogenotrophic methanogens.

261

### 262 **3.2 Microbial community composition in the conglomerate reservoir**

263 Between 52719 to 129106 16S rRNA gene sequences were analyzed and assigned to  
264 2623 to 3414 genus-level OTUs (Table S2). In combination with the relative

265 abundance, the number of bacterial and archaeal sequences was calculated, with the  
266 number of sequences per sample ranging in size from 51273 to 128980 and 85 to  
267 1445, respectively (Fig. S2). Based on the results of qPCR, the copy number of  
268 bacterial bacterial 16S rRNA in the water samples ranged from  $1.5 \times 10^7$  to  $6.5 \times 10^7$   
269 copies  $\text{ml}^{-1}$ , while archaeal 16S rRNA ranged from  $4.5 \times 10^5$  to  $8.5 \times 10^5$  copies  $\text{ml}^{-1}$ .

270

271 In contrast to the sandstone reservoir, *Proteobacteria*, *Bacteroidetes*, *Firmicutes*,  
272 *Spirochaetes*, and *Synergistetes* were simultaneously detected in the water samples  
273 from the injection and production wells, composing 85.7%–94.1% of all bacterial  
274 communities. Similar to the sandstone reservoir, more *Proteobacteria* were detected  
275 in water samples from production wells. At the class level, *Gammaproteobacteria*,  
276 *Epsilonproteobacteria*, *Alphaproteobacteria*, *Betaproteobacteria*,  
277 *Deltaproteobacteria*, *Bacteroidia*, *Bacilli*, and *Clostridia* composed 74.5%–83.7% of  
278 the bacterial communities in the water samples from the injection and production  
279 wells (Fig. 2b I). The archaea were mainly assigned to *Methanomicrobia*,  
280 *Methanococci*, *Methanobacteria*, *Thaumarchaeota*, *Parvarchaea*, and  
281 *Thermoplasmata* (Fig. 2b II). Among them, *Methanobacteria*, *Methanococci*, and  
282 *Methanomicrobia* were simultaneously detected, and composed 64.3%–94.6% of the  
283 archaeal communities in the water samples from the injection and production wells.  
284 Compared with the injected water collected from the wellhead of the injection wells  
285 (T86-0 and T93-0), more *Methanomicrobia* were detected in the downhole of  
286 injection wells (T86-8 and T93-7) and production well T90. At genus level,  
287 *Methanocorpusculum*, *Methanococcus*, and *Methanocalculus* were dominant,  
288 accounting for 60.3–88.5% of the archaeal communities in the injection wells and  
289 production well T90. The three taxa can use  $\text{H}_2$  and formate as carbon sources to

290 produce CH<sub>4</sub>.

291

### 292 **3.3 Shared microbial populations between injected water and reservoir strata**

293 The shared microbial OTUs and genera between communities in **the water samples**  
294 **from the injection and production wells** were investigated using Venn diagrams,  
295 histograms, and heatmap. Based on the Venn diagrams, 16.3%–32.81% of bacterial  
296 OTUs and 13.73%–51.61% of archaeal OTUs were shared between the **water samples**  
297 **from the injection well and those of the production wells** in the sandstone reservoir  
298 (Fig. 3a). These shared bacterial OTUs accounted for 4.6–24.71% of the total  
299 bacterial community in water samples from injection well, and 43.23–76.18% in the  
300 production wells (Fig. 4a I). Furthermore, only 13 bacterial and 3 archaeal OTUs were  
301 shared by both **the water samples from the injection and production wells** (Fig. 3a).  
302 Accordingly, the shared genera only accounted for 2.26% of the bacterial community  
303 in the injected water, but dominated each production well (12.02%–36.5%; Fig. 4a II).  
304 Similar to the bacteria, three archaeal genera belonging to *Methanobacterium*,  
305 *Methanococcus*, and *Methanolobus* were simultaneously detected, comprising 13.58%  
306 of the total archaea in **the water samples from the injection well**, and 90.4%–96.89%  
307 **in the water samples from the production wells** (Fig. 4a III).

308

309 In the conglomerate reservoir, most of the OTUs and genera were simultaneously  
310 detected in **the water samples from the injection and production wells** (Fig. 3b and 4b).  
311 Similar with the sandstone reservoir, these shared populations accounted for a minor  
312 proportion of the communities in the water samples collected from the wellhead of  
313 injection wells, but dominated the water samples obtained from the downhole of  
314 injection wells and each production well (Fig.3b).

315

### 316 **3.4 Microbial population distribution in injected water and reservoir strata**

317 Microbial populations were clustered according to injection and production wells to  
318 highlight the populations that showed the most variability (Fig. 5). In the sandstone  
319 reservoir, more *Sphingomonas* and *Azospirillum* were detected in **the water samples**  
320 **from the injection well**, while *Arcobacter*, *Marinobacterium*, *Pseudomonas*,  
321 *Hyphomonas*, *Novispirillum*, *Proteiniphilum*, *Spirochaeta*, and *Rhizobium* were  
322 highly abundant in **the water samples from the production wells**. In the conglomerate  
323 reservoir, higher amounts of *Paracoccus*, *Bacillus*, *Ochrobactrum*, *Parabacteroides*,  
324 *Sphaerochaeta*, *Thauera*, *Halomonas*, and *Alcanivorax* were detected in **the water**  
325 **samples from the injection well**, while *Arcobacter*, *Marinobacterium*, *Pseudomonas*,  
326 *Bacteroides*, *Oleibacter*, *Marinobacter*, and *Shewanella* were dominant in the  
327 downhole of the injection and production wells. Among them, *Marinobacterium*,  
328 *Paracoccus*, *Ochrobactrum*, *Sphingomonas*, *Alcanivorax*, and *Azospirillum* are  
329 aerobic bacteria, while *Pseudomonas*, *Rhizobium*, *Arcobacter*, *Halomonas*,  
330 *Spirochaeta*, *Bacillus*, *Thauera*, *Halomonas*, and *Bacteroides* are microaerophilic  
331 bacteria, facultative anaerobes, or anaerobes.

332

333 To further investigate the microbial distribution in injected water and reservoir strata,  
334 hierarchical clustering and Unifrac PCoA were performed based on microbial OTUs  
335 abundance and phylogenetic relationships. In the sandstone reservoir, hierarchical  
336 clustering showed that the community in **the water samples from the injection well**  
337 **was distinct from those of the production wells** (Fig. S3). Weighted PCoA  
338 distinguished the bacterial community of the injected water from those of the  
339 production wells, while communities of the production wells were placed at a

340 comparatively decentralized position (Fig. 6a bacteria). Similar to the bacterial  
341 communities, hierarchical clustering and PCoA distinguished the archaeal community  
342 of the injected water from those of the production wells, whereas production wells  
343 were placed at a close proximity (Fig. 6a archaea). In the conglomerate reservoir,  
344 communities of water samples collected from the wellhead of injection wells  
345 clustered into a group in the PCoA plot, indicating that communities remained  
346 unchanged before injected water flowed into the injection wells (Fig. 6b).  
347 Communities in the water samples collected from the downhole of injection wells and  
348 neighboring production well T90 clustered into one group, while production well T95  
349 and T96 clustered into another (Fig. 6b). This shows that the microbial community  
350 reassembled during the process of the injected water flowing into the reservoir strata  
351 and each production well.

352

#### 353 **4 Discussion**

354 MEOR technique is generally classified into exogenous MEOR and indigenous  
355 MEOR (Youssef et al., 2009). The former includes injection of exogenous  
356 microorganisms and injection of ex-situ produced products into reservoirs to enhance  
357 oil recovery (Zobell, 1947). This is an effective way to quickly improve oil recovery.  
358 However, because of the sieve effect of strata on microbial cells, the injected  
359 microorganisms are generally difficult to migrate into reservoir strata (Youssef et al.,  
360 2009; Brown, 2010). Diverse microbial populations inhabit petroleum reservoirs.  
361 Among them, hydrocarbon-degrading bacteria (HDB), nitrate-reducing bacteria  
362 (NRB), sulfate-reducing bacteria (SRB), and methanogens are the most important  
363 functional populations in reservoir ecosystems (Youssef et al., 2009). When injecting

364 nutrients into reservoir, these microbial populations can be stimulated and produce  
365 biosurfactants, organic acids, and gas, which improve oil recovery (Belyaev SS et al.,  
366 1998). Comparing with exogenous MEOR, indigenous microorganisms are more  
367 adapt to the environmental conditions present in reservoirs. Additionally, because  
368 nutrients can be easier to migrate into reservoir strata, indigenous MEOR has higher  
369 oil displacement efficiency. Despite the validity in field trial, indigenous MEOR also  
370 has some limitations, in particular, the uneven oil displacement efficiency in different  
371 production wells in the same reservoir block. The community composition and  
372 diversification have been found to have a significant influence on oil displacement  
373 efficiency (Li et al., 2014). Therefore, it is needed to investigate the relationship  
374 between microbial communities in injected water and reservoir strata, because  
375 microbial communities in injected water are expected to flow into oil-bearing strata,  
376 and produce a significant influence on those of reservoir strata in long-term  
377 water-flooding petroleum reservoirs (Youssef et al., 2009;Dahle et al., 2008).

378

379 Molecular methods have been widely used to assess the microbial diversity of  
380 petroleum reservoirs. Compared to the traditional 16S rRNA gene clone library and  
381 sequencing, high-throughput sequencing has generated hundreds of thousands of short  
382 sequences, and significantly improved our ability to compare microbial populations  
383 with unprecedented levels of coverage and detail (Caporaso et al., 2012). In the  
384 conglomerate reservoir, Miseq-sequencing produced approximately 52719 to 129106  
385 16S rRNA gene sequences. The sequencing reads was approximately 10–20 folds of  
386 those (obtained by pyrosequencing) of the sandstone reservoir, and 50–400 folds of

387 the 16S rRNA gene clone library (assuming 300 clones per library). We  
388 simultaneously sequenced the bacterial and archaeal V4 region of 16S rRNA gene,  
389 obtaining a total of 51273–128980 bacterial sequences per sample, but only 85–1445  
390 archaeal sequences. This is consistent with the count result for archaea, which are  
391 about one percent of bacterial number. The result implies that deeper sequencing is  
392 needed for detecting rare archaeal populations using Miseq-sequencing based on  
393 bacterial and archaeal universal primer set 515f and 806r. In contrast, the bacterial and  
394 archaeal communities were sequenced independently using pyrosequencing in the  
395 sandstone and we obtained 4016–5060 bacterial and 2688–2857 archaeal sequences.  
396 The rarefaction curves, Shannon diversity estimates, and observed species, suggesting  
397 that this sequencing depth was enough for the investigation of the bacterial and  
398 archaeal communities.

399

400 If the microbial populations in the injected water could flow into the reservoir strata  
401 and reach the production wells along with the injected water, is the microbial  
402 community in the injected water expected to have a similar community composition  
403 with those in the production wells? In the homogeneous sandstone reservoir, we found  
404 the number of shared bacterial and archaeal populations between the **water samples**  
405 **from the injection and production wells** was different. As shown in Fig. 3a,  
406 16.3%–32.81% of bacterial OTUs and 13.73%–51.61% of archaeal OTUs **were**  
407 **shared between the water sample of injection well and those of production wells**. It is  
408 reasonable to speculate that microbial populations in the injected water produce  
409 different levels of impact on those in production wells. Based on the previous  
410 research, the main reason may be the sieve effect that can be enhanced by the  
411 long inter-well spacing (Ren et al., 2011). Because of this effect on microbial cells

412 when injected fluid passes through a subsurface formation, it is more difficult for  
413 microbial cells to migrate in reservoir strata. In contrast, almost all OTUs and genera  
414 detected in the injected water were also observed in downhole of the injection and  
415 neighboring production wells in the heterogeneous reservoir. Compared with  
416 the sandstone reservoir, this reservoir has a similar permeability, but shorter inter-well  
417 spacing. It appears that most microbial populations in the injected water flowed into  
418 the oil-bearing strata and reached the production wells during water-flooding process.  
419 Additionally, despite lacking for sufficient nutrients, bacterial and archaeal  
420 communities in the reservoir strata have high concentrations, which are similar with  
421 those in the injected water. This phenomenon implies some correlations of microbial  
422 communities in injected water and reservoir strata. However, we appreciate that it is  
423 less rigorous to delineate the transport of microbial populations in the reservoir strata  
424 simply by detecting the shared microbial populations in the injection and production  
425 wells using 16S rRNA sequencing, because this method is not able to demonstrate  
426 whether the species detected in the produced water are the same ones as in the  
427 injected water. Therefore, labelled strains, such as ones containing green fluorescent  
428 protein, may be a suitable way to investigate microbial migration in petroleum  
429 reservoirs.

430

431 The number of shared microbial populations reflects the influence of microbial  
432 communities in injected water on those in reservoir strata to some extent, and show  
433 strong association with the unique variation of reservoir environments. Differ with the  
434 sandstone reservoir, a large number of microbial populations were shared **between the**  
435 **water samples of injection wells and those of production wells** in the conglomerate  
436 reservoir. However, the community structure, in particular, the abundance of the

437 shared OTUs and genera, exhibited a large difference between the injected water and  
438 reservoir strata. The environmental variables, such as salinity, pH, and nutrients, have  
439 been supposed to be the primary drivers for the community diversification (Kuang et  
440 al., 2013). However, few differences in cations and anions among the injected and  
441 produced water samples were observed. Petroleum reservoir represents an anaerobic  
442 environment with multiphase fluids of oil, gas and water. Therefore, except for the  
443 sieve effect of reservoir strata on microbial migration, dissolved oxygen, which is  
444 known to be strongly related to reservoir microbial growth and metabolism (Gao et al.,  
445 2013), may be the main factor influencing the community structures. In both the  
446 reservoirs, aerobic bacteria, including *Sphingomonas*, *Azospirillum*, *Paracoccus*,  
447 *Ochrobactrum*, *Alcanivorax*, and *Hydrogenophilaceae* were more frequently detected  
448 in water samples from the injection wells, while microaerophilic bacteria, facultative  
449 anaerobes, and anaerobes, including *Pseudomonas*, *Rhizobium*, *Arcobacter*,  
450 *Halomonas*, *Spirochaeta*, and *Bacteroides*, were found to have higher relative  
451 abundance in reservoir strata (Fig. 5).

452

453 Apart from the dissolved oxygen, crude oil, in particular, the saturated and aromatic  
454 hydrocarbon, may also strongly influence the microbial distribution in injected water  
455 and reservoir strata. Petroleum reservoirs represent oligotrophic environments.  
456 Although diverse microbial populations inhabit the reservoirs, only  
457 hydrocarbon-degrading bacteria and some anaerobes, such as sulfate-reducing  
458 bacteria, could grow with crude oil as carbon source. This is consistent with the  
459 observed results that more hydrocarbon-degrading bacteria, including  
460 *Marinobacterium*, *Pseudomonas*, *Rhizobium*, *Halomonas*, and *Oleibacter*, were  
461 detected in downhole of injection and production wells.

462

463 This study compared the differences in microbial community composition between  
464 injected water and reservoir strata using microbial genomes obtained from the  
465 aqueous phase. In fact, each component of the reservoir multiphase fluid, including  
466 crude oil, gases, and insoluble particles, may act as an important habitat for microbial  
467 growth in addition to the water phase within the petroleum reservoir (Kryachko et al.,  
468 2012; Kobayashi et al., 2012). Recent research has also compared microbial  
469 communities in aqueous and oil phases of water-flooded petroleum reservoirs, and  
470 found that the oil phase also harbored a large number of microorganisms, with large  
471 differences in the bacterial community between the aqueous and oil phases of the  
472 reservoir fluid (Wang et al., 2014). Therefore, simultaneous analysis of DNA  
473 extracted from both aqueous and oil phases may provide a better understanding of the  
474 microbial communities in injection and production water samples.

475

476 In summary, this study investigated the relationship shared by microbial communities  
477 in injected water and reservoir strata in two long-term water-flooding reservoirs.  
478 However, the results cannot provide any reliable information on the indigenous  
479 microbial community. The indigenous microbial populations may be those in newly  
480 drilled wells without water-flooding in the same oil-bearing block. However, the two  
481 reservoirs have been water-flooded for decades. Due to the introduction of exogenous  
482 microorganisms in injected water and other sources of contaminations by enhanced  
483 oil recovery processes, determining whether a microorganism is indigenous to a  
484 petroleum reservoir become increasingly difficult. This study implies that the number  
485 of shared populations reflects the influence of microbial communities in injected  
486 water on those in reservoir strata to some extent, and show strong association with the

487 unique variation of reservoir environments. However, it cannot make a conclusion on  
488 the transport of microbial populations in the reservoir strata by detecting the shared  
489 microbial populations in injected water and produced water samples using 16S rRNA  
490 sequencing. To further investigate the relationship shared by microbial communities  
491 **in injection and production wells**, injecting labelled strains containing marked gene  
492 (e.g., green fluorescent protein coded gene) into reservoirs may bring novel insight  
493 and greater predictive power. Therefore, further research on microbial diversification  
494 and transferability as injected water flows into reservoir is needed. Solving these  
495 problems is significant to guide the application of MEOR approaches based on  
496 injecting nutrients or microbial populations into reservoirs.

497

## 498 **5 Conclusions**

499 Using high-throughput sequencing, this study revealed the similarities and differences  
500 in microbial communities **in water samples from injection wells and those from**  
501 **reservoir strata** in a homogeneous sandstone reservoir and a heterogeneous  
502 conglomerate reservoir. The bacterial and archaeal communities in the reservoir strata  
503 have high concentrations, which are similar with those in water samples from  
504 injection wells. However, microbial community compositions exhibited large  
505 differences **between the water samples from injection and production wells**. The  
506 number of shared populations reflects the influence of microbial communities in  
507 injected water on those in reservoir strata to some extent, and show strong association  
508 with the unique variation of reservoir environments. Additionally, aerobic bacterial  
509 populations were more frequently detected in **water samples from injection wells**,  
510 while microaerophilic bacteria, facultative anaerobes, and anaerobes dominated the  
511 reservoir strata.

512

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519

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605

606

607 **Figure captions**

608 **Fig. 1.** Schematic diagram showing (a) the distribution of the injection and production  
609 wells of the Liu and Lu field blocks, and (b) the wellhead and downhole of injection  
610 and production wells, and the location where the water samples were collected. The  
611 injected water samples were collected from the wellhead and the zone close to  
612 downhole (obtained by backflow, that is, the injected water flowed upward through  
613 the injection well) of the injection wells. The water samples in reservoir strata were  
614 collected from the wellhead of production wells. T86, T93 and Lu3084 are the  
615 selected injection wells, and T90, T95, T96, Lu1039, Lu2180, Lu3073 and Lu3095  
616 are the production wells.

617 **Fig. 2.** Relative proportion of microbial taxa at class level in the injected and  
618 produced water samples. (a) Sandstone and (b) conglomerate reservoirs. I: bacterial  
619 taxa at class level; II: archaeal taxa at class level; Lu3084, T86-0, and T93-0: water  
620 samples from the well head of the injection wells; T86-8 and T93-7: water samples  
621 from downhole of the injection wells; T90, T95, T96, Lu1039, Lu2180, Lu3073, and  
622 Lu3095: water samples from the well head of the production wells.

623 **Fig. 3.** Venn diagrams of the bacterial and archaeal OTUs in the injection and  
624 production wells. (a) Sandstone and (b) conglomerate reservoirs. I: bacterial OTUs; II:  
625 archaeal OTUs. Venn diagrams indicate the shared microbial OTUs between  
626 communities in the injected and produced water samples.

627 **Fig. 4.** Comparison of shared microbial genera between the injection and production  
628 wells. a-I: pairwise comparison between injection and production wells in the  
629 sandstone reservoir; a-II: shared bacterial genera in the injection and production wells;  
630 a-III: shared archaeal genera in the injection and production wells; b-I and

631 b-II: comparison between injection and production wells on the conglomerate  
632 reservoir; and b-III: dominant shared bacterial genera in the conglomerate reservoir.

633 **Fig. 5.** Genera showing the most variability in the injected water and production wells.  
634 (a) Sandstone and (b) conglomerate reservoirs. The black-bordered box indicates the  
635 genera most detected in the production wells.

636 **Fig. 6.** Principal coordinate analysis of microbial communities used to investigate the  
637 microbial distribution in injected water and reservoir strata. (a) Sandstone and (b)  
638 conglomerate reservoirs. Bacteria: bacterial community distribution; Archaea:  
639 archaeal community distribution. Sample points that are close together are more  
640 similar in community composition than those that are far apart. The arrows in panel (b)  
641 indicate the community succession during the process of the injected water flowing  
642 into the injection wells and the neighbouring production wells.

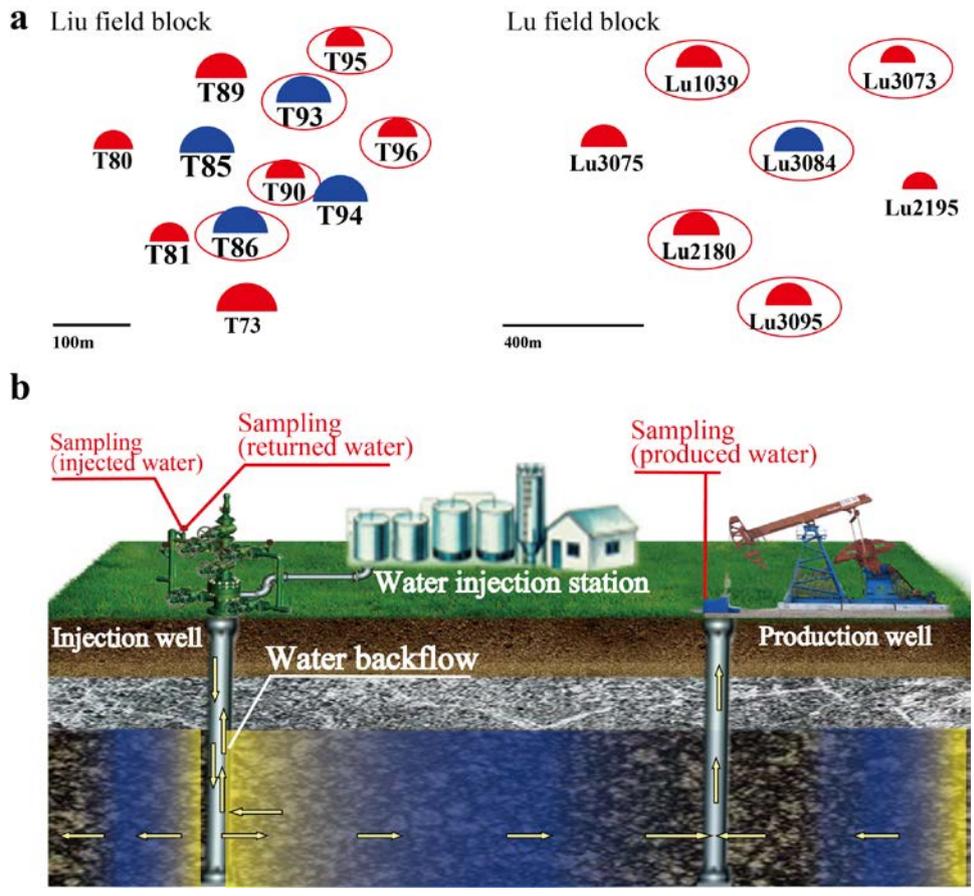
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644 **Table 1** Reservoir characteristics of Lu and Liu field block

<b>Reservoir characteristics</b>	<b>Lu field block</b>	<b>Liu field block</b>
<b>Oil Reservoir</b>		
Formation lithology	Sandstone	Conglomerate
Average depth (m)	1200	1088
Pressure (MPa)	10.2	7.2
Stratal temperature (°C)	37	22.6
Average water content, %	80.8%	86.8%
Interwell distances, m	300-425	100-150
Average permeability, $\mu\text{m}^2$	$522 \times 10^{-3}$	$362 \times 10^{-3}$
Effective porosity, %	29.9	18.96
Water flooding (yr)	13	30
<b>Crude oil properties</b>		
Density ( $\text{g}/\text{cm}^3$ )	0.846	0.912
Viscosity in situ (mPa*s)	18	80.0
Saturates (%)	71.29	61.94
Aromatic (%)	14.85	11.24
Resin (%)	5.94	18.85
Asphalte (%)	5.94	7.97

646 **Table 2** Chemical properties of the water samples obtained from Lu and Liu field  
 647 block (Unit: mg/L)

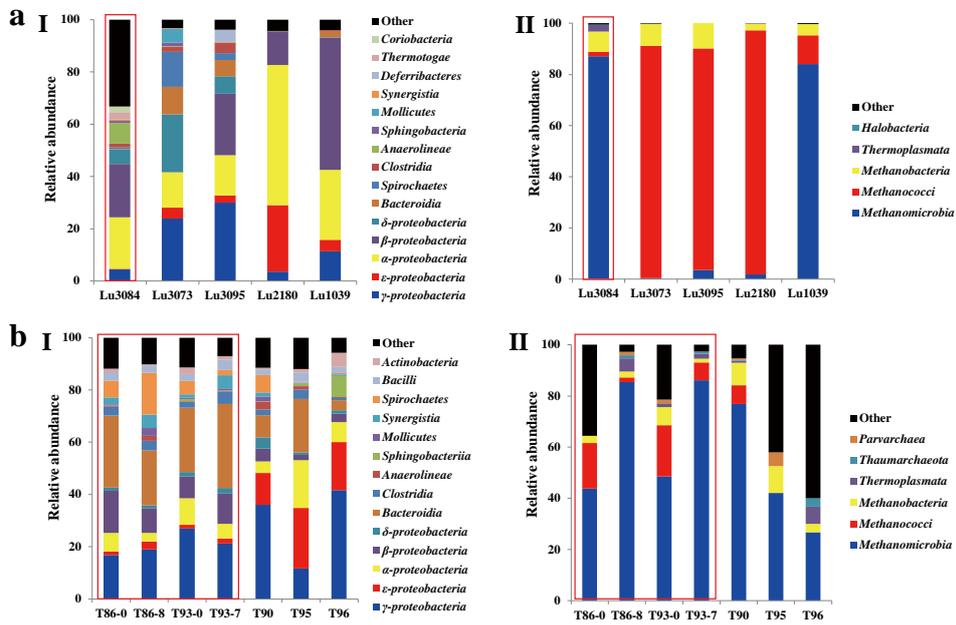
Samples	Lu field block					Liu field block						
	3084	1039	2180	3073	3095	T86-0	T86-8	T93-0	T93-7	T90	T95	T96
Salinity	10850	11690	11170	10545	11102	10101	11313	11399	13991	13203	8997	9710
Nitrogen	15.1	11.5	10.6	12.7	11.6	7.6	6.8	10.2	11.5	5.7	6.3	8.5
Phosphorus	20.2	19.1	17.5	18.8	19.5	16.8	15.1	22.6	21.2	18.5	16.2	12.1
Na <sup>+</sup> K <sup>+</sup>	4525	4803	4565	4309	4487	3364	3630	3802	4349	4014	3097	3139
Mg <sup>2+</sup>	21.7	32.1	31.6	26.0	28.8	33.1	63.1	28.4	63.1	68.1	17.5	50.2
Ca <sup>2+</sup>	191.3	281.9	284.7	181.6	216.4	70.2	77.9	72.8	78.0	96.2	86.4	108.7
Cl <sup>-</sup>	5640	6125	5820	5160	5850	3010	3630	2922	3453	3099	3816	3406
SO <sub>4</sub> <sup>2-</sup>	116.2	14.04	4.86	23.13	8.86	483.4	89.4	523	362.4	89.9	65.6	165.1
HCO <sub>3</sub> <sup>-</sup>	356	434	464	846	511	3140	3823	4052	5687	5837	1915	2841



648

649 **Fig. 1**

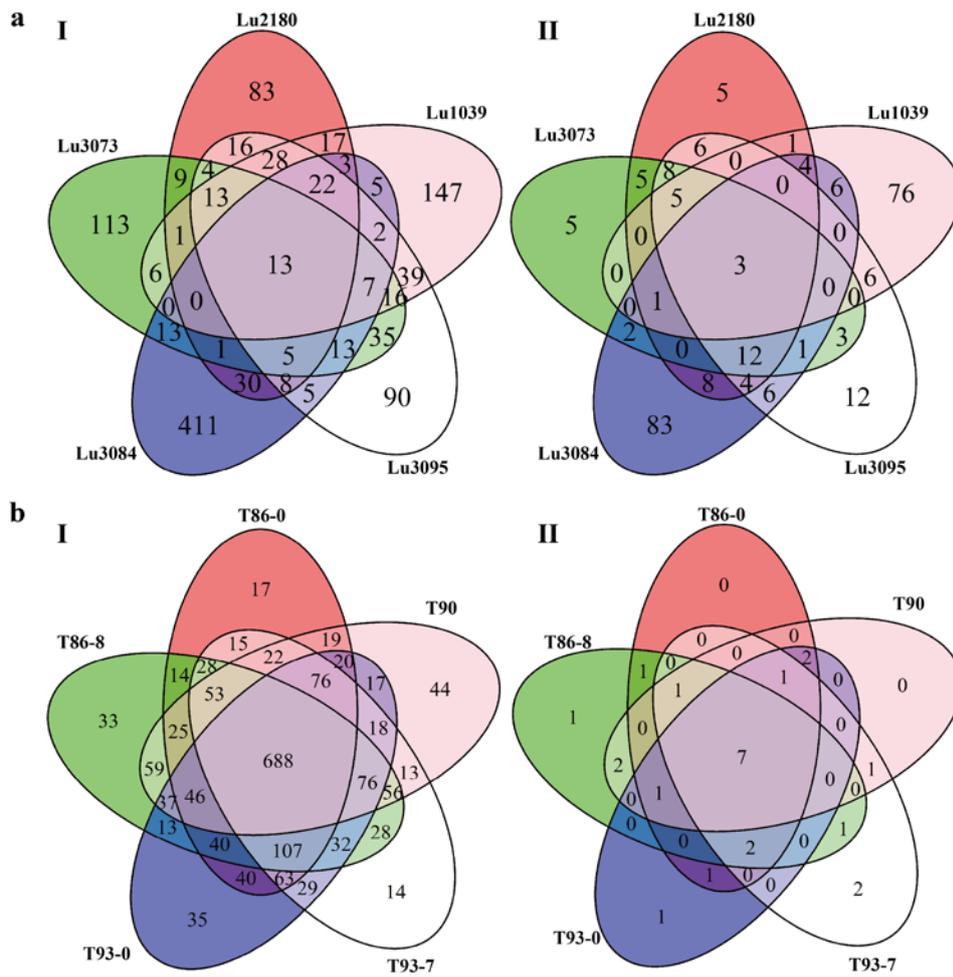
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652 **Fig. 2**

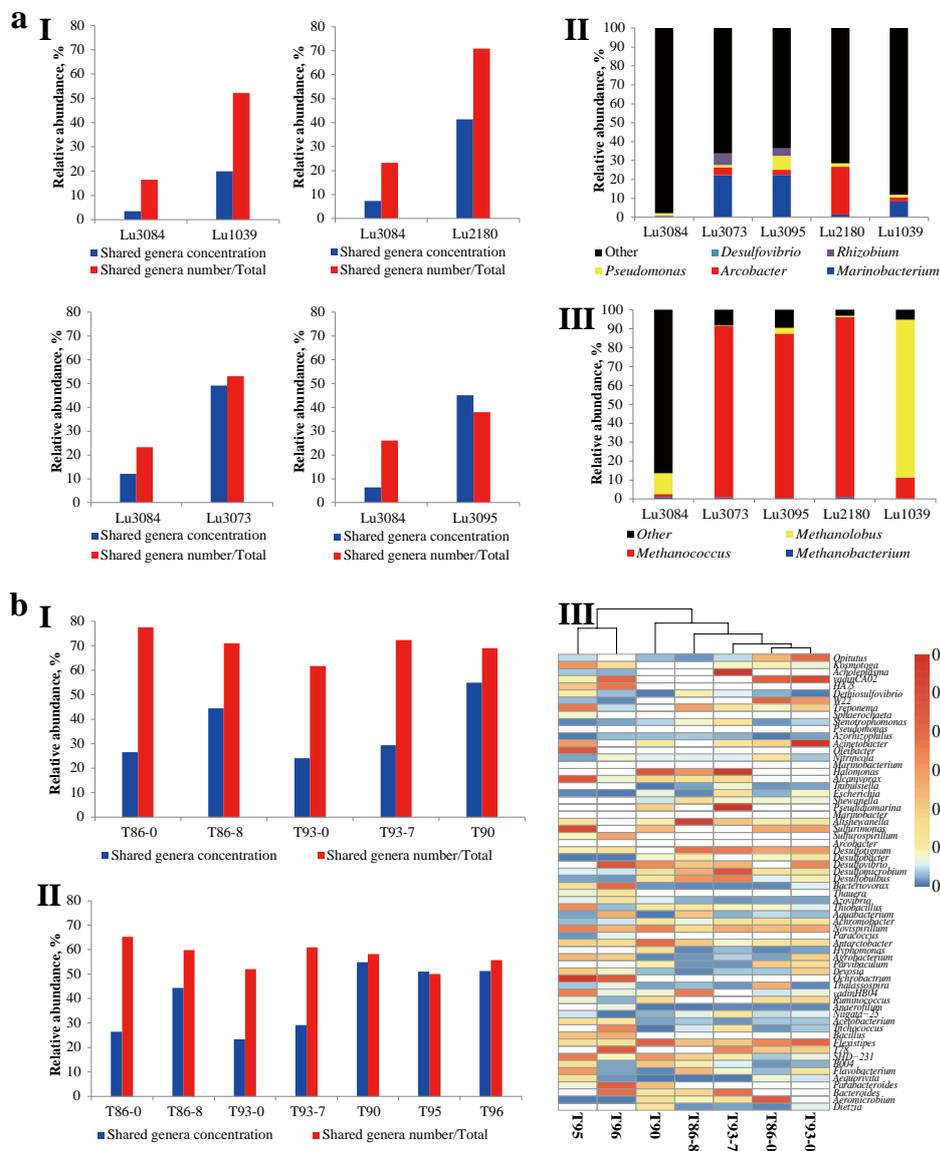
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655 **Fig. 3**

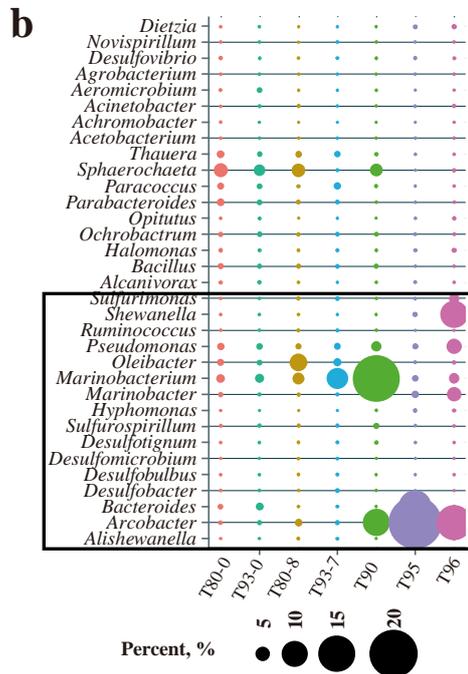
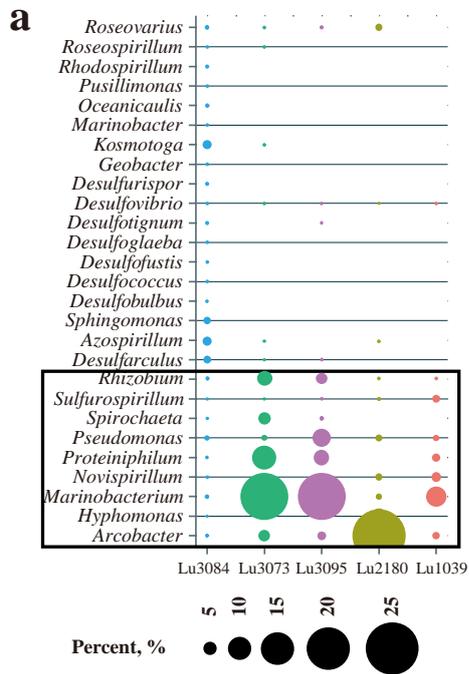
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658 **Fig. 4**

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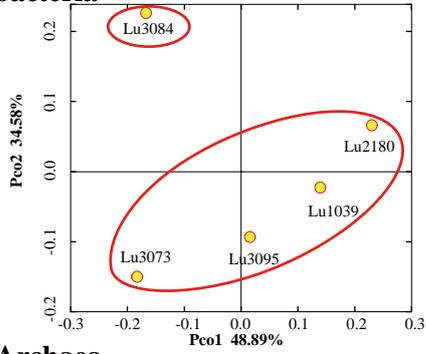


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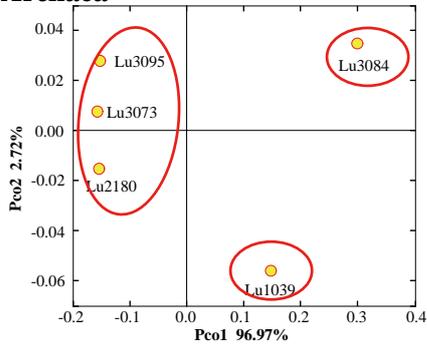
661 **Fig. 5**

662

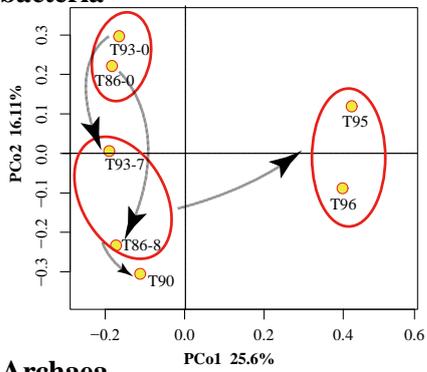
**a bacteria**



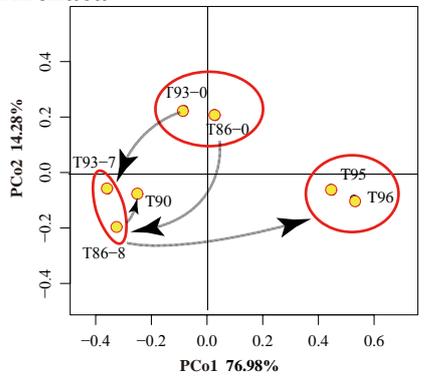
**Archaea**



**b bacteria**



**Archaea**



663

664

**Fig. 6**

**批注 [A1]:** According to the editor's suggestion, we replaced "I" with "bacteria", and replace "II" with "archaea"